



**Institute of
Applied Physics**

Friedrich-Schiller-Universität Jena

Coating-reduced interferometer optics

Resonant waveguide gratings

S. Kroker, T. Käsebier, E.-B. Kley, A. Tünnermann



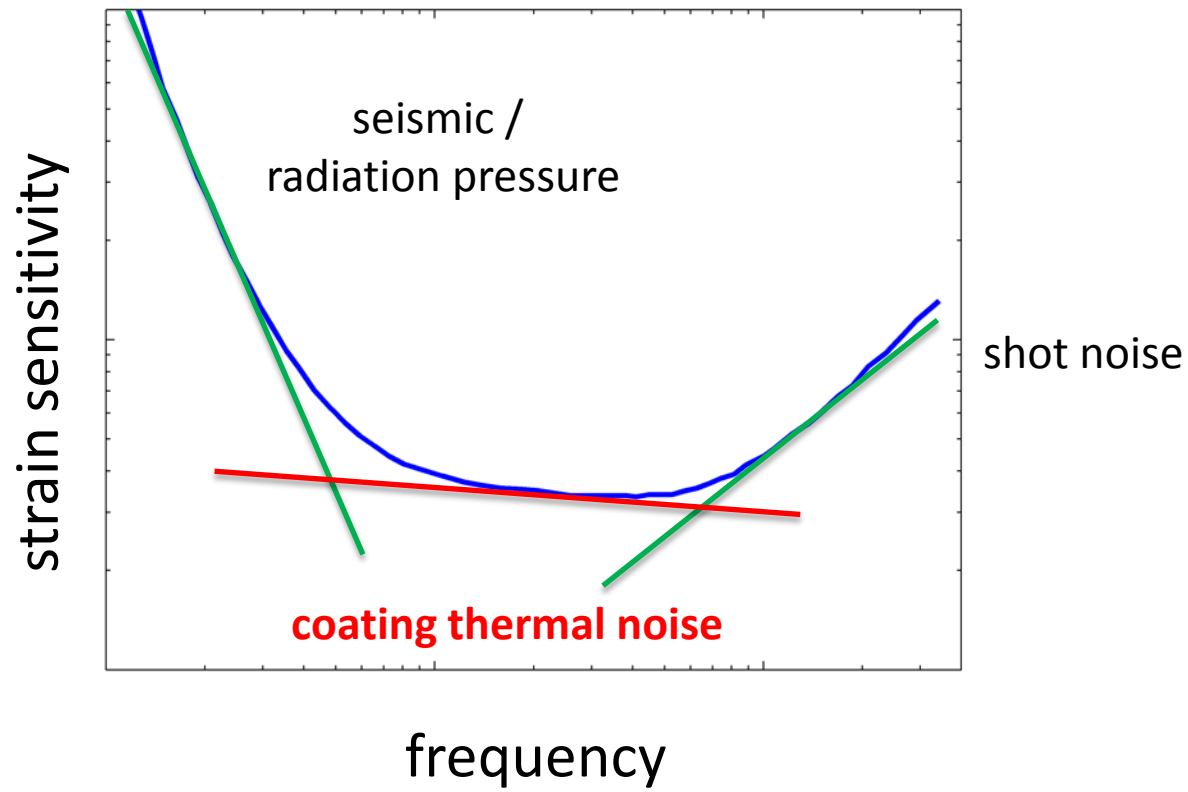
Outline

- **RWGs as mirrors**
- **Advanced grating concepts**
- **Fabrication**
- **Optical losses**

- **Summary & Outlook**



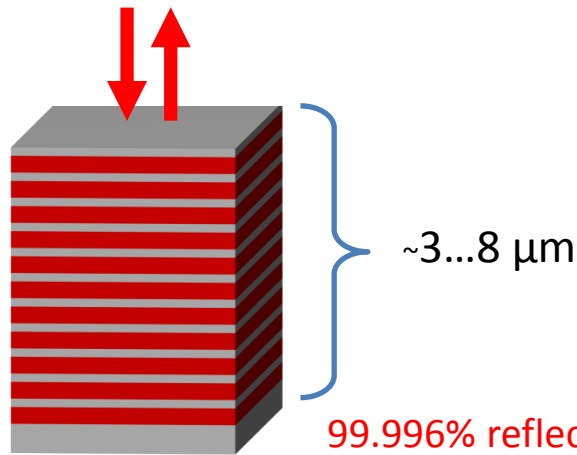
Introduction – coating thermal noise





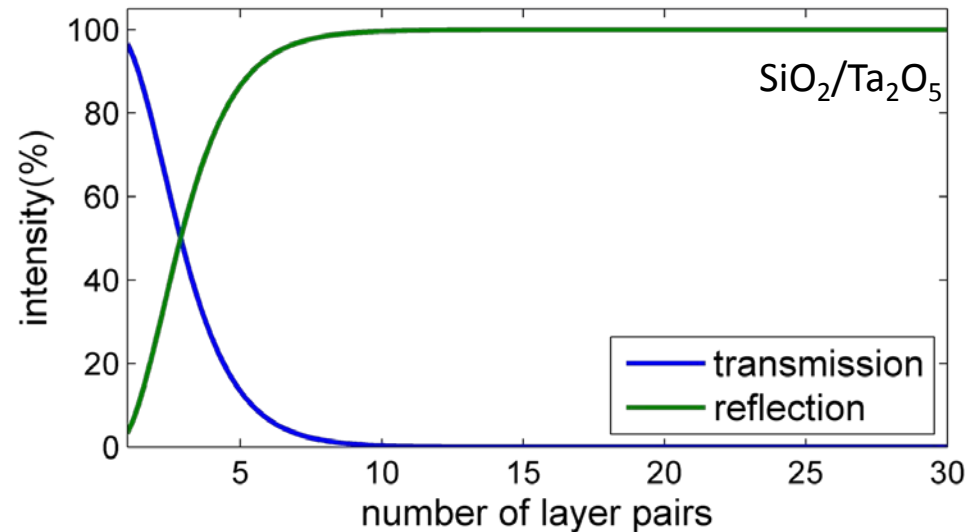
Introduction – reducing coating thermal noise

conventional: dielectric **crystalline**
multilayer stack



99.996% reflectivity
Harry et al. , CQG (2002)

multiple beam interference:



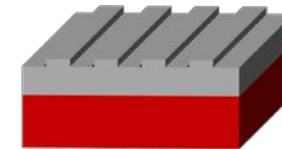
reflectivity → Brownian coating thermal noise

1. Possibility- optimization materials: crystalline coatings (Al_xGaAs)
See talk by G. Cole!



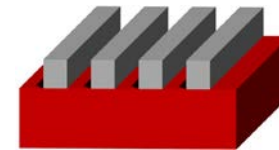
2. Possibility- alternative optical concepts + material optimization: Resonant waveguide gratings (RWGs)

Origin: weakly modulated high-index grating
on low-index substrate (narrow band)

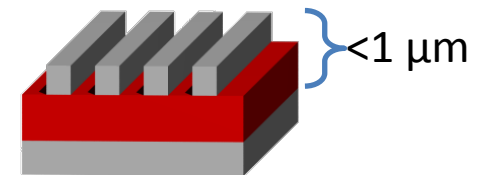


Popov et al., Opt. Comm. (1985)

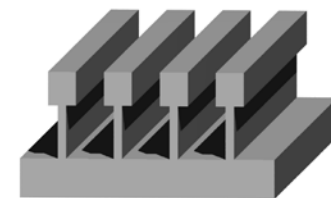
Stronger modulation: larger bandwidth



Replace low-index substrate



Replace low-index material



no coating

high-index

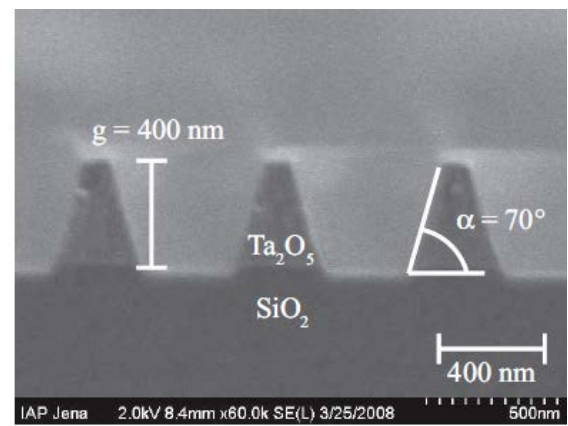
low-index

Brückner et al., PRL (2010)



RWGs as mirrors – so far

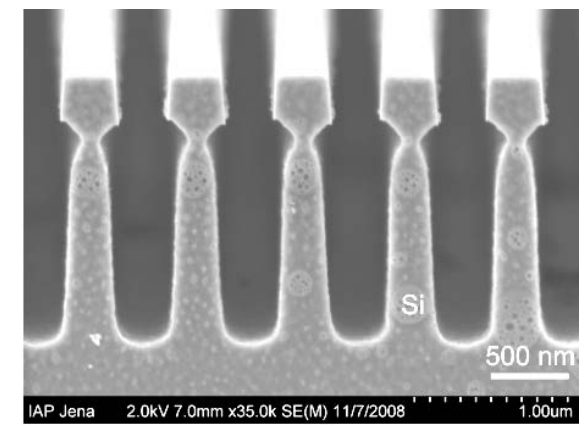
Tantala grating on silica for 1064 nm



Brückner et al., Opt. Express (2008)

$$R(1064 \text{ nm}) = (99.08 \pm 0.05)\%$$

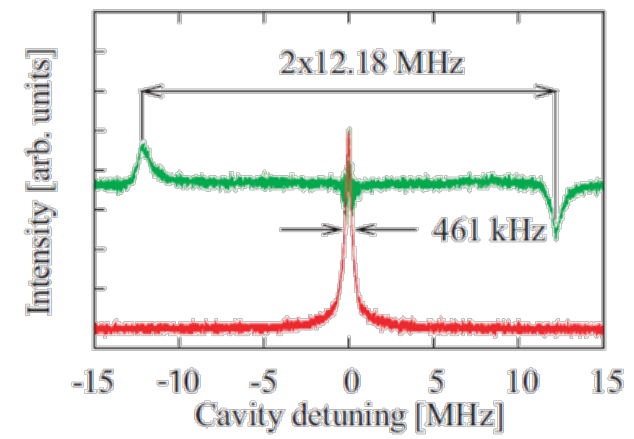
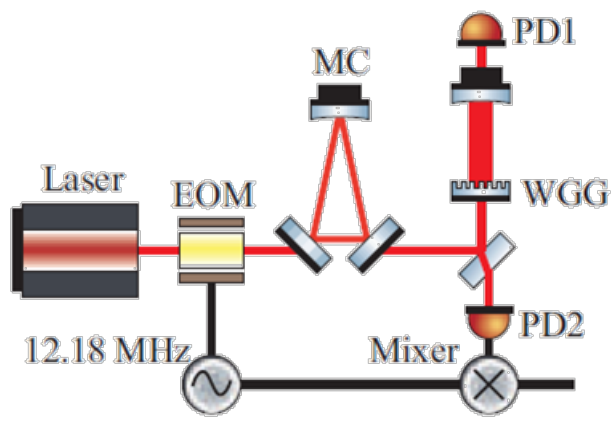
Monolithic silicon gratings for 1550 nm



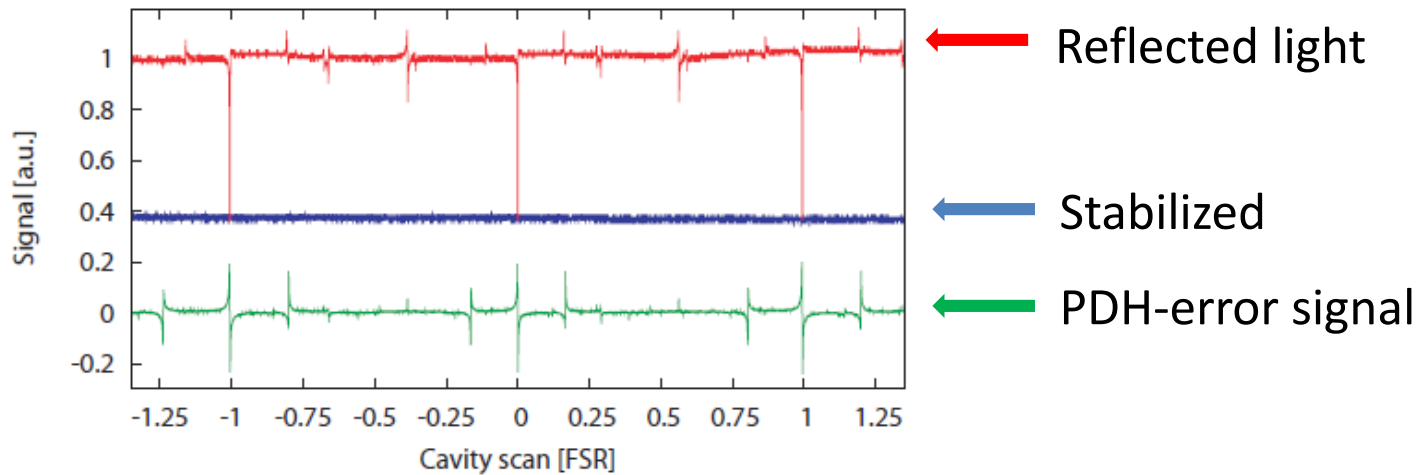
Brückner et al., PRL (2010)

$$R(1550 \text{ nm}) = (99.77 \pm 0.01)\%$$

reflectivity
measurements
in cavity

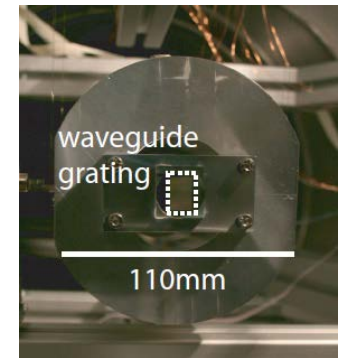
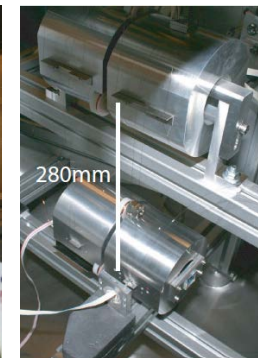


Tantala RWG

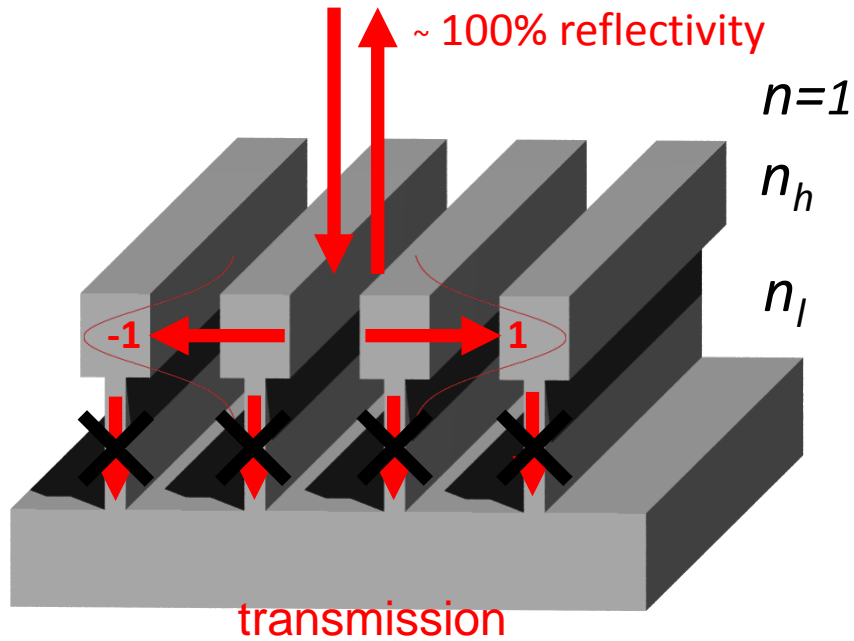


- Finesse= 790 (± 100) $\rightarrow R \geq 99.2(\pm 0.1)\%$
- Cavity stabilization with standard PDH-technique

D.Friedrich et al. Opt. Express 19, 14955 (2011)



Functionality of RWGS – horizontal modes



silicon as high-index material
($n_h=3.48$ @ $\lambda=1550$ nm)

1st diffraction orders
in grating



$$\lambda/n_h < p < \lambda/n_l$$



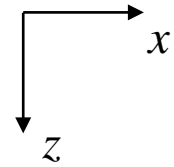
0th diffraction order
outside grating

p... grating period

Functionality of RWGS – vertical (Bloch-) modes

periodic array of slab waveguide

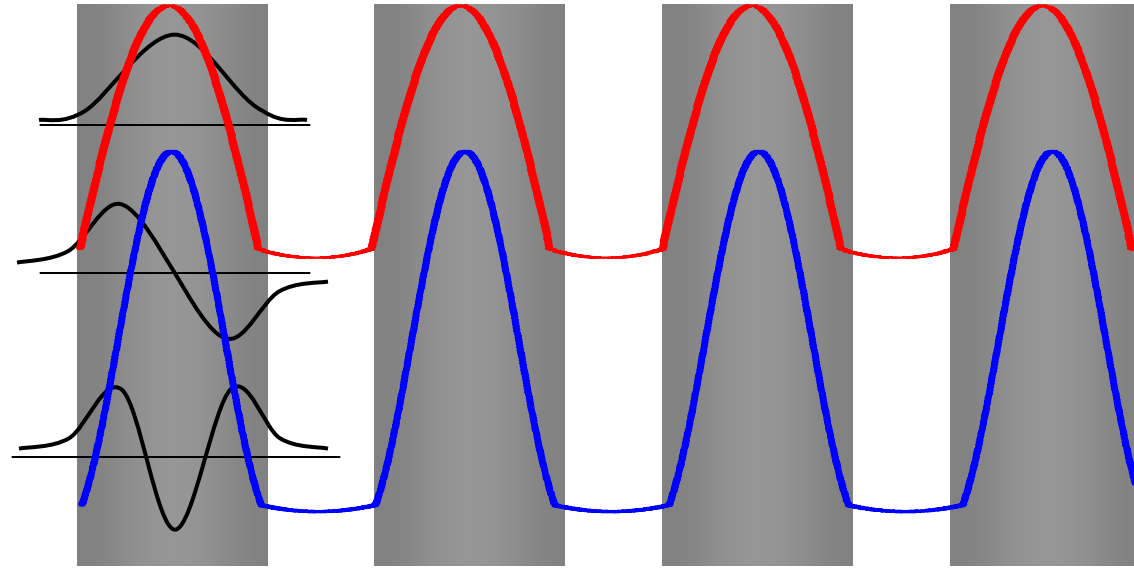
incident light



fundamental mode

1st mode

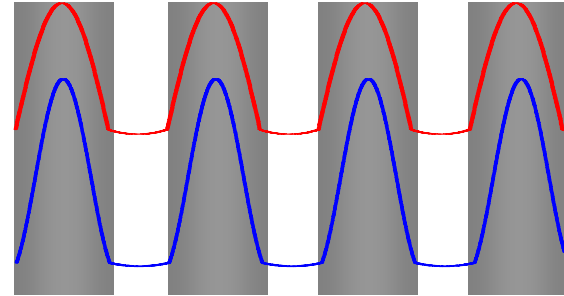
2nd mode



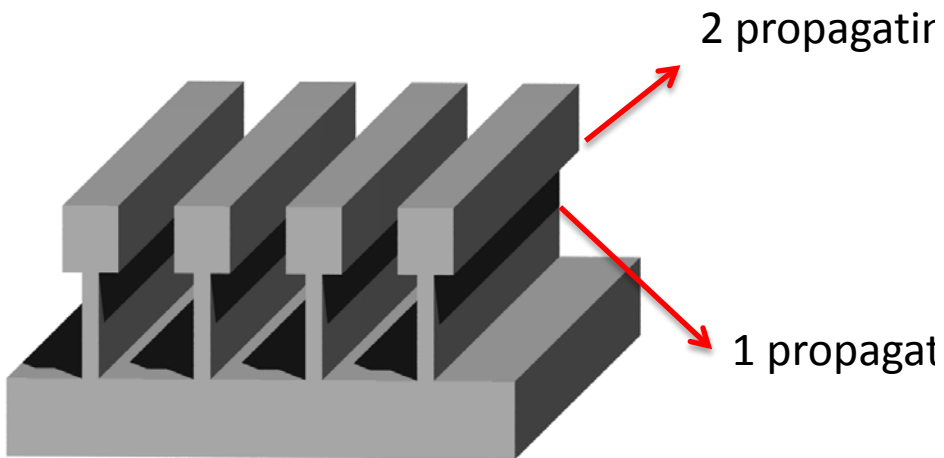
TM-Modes

Effective index dependent on:

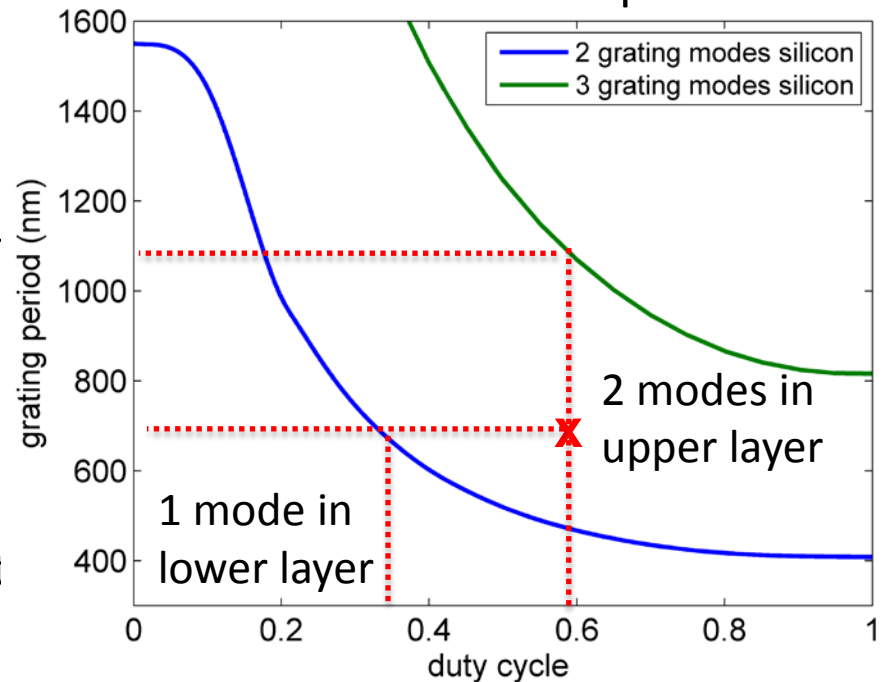
- fill factor
- period/ wavelength
- polarisation
- angle of incidence



High efficiency:



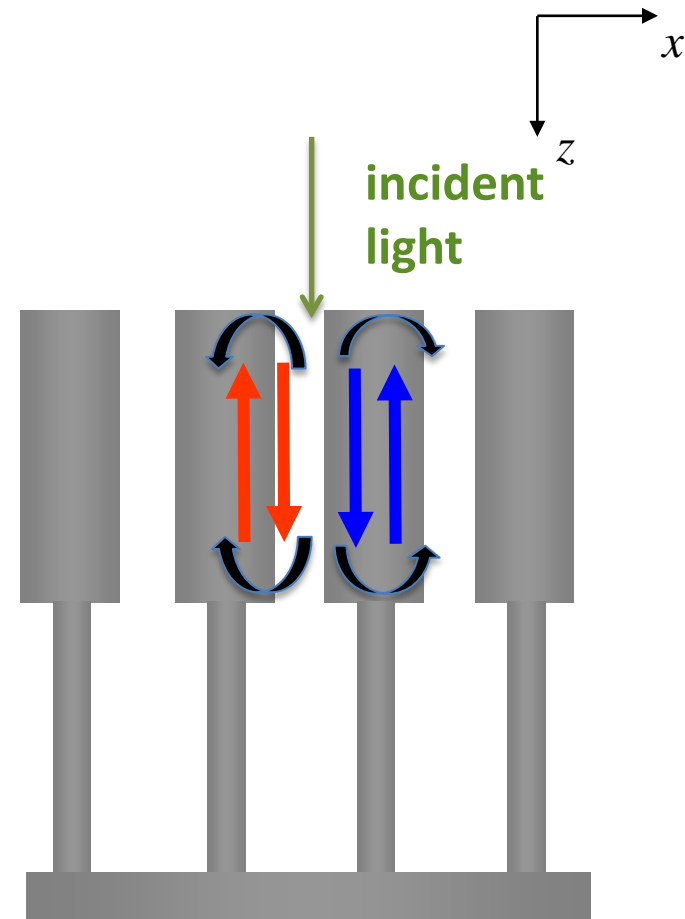
TM-polarization



mechanism for R=100%:

- phase difference due to different effective indices (different k_z),
- reflection/ interference at boundaries
- no additional phase in lower grating
- Amplitudes of grating modes need to cancel out

exact intensities and phases + physical insight



Lalanne , J. Lightwave Tech. (2006)

Karagodsky et al., Opt. Express (2009)



... interaction of light with surface structure...



S. Vyatchanin



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of Glasgow

S. Hild



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D. Heinert

R. Nawrodt

S. Kroker



東京大学
THE UNIVERSITY OF TOKYO

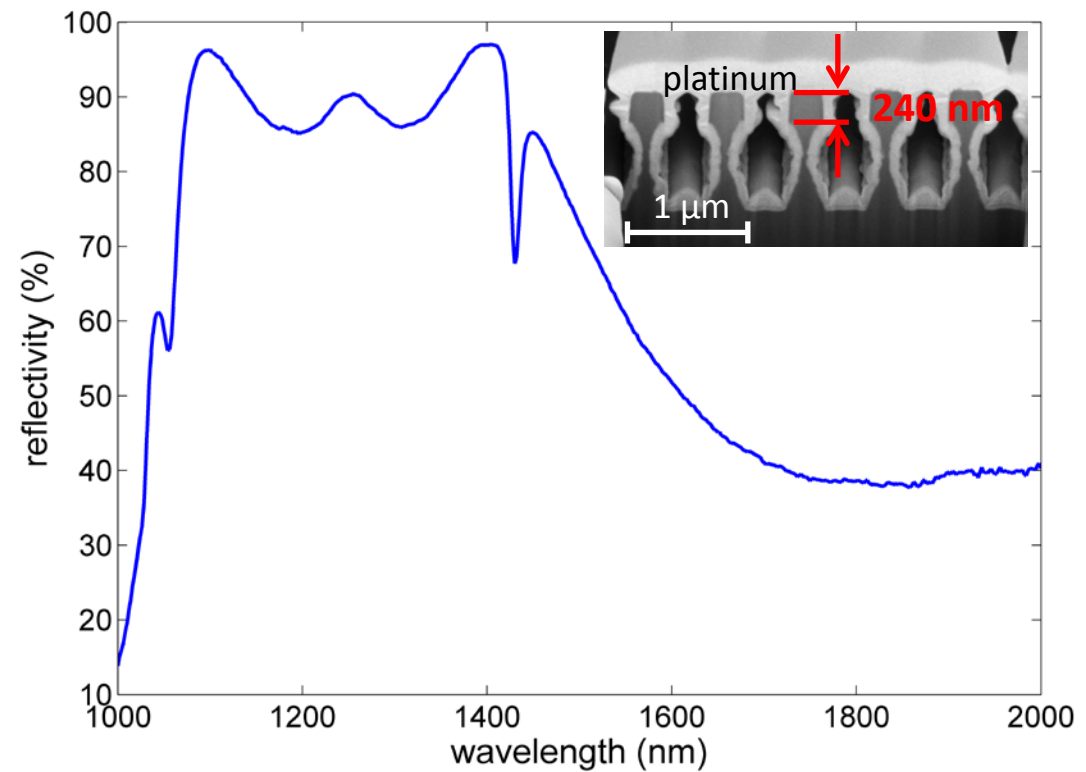
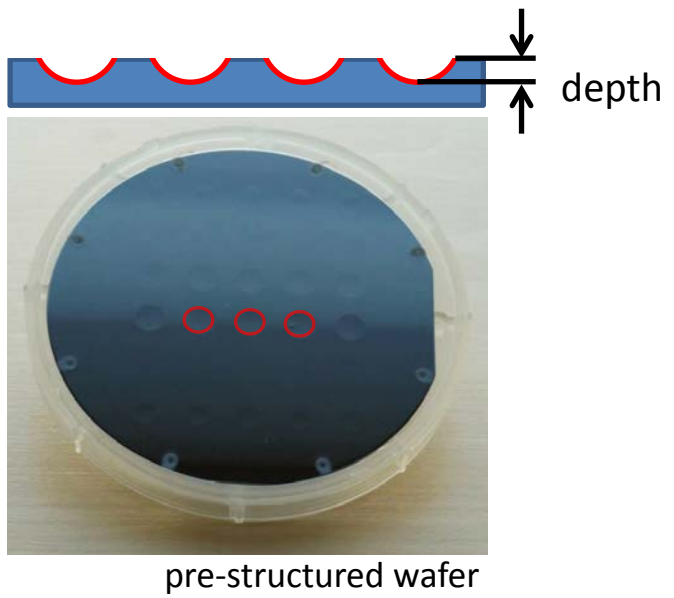
D. Friedrich

K. Yamamoto

Feel free to join!



Mirrors on Curved Substrates

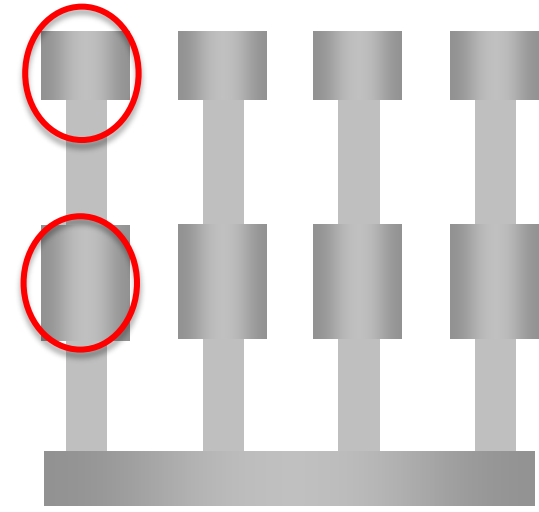
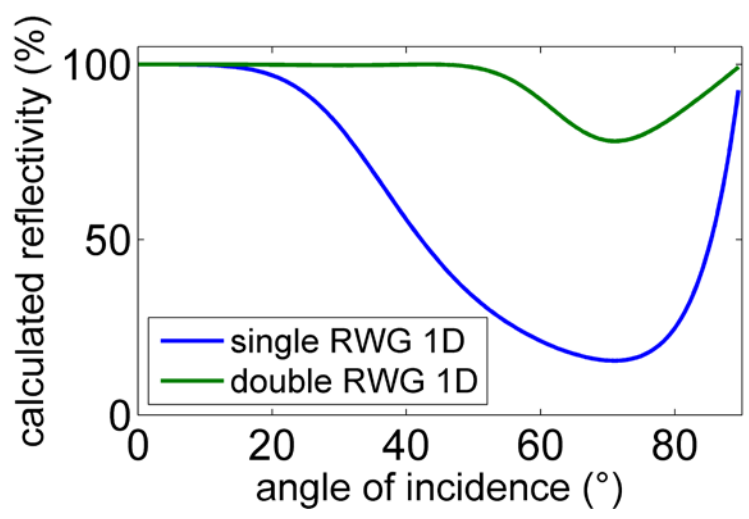
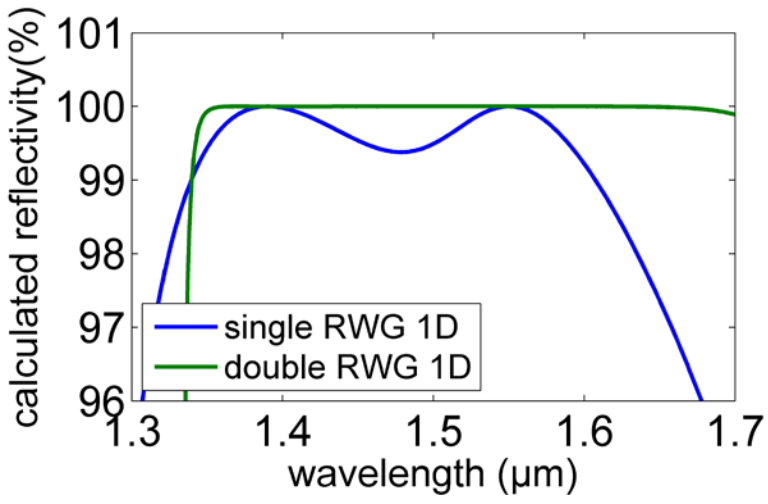


	grating	LIGO
ROC (m)	0.6	~2000
r_{Mirror} (m)	0.0035	0.17
depth (μm)	~10	~7

maximum reflectivity : **97%** ($\lambda=1396$ nm, $\theta=5^\circ$)
resonance to larger wavelength with larger period/thickness...



1. stacked RWGs



grating 1

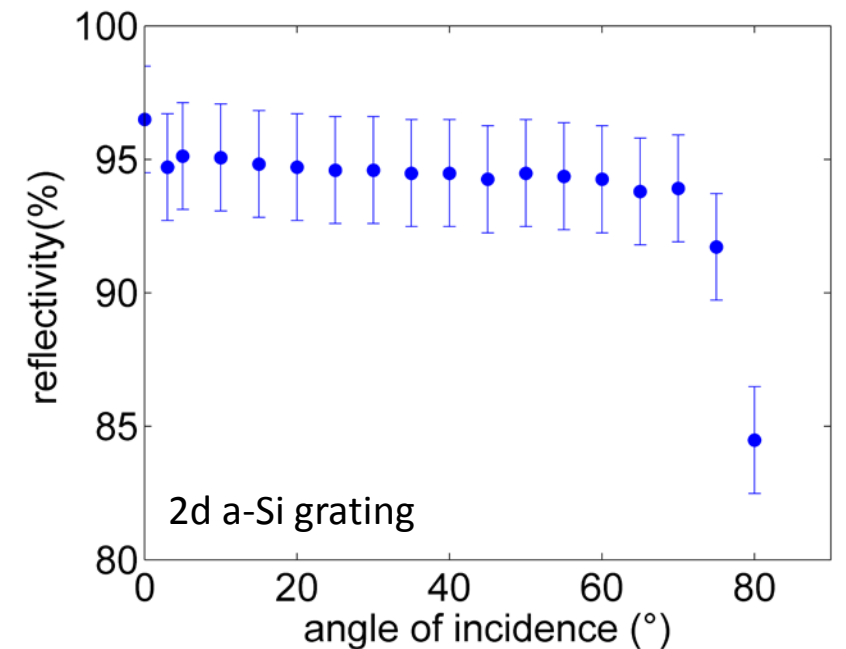
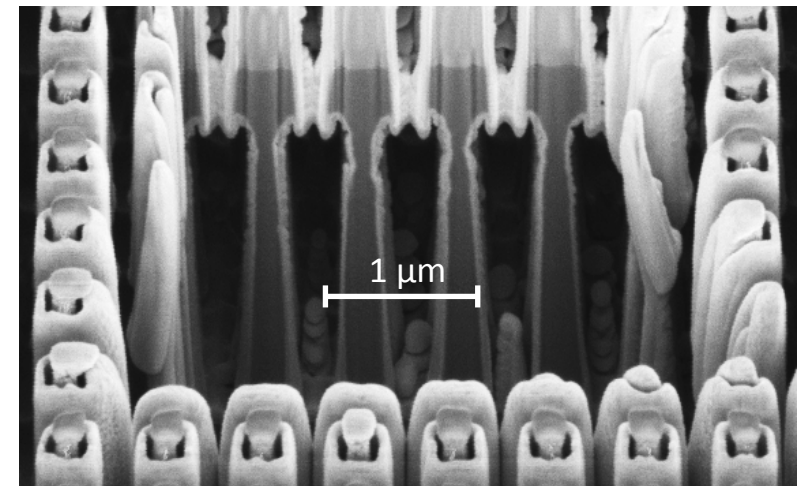
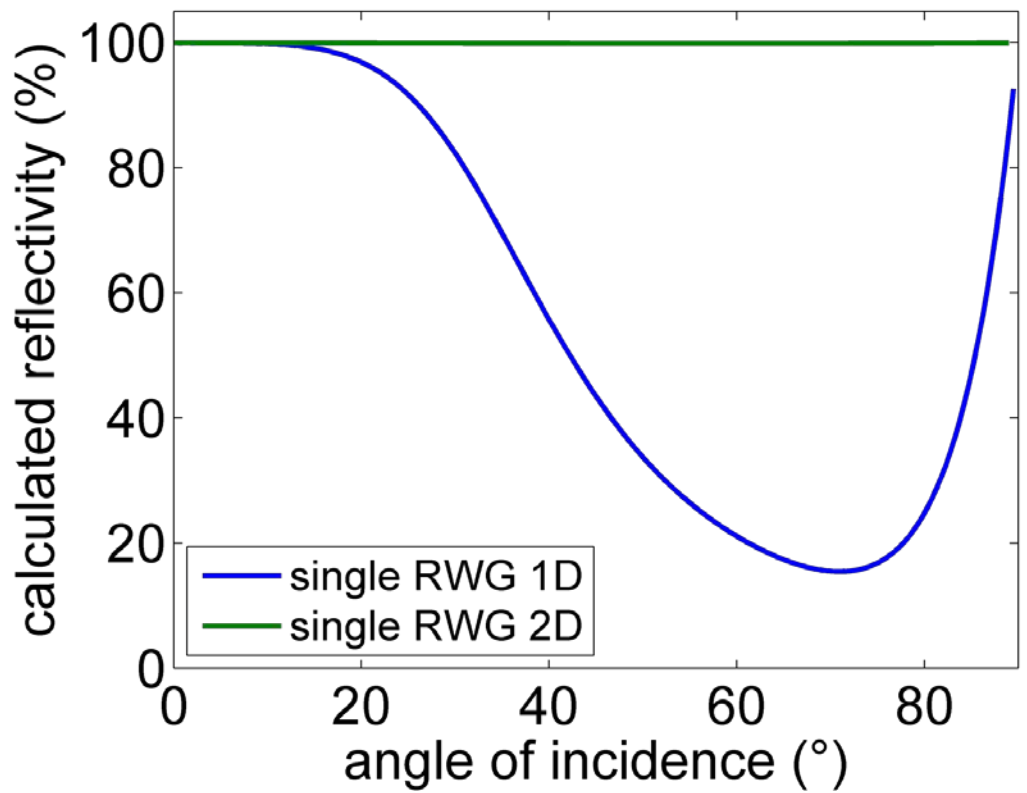
entire system?

grating 2

Thickness determines wavelength/ angle of max. reflection

- enhance angular/ spectral bandwidths
- enhance experimentally feasible reflectivity

2. RWGs with 2D periodicity



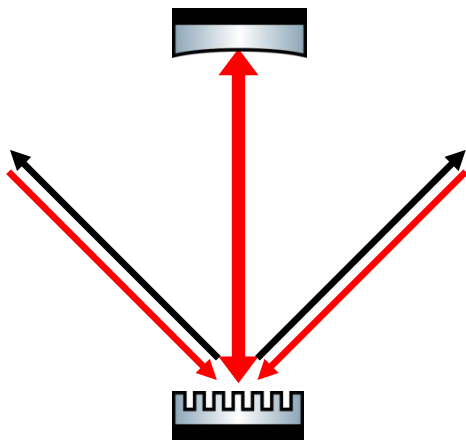
basis for flat focusing mirrors



Combination von highly efficient mirrors with diffraction grating

Highly efficient mirror

$p_{mirror} < \lambda$
only 0th order

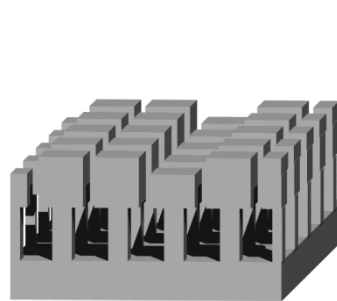


high Finesse
→ only weak perturbation

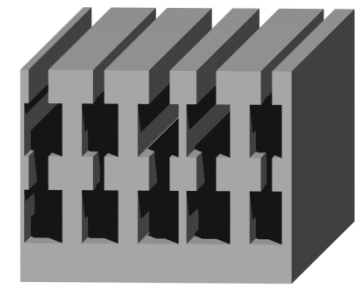
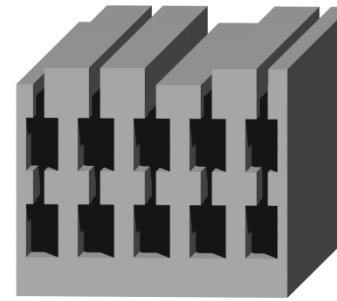


Diffraction grating

$p_{diff} = \lambda \dots 2\lambda$
0th, $\pm 1^{\text{st}}$ orders



transversal
modulation:
Grating depth

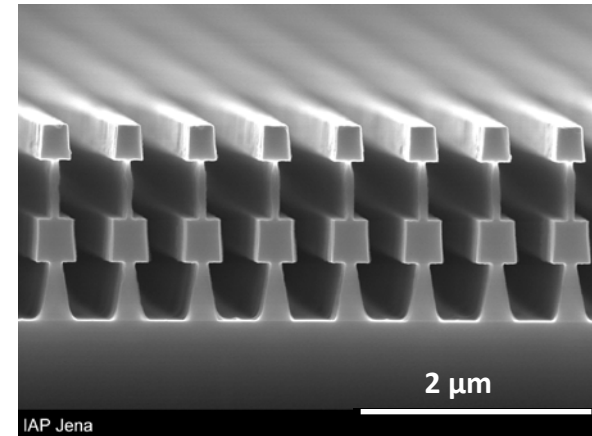
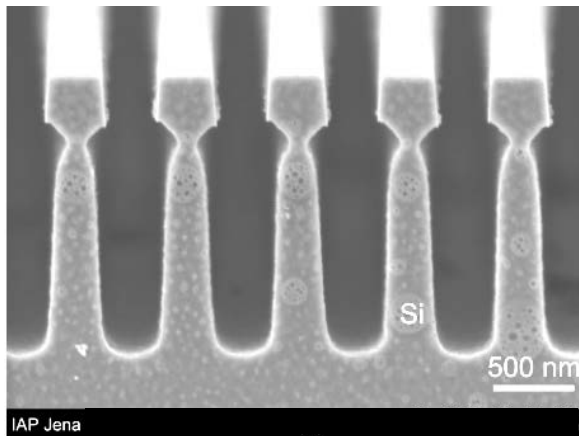
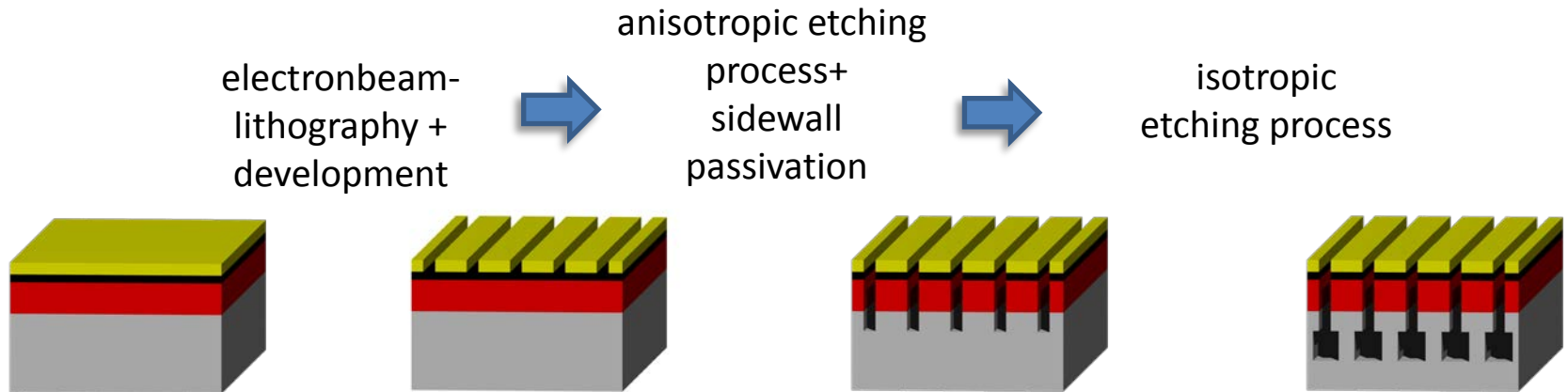


lateral
Modulation:
Ridge width/
-position

High angular tolerance of reflector necessary



Fabrication



silicon SiO₂ chromium electron-beamresist



Where is the missing light?

$$R = (99.8 \pm 0.01)\%$$

absorption

material properties

See talk by J. Komma!

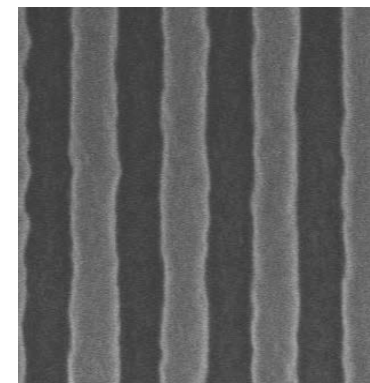
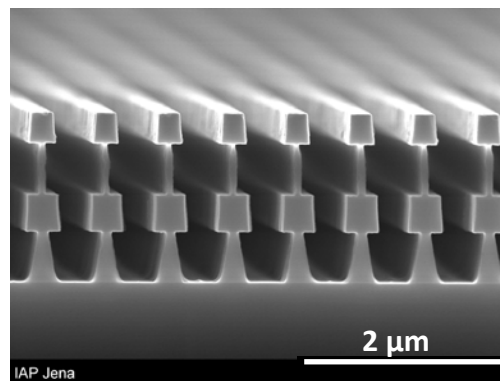
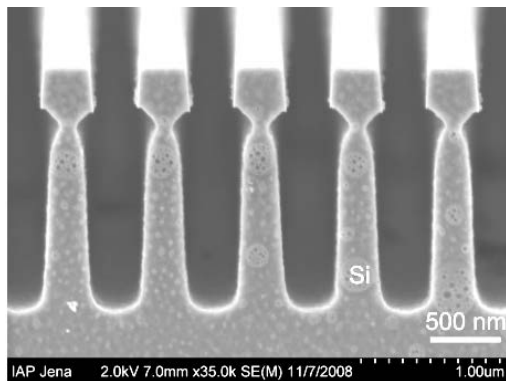
transmission

structure
deviations

**scattered
light**

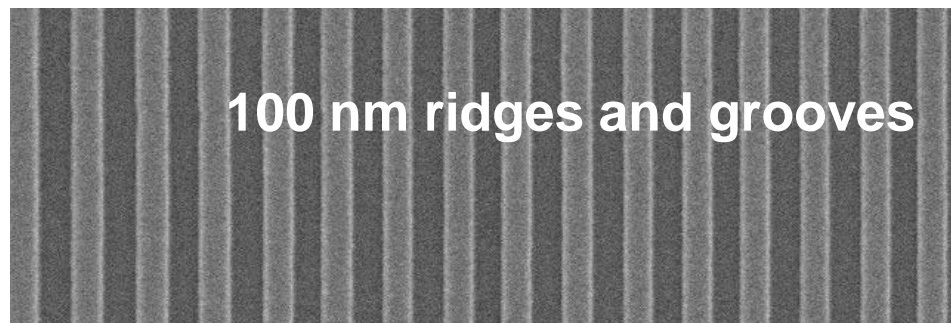
systematic
(ridgewidth/-depth)

stochastic
(line edge roughness)

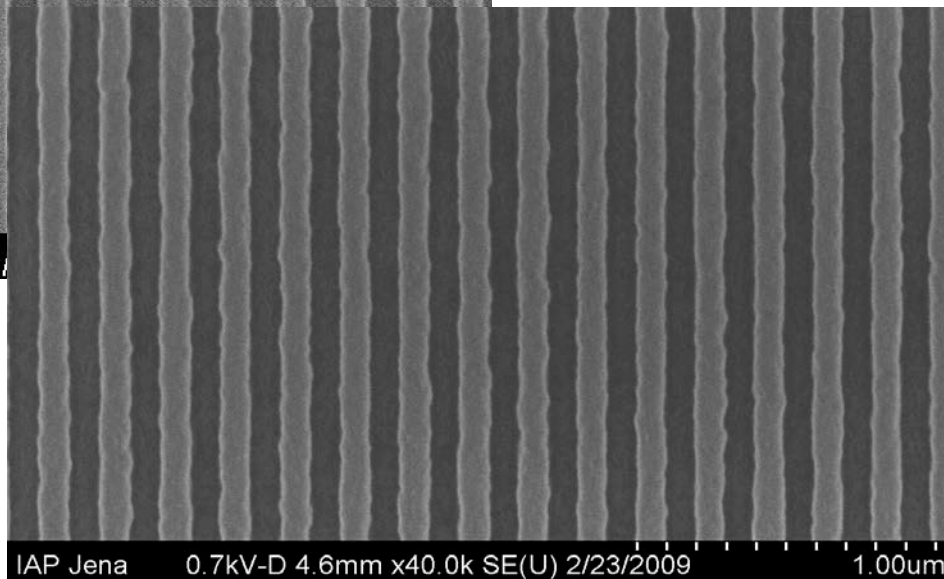
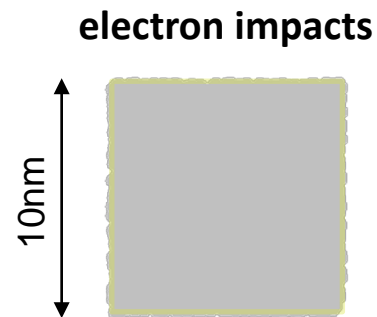




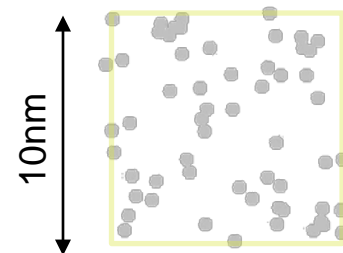
Line edge roughness due to particle statistics in the lithographic process



low sensitivity
(8000 e⁻/100 nm²)



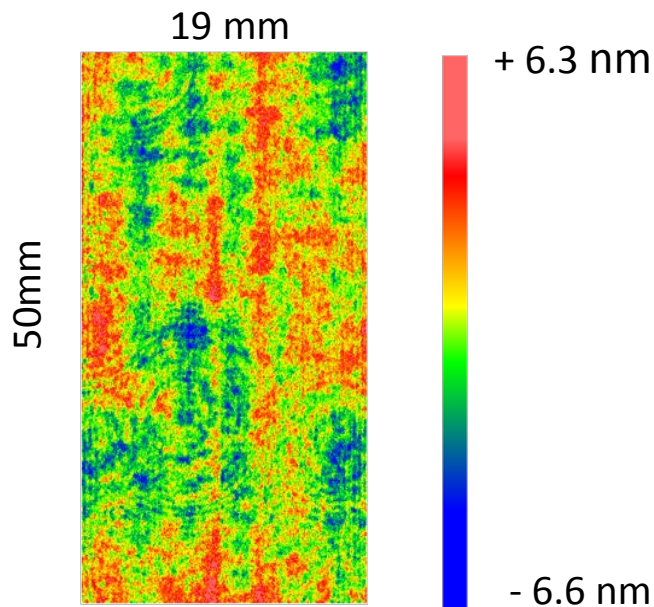
high sensitivity
(60 e⁻/100 nm²)





Grating accuracy

wave-front measurement (1 μm period grating + technology, Littrow-Mount, $\lambda=633\text{ nm}$)



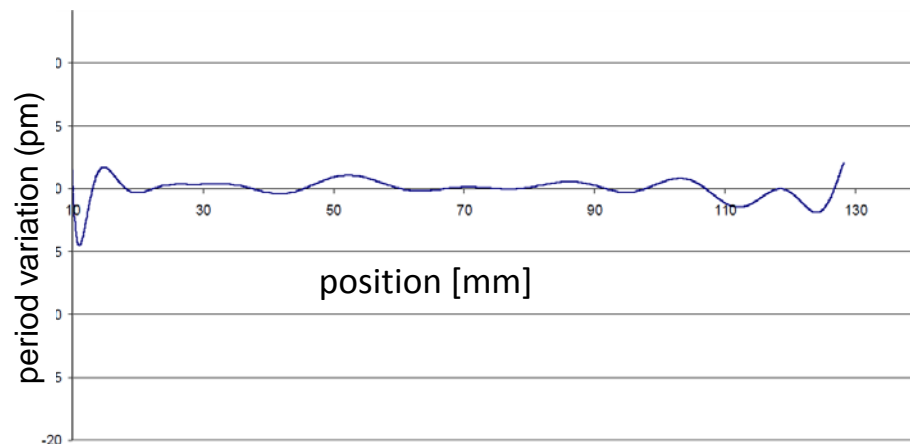
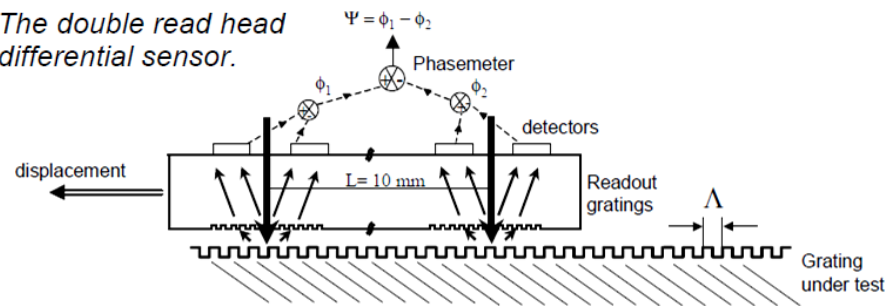
	wavefront	placement
PV	12.8 nm	< 10.3 nm
rms	1.4 nm	< 1.1 nm

significantly better than interferometric gratings

Report on the grating writing analysis

Laboratoire Hubert Curien CNRS - Fraunhofer IOF

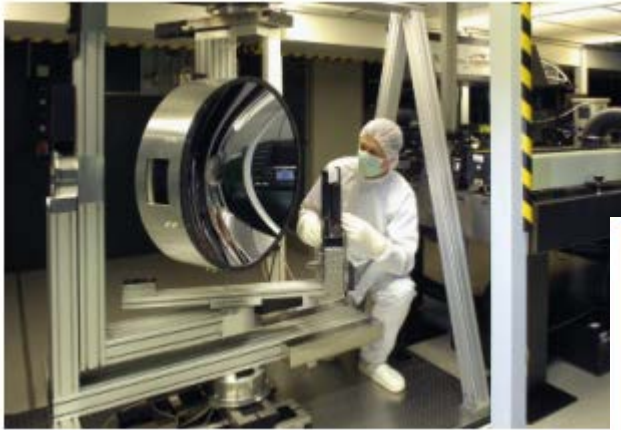
The double read head differential sensor.



period variation < 5 pm



Sophisticated device for measurement of scattered light ALBATROSS at Fraunhofer Institute IOF



Measurements of scattered light at 1550 nm set up right now!



Summary

- RWGs capable of providing high reflectivity
- Experiments for higher R running
- Origin of optical losses to be identified
- Thermal noise to be investigated