

**REVIEW OF
LIGO BAFFLE DESIGN
AND
LIGHT SCATTERING IN BEAM TUBE**

6-7 JANUARY 1995

LIGO-G950079-00-R

REVIEW OF LIGO BAFFLE DESIGN AND LIGHT SCATTERING IN BEAM TUBE
January 6 and morning of January 7, 1995
Room 114 East Bridge, Caltech, Pasadena, CA

Final Agenda
Begin Each Morning at 9:00AM
Indicated Times Include Discussion

PRELIMINARIES:

9:00 Overview of Issues --- Kip Thorne
(20min)

9:20 The Present Baffle and Beam Tube Designs
(40min) LIGO --- Larry Jones
 VIRGO --- Jean-Yves Vinet
 GEO --- Walter Winkler

ISSUES UNDERLYING SCATTERING CALCULATIONS [brief presentations by some or all of the indicated people, followed by a general discussion]:

10:00 Mirror Irregularities and Light Scattering from Mirrors:
(45min) Measurements; Theory; $1/\theta^2$ Approximation for $dP/d\Omega$;
Reciprocity Relation for Scattering Out of and Into Main Beam
--- Rai Weiss
--- Jean-Yves Vinet
--- Walter Winkler
--- Eanna Flanagan [Reciprocity Relation]

10:45 Surface Roughness, Specular Reflectivity, BRDF & Scattering Cross
(45min) Sections for beam-tube materials [small incidence angle] and for
candidate baffle materials [large incidence angle]:
measurements and theory
--- Rai Weiss
--- Bob Breault
--- Jean-Yves Vinet
--- Walter Winkler
--- Hal Bennett???
--- Eanna Flanagan

11:30 Beam Tube and Baffle Vibrations
(45min) --- Larry Jones [seismic spectra at LIGO sites]
 --- Mike Gamble [normal mode analysis of LIGO beam tube]
 --- Eanna Flanagan [phase noise put onto scattered light
 that scatters or diffracts off vibrating baffles
 and scatters or reflects off vibrating walls]
 --- Jean-Yves Vinet
 --- Walter Winkler

12:15 Photodetector Spatial Inhomogeneities, and the Unimportance??
(15min) of Recombination of Scattered Light Into the Main Beam at the
Photodetector Compared to Recombination at a Cavity Mirror
--- Rai Weiss
--- Kip Thorne

12:30 Lunch

SATURDAY MORNING

9:00 Special Scattering Noise Effects for Special Interferometer
(30min) Configurations:

Delay Lines
--- Walter Winkler

Dual Recycled Interferometers
--- Kip Thorne

9:30 Scattering Noise and its Control in Instrumentation Chambers
(30min)

---Jean-Yves Vinet
---Rai Weiss
---Walter Winkler

CONCLUSIONS:

10:00 Is the present LIGO baffle design adequate? optimal?
(2hours) What changes should be considered?
What further studies should be done before freezing the design?

12:00 Finish

SCATTERING CALCULATIONS:

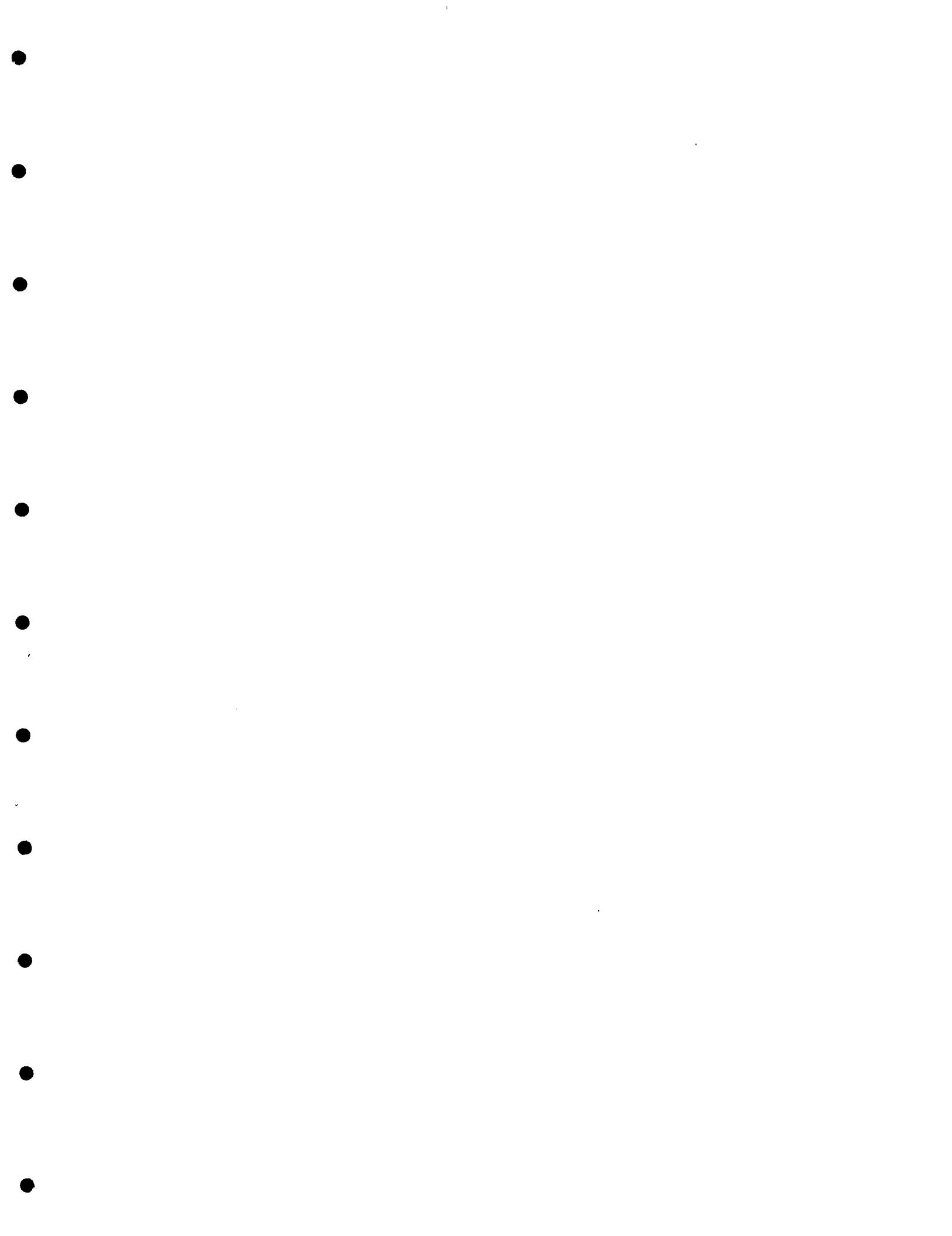
1:30 Overview of the Calculations and Final Answers for the
(60min) Gravitational-Wave Noise $h(f)$ from the Dominant Scattering Paths

LIGO --- Kip Thorne
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GEO --- Walter Winkler

Details of scattering calculations assuming Full Decoherence. Each scattering path will be discussed individually and fully before turning to the next one. Some or all of the following people to make presentations on each issue...

--- Bob Breault
--- Rai Weiss
--- Eanna Flanagan
--- Kip Thorne
--- Jean-Yves Vinet
--- Walter Winkler

- | | |
|-----------------|--|
| 2:30
(30min) | Specular Reflection from One End of the Tube to the Other,
Evading All Baffles |
| 3:00
(30min) | Backscatter off Baffles |
| 3:30
(20min) | Backscatter off Near Wall |
| 3:50
(15min) | Backscatter off Objects at Far End of Vacuum System |
| 4:05
(5min) | Diffraction Aided Reflection |
| 4:10
(60min) | Diffraction off Baffles; Coherent Scattering Effects
and their Control; Mechanisms of Decoherence |
| 5:10
(5:15) | Reflection off Baffle Edges |



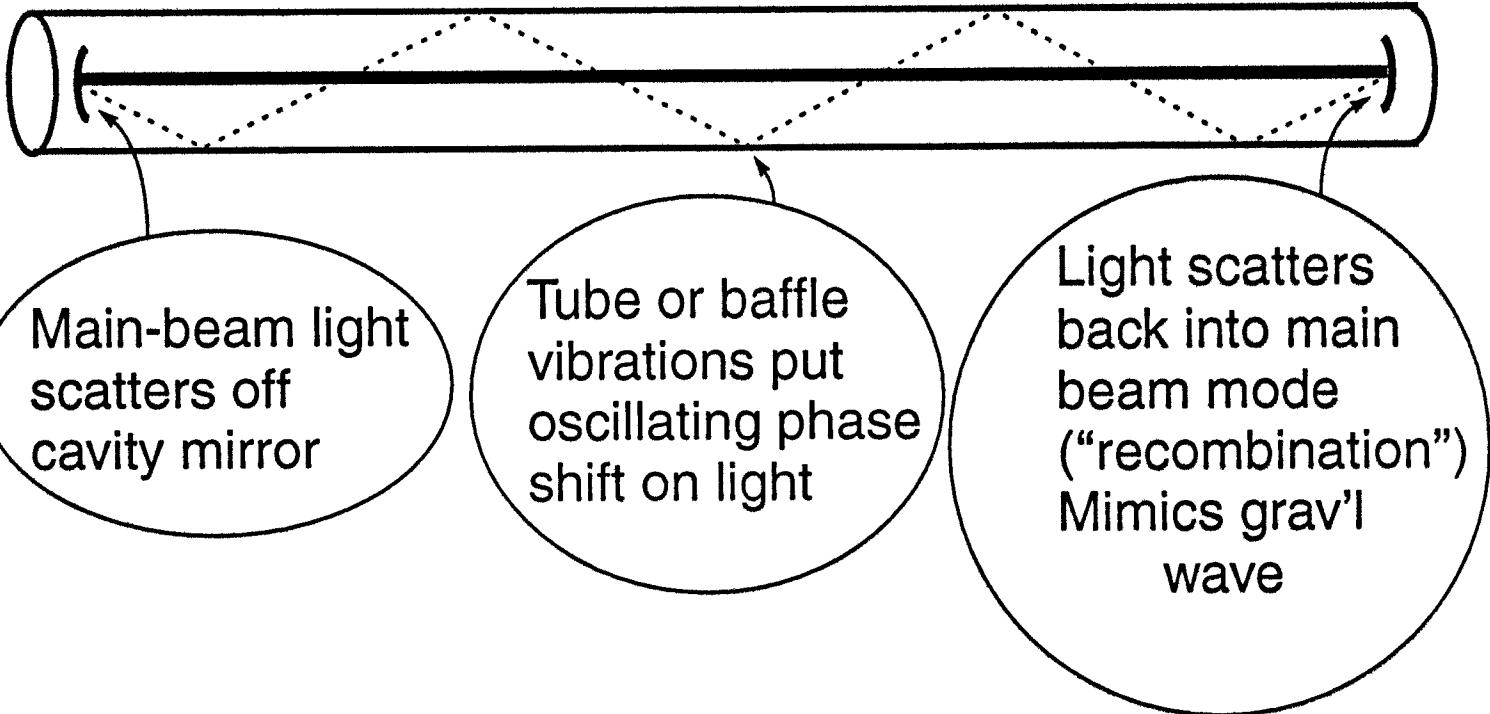
LIGO BAFFLE REVIEW

Caltech, 6 & 7 January 1995

OVERVIEW OF ISSUES

Kip Thorne

PHYSICAL ORIGIN OF SCATTERING NOISE



- Differs from usual light-scattering noise by the essential role of the fluctuating phase shift
- DC scattered light is NOT a problem

AGENDA

- Philosophy of organization
 - *By issue, with short presentations by several people + discussion*
- Walk through;
 - changes since preliminary

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Indicated Times Are the Earliest Each Item is Likely to Occur;
up to 90 minutes of slippage are possible

Chair: Stan Whitcomb

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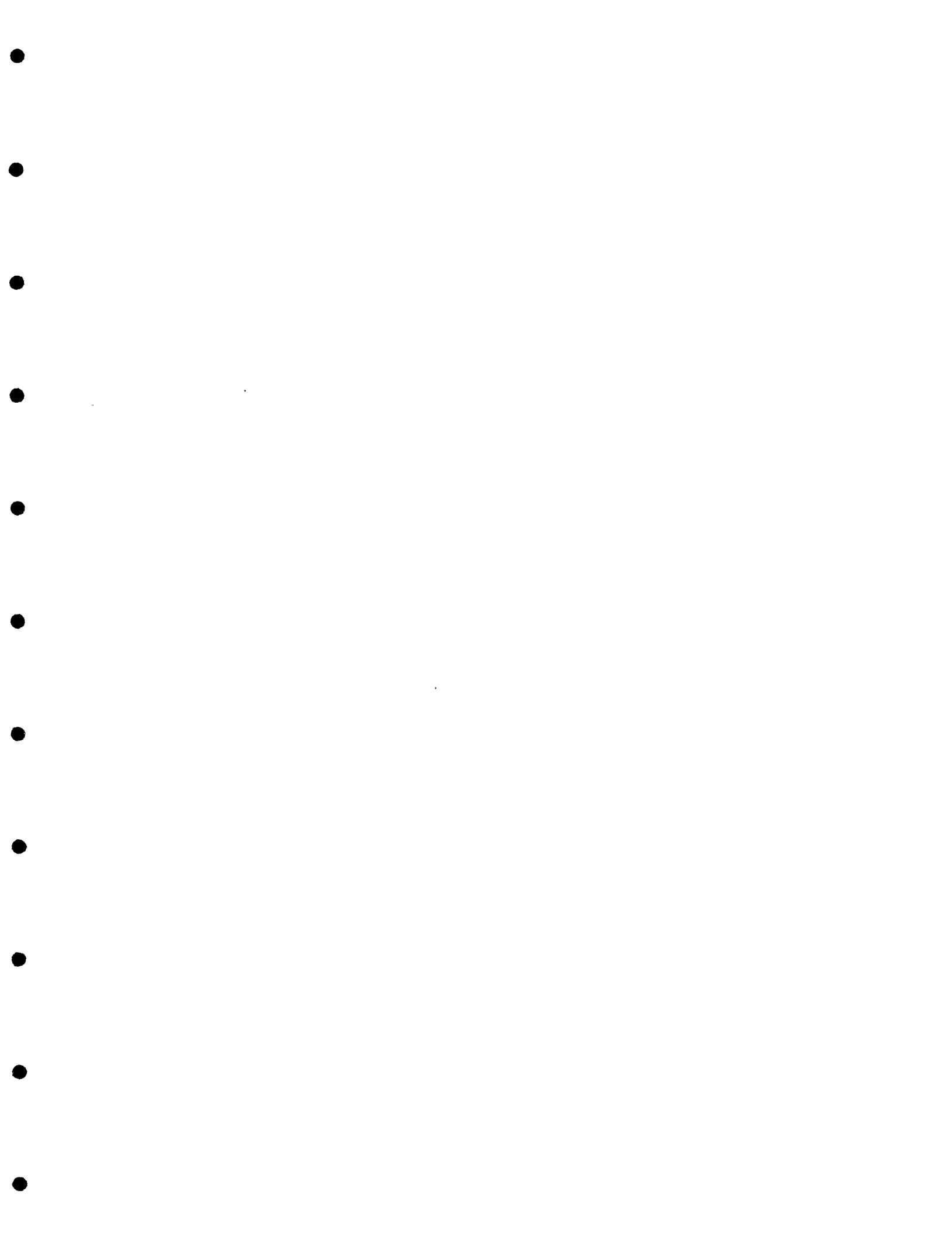
12:00 Finish

• MY GUESS AT BOTTOM LINE OF MEETING

- ● We are not ready to freeze baffle design
- ● We will probably want to make a number of changes in the baffle design
- ● We need further measurements and further calculations based on those measurements, before finalizing the design changes

• SOME SUGGESTIONS FOR BAFFLE CHANGES

- 1. Change design goal from “SQL for 1 ton test mass”, to “0.1 SQL for 1 ton”
= “0.03 SQL for 100kg”
- 2. Change baffle material from beam-tube steel ($dP/d\Omega = 0.01$) to Martin black or other material with $dP/d\Omega = 0.001$
- 3. Baffle the first 100 meters of beam tube (it is now bare)
- 4. Remove unneeded baffles from central regions of beam tube
- 5. Randomize the heights of baffle serration peaks and valleys by 0.5mm



LIGO BAFFLE REVIEW

Caltech, 6 & 7 January 1995

*OVERVIEW OF SCATTERING
NOISE CALCULATIONS,*

*ANSWERS, AND IMPLICATIONS
[LIGO]*

Kip Thorne

HISTORY OF CALCULATIONS & METHODS

LIGO

- Kip, 88/89 -- Analytic:
phase coherent paraxial optics;
phase incoherent intensity analysis
- BRO + Weiss & Whitcomb, 91/92 --
Monte Carlo ray propagation
+ phase noise
- Vinet found two serious errors in Kip's
analytic analysis & correspondingly in
phase noise part of BRO + WW, 93 & 94
- Eanna Flanagan & Kip, 94 --
Analytic; complete reanalysis:
phase coherent paraxial optics;
phase incoherent intensity analysis

• QUANTUM NOISE & BAFFLING GOAL

• STANDARD QUANTUM LIMIT

$$\tilde{h}_{SQL} = \left(\frac{8\hbar}{m(2\pi f L)^2} \right)^{1/2} = \frac{4 \times 10^{-24}}{\sqrt{\text{Hz}}} \frac{10\text{Hz}}{f}$$

$\uparrow 1\text{ton}$

• OLD GOAL:

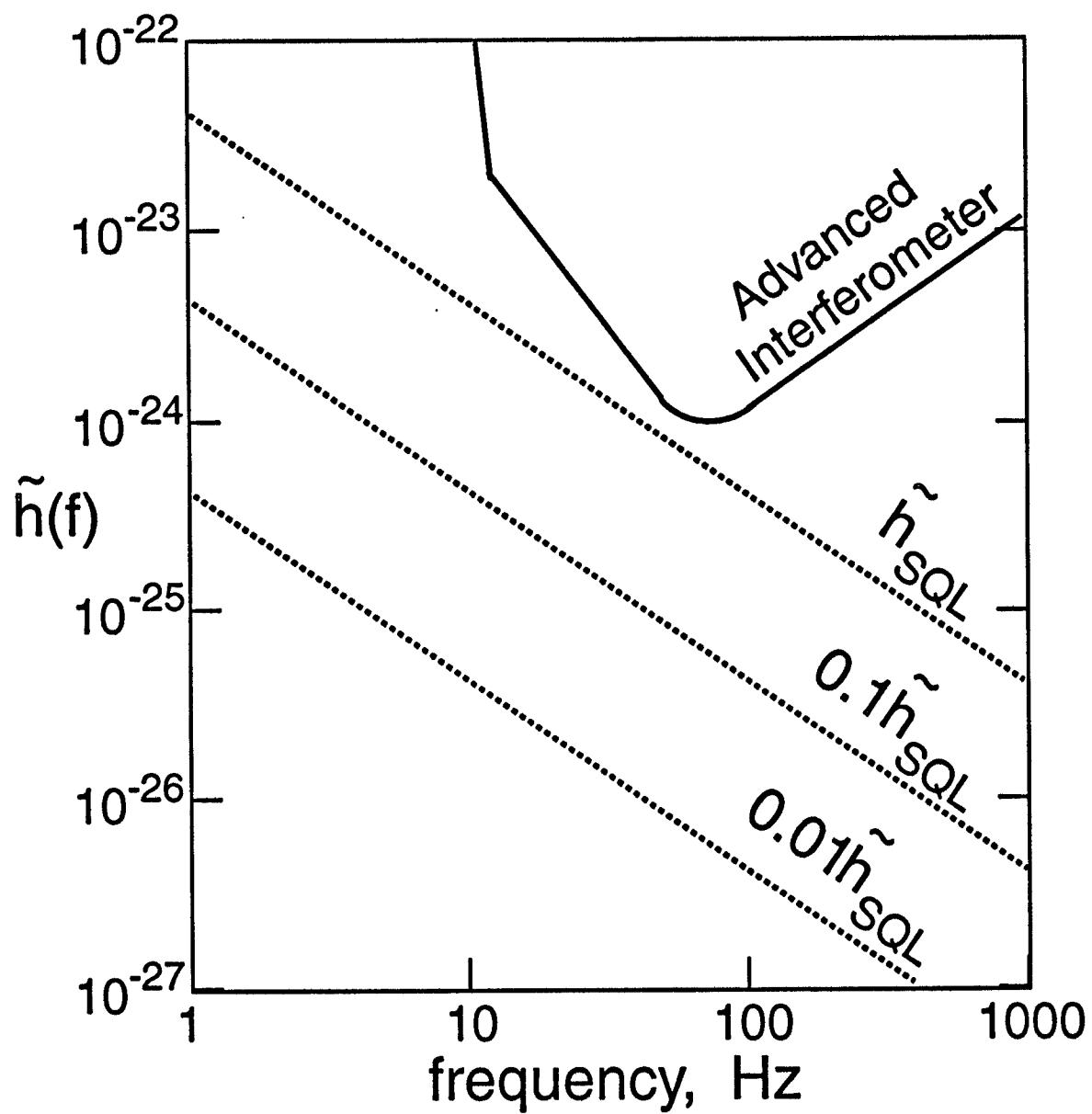
- Keep $\tilde{h}_{SCATT} < \tilde{h}_{SQL}$ @ $10\text{Hz} < f < 100\text{Hz}$
 $\uparrow 1\text{ton}$
 (keep best estimate below $0.1 \tilde{h}_{SQL}$
 factor 10 for uncertainties)

• BUT:

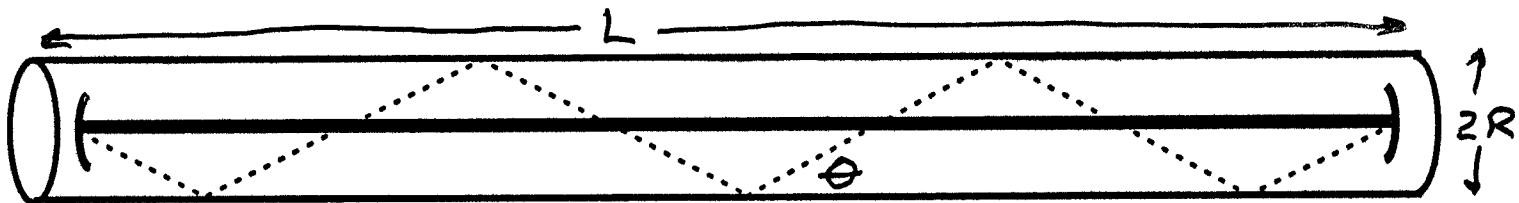
- 10 to 30 years after LIGO begins operations, hope for say factor 10 of QND @ say $m = 100\text{kg} \Rightarrow$
- detector noise @ $\tilde{h} = \frac{1}{3} \times \tilde{h}_{SQL}$
 $\uparrow 1\text{ton}$

• SUGGESTED NEW GOAL

Keep $\tilde{h}_{SCATT} < 0.1 \tilde{h}_{SQL}$ @ $10\text{Hz} < f < 100\text{Hz}$
 $\uparrow 1\text{ton}$



REFLECTION / FORWARD SCATTERING



- Avoiding baffles

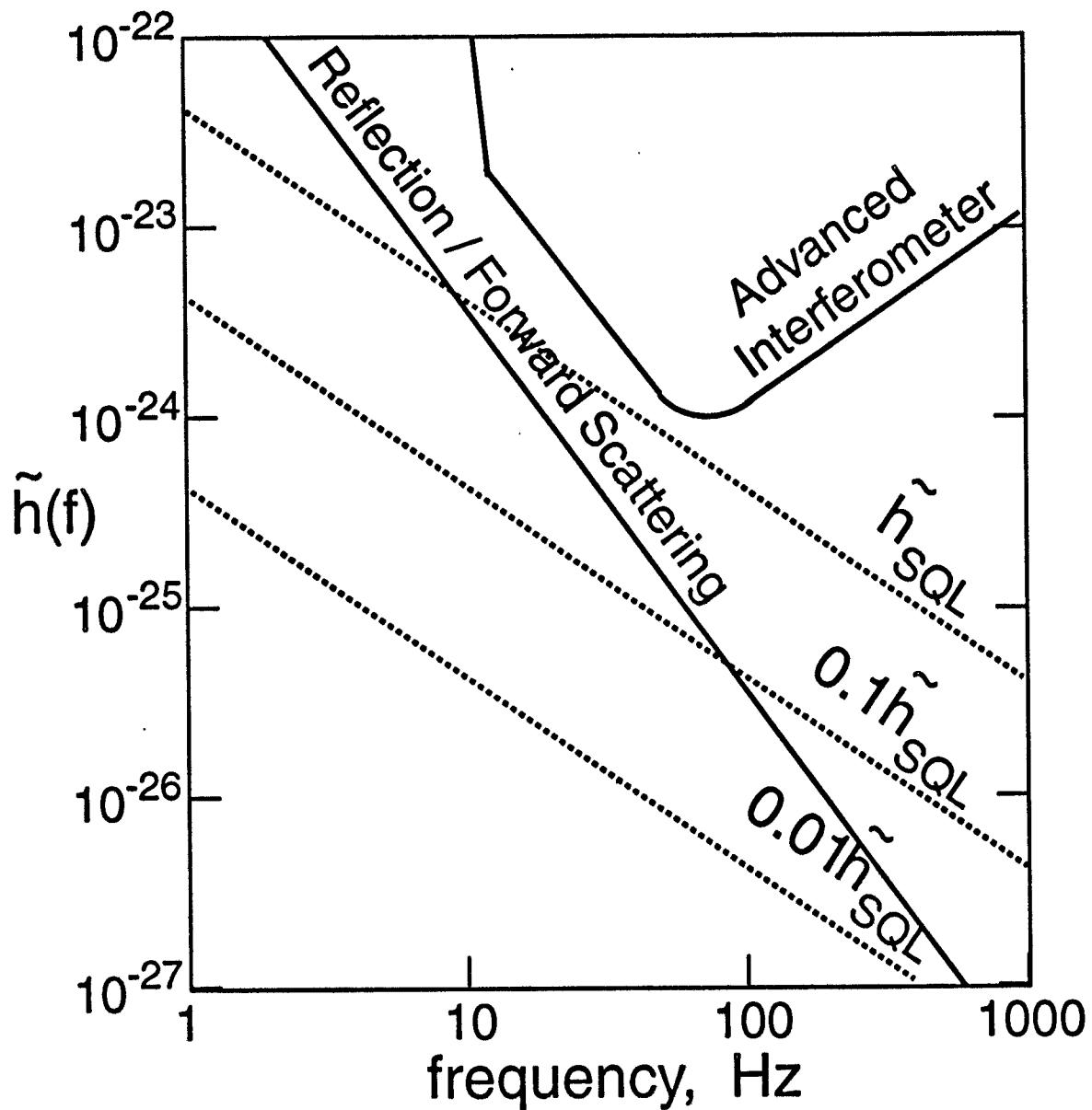
$$\tilde{h}_{\text{refl}} = \alpha \sqrt{2R(\theta) M(\theta)} \frac{\lambda}{\sqrt{LR}} \frac{\overline{A(f)} \tilde{\xi}_s(f)}{R} \sqrt{\Delta\theta}$$

↓ Attenuation ↑ coherent magnification ... 2?
 $\approx \tilde{h}_{\text{SQL}}$
 $= \overbrace{3.4 \times 10^{-24}}^{\times} \left(\frac{10 \text{ Hz}}{f} \right)^2 \sqrt{R(\theta)} \sqrt{M(\theta)/z} \left(\frac{\Delta\theta}{0.01} \right)^{1/2}$

ISSUES:

- Rai complete measurements of forward scattering
Redo analysis of attenuation down pipe, and noise from this process, for various baffle configurations

Proposal: Baffle the presently bare 1st 100 m of wall after gate valve

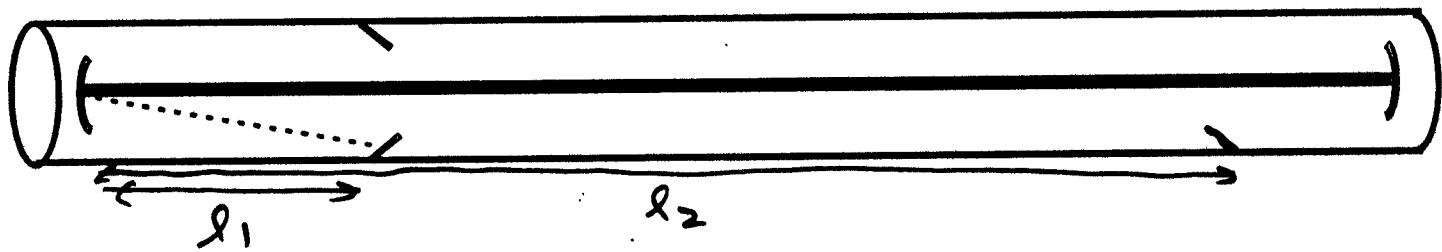


$$\Delta\theta = 0.01 = 0.5^\circ$$

$\bar{A}(f) = 0$ No vibrational amplification
of beam tube

$R(\theta) = 0$ No attenuation down tube

BAFFLE BACKSCATTER



$$\tilde{h}_{bb} = \left[4\pi \alpha^2 \beta \ln\left(\frac{l_1}{l_2}\right) J_0 \right]^{1/2} \bar{A}(f) \frac{\pi}{R} \frac{\tilde{s}_s(f)}{L}$$

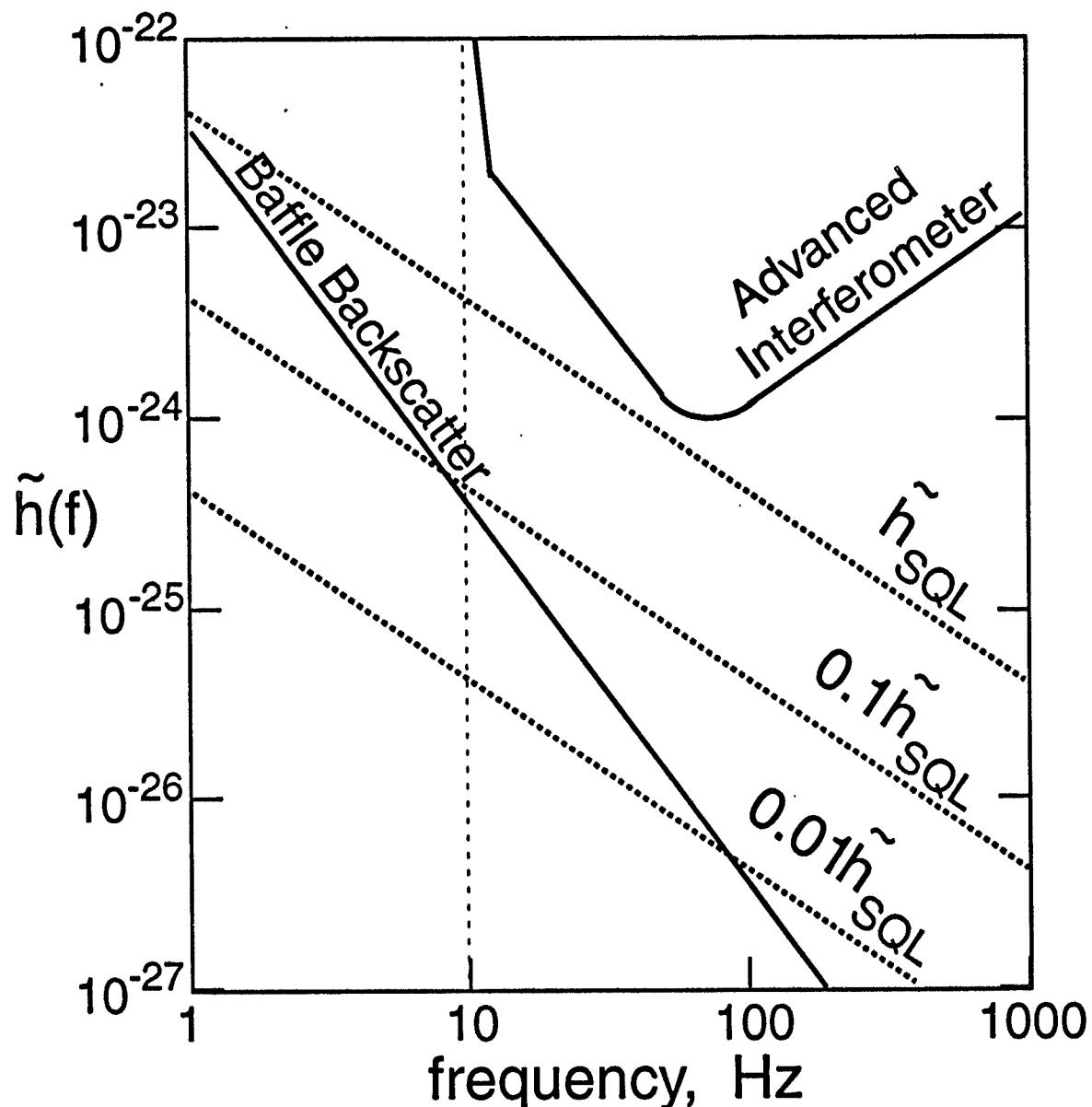
{ baffle } 1 for centered mirror
 $\frac{dP/d\Omega}{}$ 4 for 20 cm from wall

$$= \frac{3 \times 10^{-25}}{\sqrt{Hz}} \left(\frac{10 Hz}{f} \right)^2 \boxed{\left(\frac{\beta}{0.01} \right)^{1/2}} \sqrt{\frac{J_0}{4}} \sqrt{\frac{\ln(l_1/l_2)}{\ln(4km/120m)}}$$

$$\times \frac{\alpha}{10^{-6}} \bar{A}(f) \frac{\tilde{s}_s}{10^{-7} \text{ cm} \sqrt{Hz} (f/10 \text{ Hz})^2}$$

Scales approx.

$$\propto \frac{1}{(\text{distance to})} \text{wall}$$



$\beta = 0.01$ [Baffle BRDF]

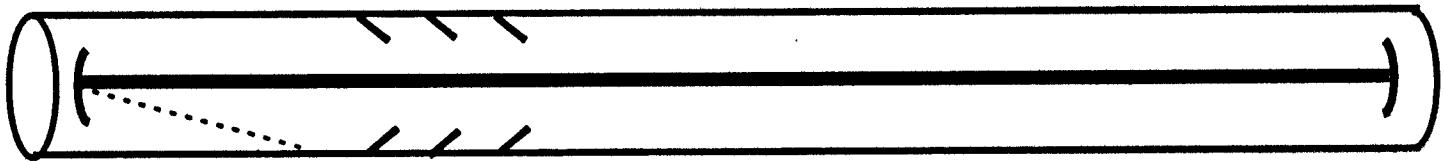
beam 20 cm from wall

NO vibrational amplification by tube, $\bar{A} = 1$

PROPOSAL:

Change baffle material to Martin Black or something else with $\beta = 10^{-3}$

NEAR-WALL BACKSCATTER

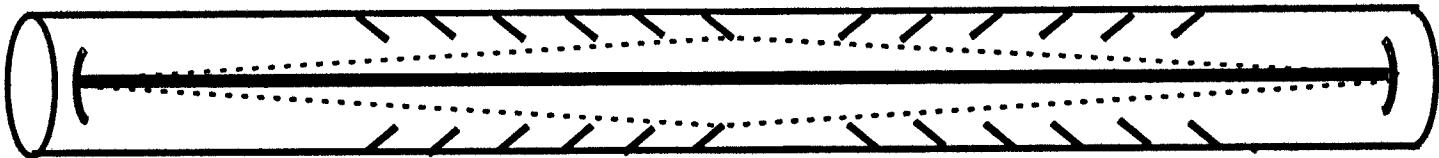


With same wall backscatter β as for baffles ($\beta = 0.01$; Rai's recent measurement), noise from near wall is same as from baffles. If change baffle material to $\beta=0.001$, then

Recommendation:
Baffle the near wall with material of $\beta=0.001$

(Recall: may want to baffle near wall anyway to reduce reflection/forward scattering noise)

BAFFLE DIFFRACTION



- Coherence worries for centered mirrors:
Eanna & Kip have done phase coherent, paraxial optics calculation
- For unserrated, perfectly round baffles, circularly symmetric baffle vibrations, circularly symmetric mirrors centered in beam tube [extreme phase coherence]

$$\tilde{h} = \frac{\omega \bar{A}(f) \tilde{\xi}_s(f)}{LR} \sqrt{N_B}$$

\downarrow number of baffles

$$= \frac{3.1 \times 10^{-24} \text{ Hz}^{1/2}}{(f/10 \text{ Hz})^2} \left(\frac{N_B}{220} \right)^{1/2} \bar{A} \frac{\tilde{\xi}_s}{10^{-7} \text{ cm}/\sqrt{\text{Hz}} (f/10 \text{ Hz})^2}$$

- To reduce noise:

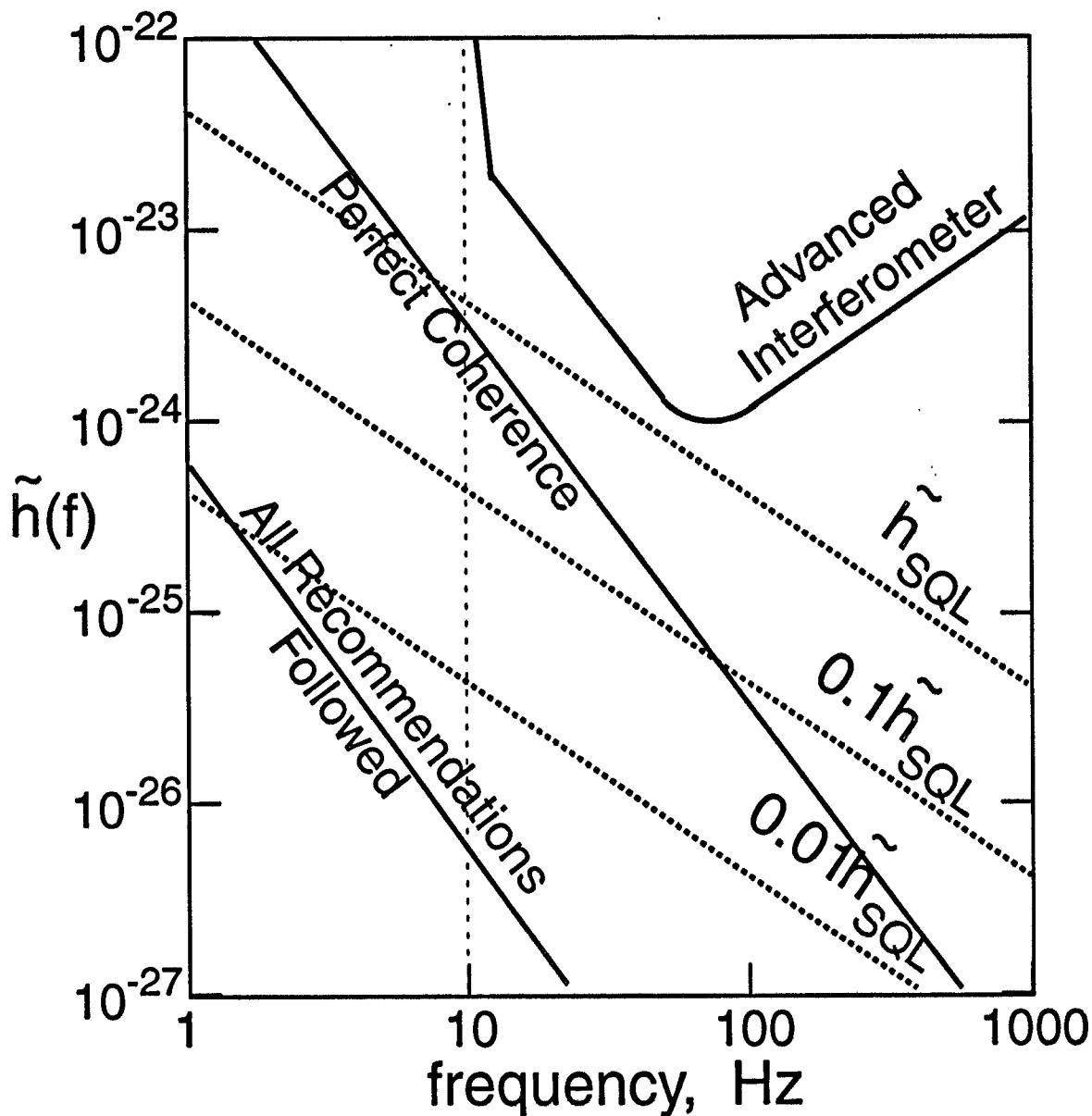
Remove unnecessary baffles [recommendation]

Serrate baffles [already planned]

Randomize heights of serration peaks and valleys by 0.5mm (breaks coherence!) [recommendation]

BAFFLE DIFFRACTION

Centered Baffles



$$\bar{A}(f) = 1 \quad [\text{no amplification by beam tube vibrations}]$$

BAFFLE DIFFRACTION

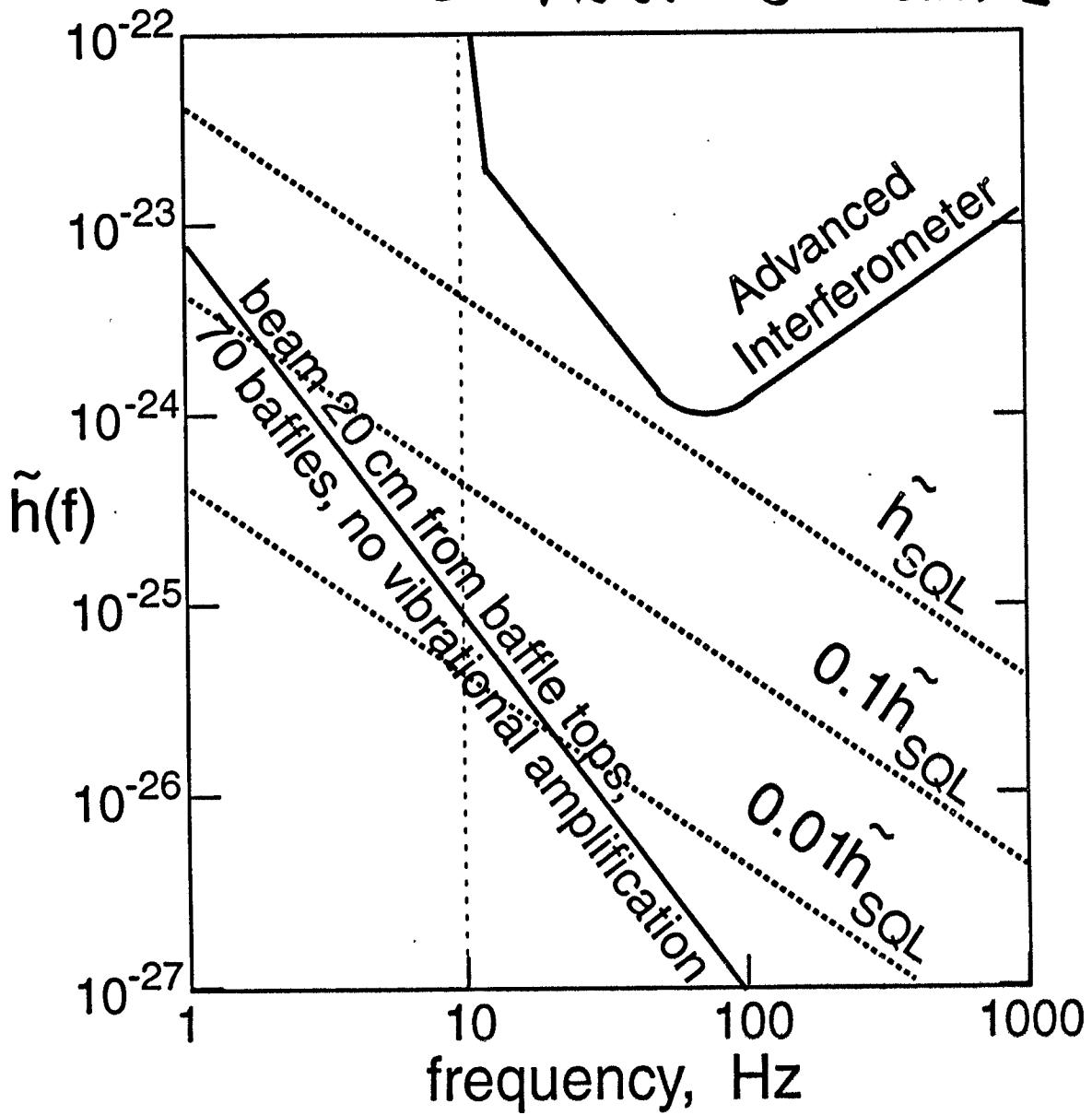
Very Off-Center Baffles

- Baffles serrations neither help nor hurt the noise; Fresnel zone pattern at baffle automatically destroys coherence

$$\tilde{h} = \frac{\alpha}{\sqrt{3}} \frac{\sqrt{2L}}{4\pi R} \frac{2\bar{A} \tilde{\xi}_s}{LR} \sqrt{2N_B} \frac{R^2}{Y_0^2} \frac{\text{Distance to baffle tops}}{\tilde{\xi}_s}$$

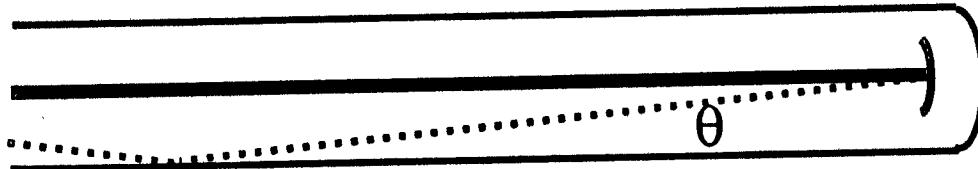
$$= \frac{1 \times 10^{-25} Hz^{-1/2}}{(f/10 Hz)^2} \left(\frac{N_B}{70} \right)^{1/2} \left(\frac{20 \text{ cm}}{Y_0} \right)^2 \frac{\tilde{\xi}_s \bar{A}}{10^{-7} \text{ cm} \sqrt{N_B} (f/10)^2}$$

↑ Get rid of 2/3 of baffles

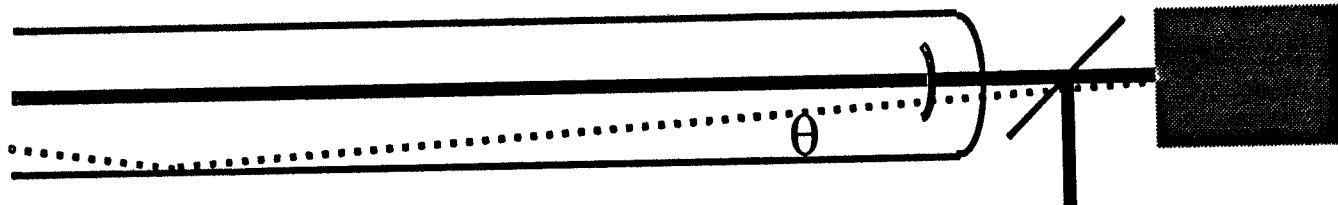


PHOTODIODE RECOMBINATION

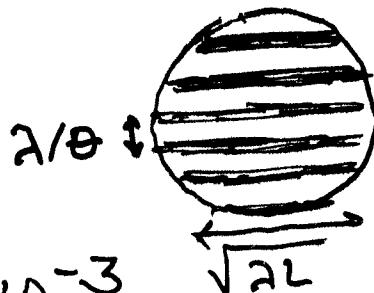
CAVITY RECOMBINATION



PHOTODIODE RECOMBINATION



$$\sigma_{\text{CAVITY}} = \frac{\pi^2}{\theta^2} \frac{\alpha}{\sqrt{2L}} \frac{dP}{d\Omega}$$

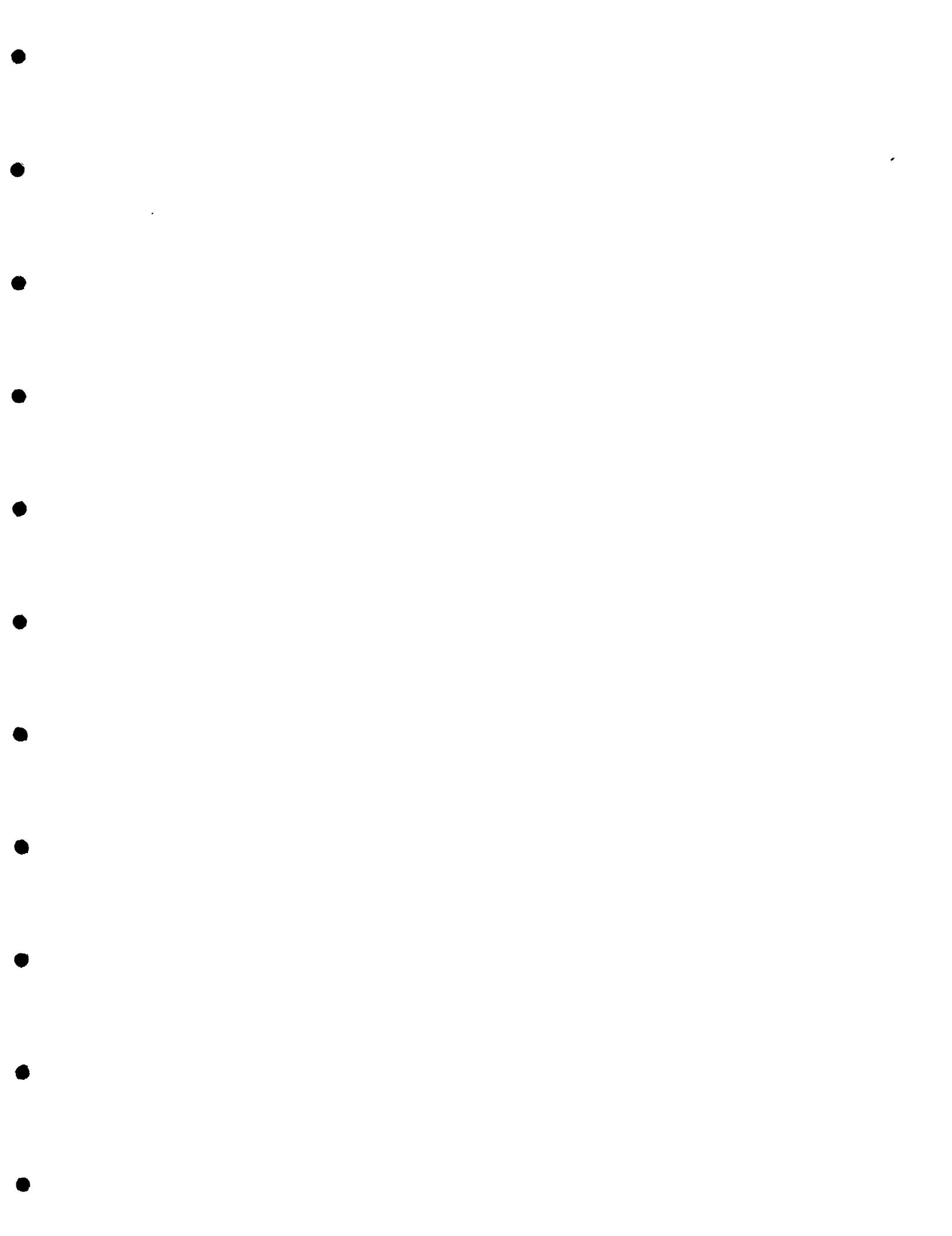


$$\sigma_{\text{P.D.}} = \frac{2L}{B^2} \frac{\sqrt{2/L}}{\theta} \left[\eta_{\text{rms}} \left(\nu = \frac{\theta/2}{\sqrt{2L}} \right) \right]^2$$

$\frac{10}{\text{cm}} \text{ to } \frac{300}{\text{cm}}$

$$\frac{\sigma_{\text{P.D.}}}{\sigma_{\text{CAVITY}}} = \frac{\sqrt{L/2}}{\alpha B^2} \theta (\eta_{\text{rms}})^2 \sim 10^{-3} \frac{\theta/10^{-3}}{(B/100)^2}$$

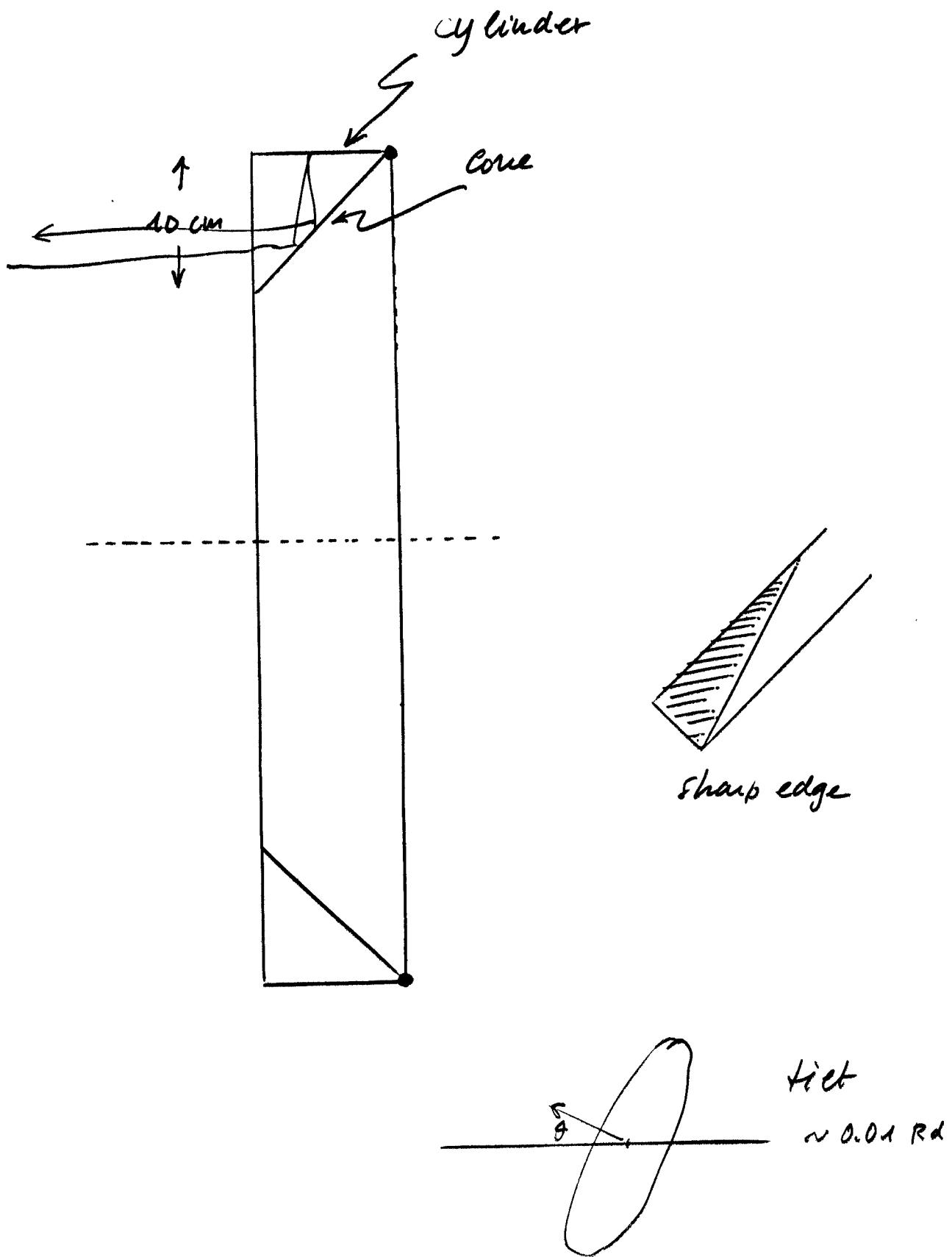
[If P.D. recombination were important,
remove with output mode cleaner]



VIRGO BAFFES & TUBE

- JEAN-YVES VINET

Structure in two pieces



2) Baffles design

The baffles are traps. They must have
{\$\begin{array}{l} \text{* a low reflection rate} \\ \text{* a low scattering rate} \end{array}\$}

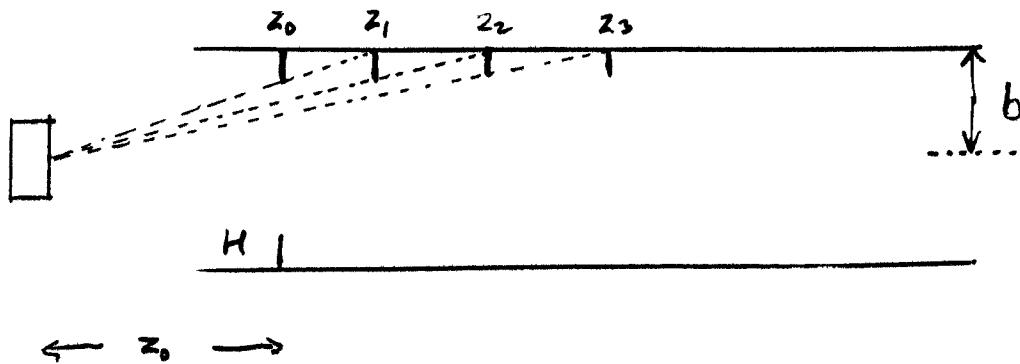
Solution presently under study : main ideas

- baffle made out of glass plates
→ low diffusion, comparable to mirror
- very low transmission through the glass
by using a dark type
 - either ATHERMAL (Schott-Desag - Germany)
 - or PROTAN (Corning-Sicover - France)
- $T \leq 10^{-7}$
- low intrinsic reflection coefficient by
antireflective coating $\sim 5 \cdot 10^{-3}$ at 45°
 10^{-3} normal incidence
→ "cheap" coating deposited by bath
- trap structure to enhance absorption by
multiple reflections
- Avoid effects due to coherence of the field directly
reflected by the edges to the emitting mirror
 - Machining to get sharp edges $\sim 100\mu m$

Baffles Design

1) General principle - Baffles locations

Hide the tube to mirror by covering
the whole solid angle by traps



Once chosen { the Height H
 { the 1st baffle abscissa z_0

we have $z_n = z_0 \left[\frac{1}{1-H/b} \right]^{n-1} = z_0 P^{n-1}$

$$b = 0.6$$

$$H = 0.1 \text{ for having a } 1 \text{ m free } \phi$$

$$z_0 = 6 \text{ m}$$

1st family until the middle of the pipe
2d family : symmetrical / middle

The ratio will be chosen smaller than $\frac{1}{1-H/b}$
To make the shadows overlapping

1.15 instead of 1.2 $\Rightarrow \sim 80$ baffle/cm



Schedule

- Tests will be completed at the end of January 95
- Edition of 2 Final Design reports for the "Tube Technical Advisory Group" TTAG
- TTAG gives recommendations and advice concerning
 - * costs
 - * security
 - * France Italy equilibrium
 - * technology
 - * reliability
- March 95
- The Management Staff decides : April 95

VIRGO tube design

present status

Tests in progress for 2 types of tube

1) Straight tube with stiffener rings

1.20 m diameter 5 mm thick

50m Prototype at Pisa

outgassing $\sim 3 \cdot 10^{-12}$ [mbar l cm 2 s $^{-1}$]

{ with regular 304L stainless steel
 $\leq 10^{-14}$

with 400° baked in air complete tube

2) Corrugated tube { 90 mm wavelength

{ 25 mm peak to peak

1.20 m diameter 2 mm thick

4 x 7.50m modules at Orsay

outgassing { $3 \cdot 10^{-12}$ regular 304L ss

{ $8 \cdot 10^{-14}$ with 950°C

baked in vacuum plates

Scattering off a mirror

$$a = e^{ipx} e^{iqy}$$

$$A = \sqrt{P} \tilde{\Phi}_{00}(x, y) = \sqrt{P} \sqrt{\frac{2}{\pi w^2}} e^{-\frac{x^2+y^2}{w^2}}$$

Rough surface : $R(x, y) = e^{2ikf(x, y)}$

$f(x, y)$: Stochastic process

$$\left\{ \begin{array}{l} \langle f \rangle = 0 \\ \langle f^2 \rangle = \sigma^2 \\ \langle f(x+\xi, y+\eta) \cdot f(x, y) \rangle = C(\xi, \eta) \cdot \sigma^2 \end{array} \right.$$

- 1) Coupling between the gaussian beam and any plane wave:

$$|\langle A, a \rangle|^2 = P |\tilde{\Phi}_{00}|^2(p, q) = P \cdot 2\pi w^2 e^{-\frac{2\theta_g^2}{\theta_g^2}}$$

maximum coupling

$$|\langle A, a \rangle|_{\max}^2 = P \cdot 2\pi w^2$$

- 2) Coupling between the gaussian beam reflected by the mirror and the plane wave

$$|\langle RA, a \rangle|^2 = P (1 - 4k^2\sigma^2) |\tilde{\Phi}_{00}|^2(p, q)$$

ϵ
losses
 $\frac{1}{h\pi^2} \int dp' dq' |\tilde{\Phi}_{00}|^2(p', q') \tilde{C}(p-p'; q-q')$
Spectral density
of the beam Spectral density
of the surface

If $\theta \gg \theta_g$

$$|\langle RA, a \rangle|^2 \approx \epsilon P \tilde{C}(p, q)$$

3) Integrated scattered power

$$\frac{1}{4\pi^2} \int |\langle RA, a \rangle|^2 dpdq = EP = P_{sc} \quad [\frac{1}{4\pi^2} \int dpdq \tilde{C}(p_M) = C(0,0) = 1]$$

$$\Rightarrow \frac{1}{4\pi^2} |\langle RA, a \rangle|^2 = \frac{dP_{sc}}{dpdq}$$

with $dpdq = k^2 \sin\theta d\theta d\varphi$

$$|\langle RA, a \rangle|^2 = \lambda^2 \frac{dP_{sc}}{d\Omega} \quad \text{differential cross-section}$$

i) Efficiency of couplings with a direction (p, a) :

$$\eta(\theta, \varphi) = \frac{|\langle RA, a \rangle|^2}{|\langle A, a \rangle|^2} = \frac{\pi}{2} \varepsilon \theta_g^2 \frac{1}{P_{sc}} \frac{dP_{sc}}{d\Omega}$$

If (isotropy) $\frac{1}{P_{sc}} \frac{dP_{sc}}{d\Omega} = \frac{1}{2\pi} p(\theta)$

$\eta(\theta) = \frac{1}{4} \varepsilon \theta_g^2 p(\theta)$

Recombination process on a mirror

$$\sqrt{I} e^{ipx} e^{iqy} = A \quad \begin{cases} p = k \sin \theta \cos \varphi \\ q = k \sin \theta \sin \varphi \end{cases}$$

Rough Surface $f(x,y)$ $R(x,y) = e^{2ikf(x,y)}$

1) Direct coupling

$$|\langle A, \varphi_{00} \rangle|^2 = I |\tilde{\varphi}_{00}(p,q)|^2 = 2\pi w^2 I e^{-\frac{2\theta^2}{\theta_g^2}}$$

when $p=q=0$: $|\langle A, \varphi_{00} \rangle|_{\max}^2 = 2\pi w^2 I$

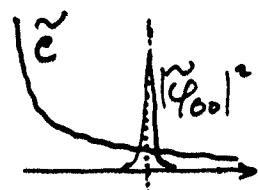
2) Coupling via the surface

$$|\langle RA, \varphi_{00} \rangle|^2 = (1 - 2k^2\sigma^2) I |\tilde{\varphi}_{00}|^2(p,q)$$

$$+ 4k^2\sigma^2 I \frac{1}{4\pi^2} \int dp' dq' |\tilde{\varphi}_{00}|^2(p',q') \tilde{C}(p,p';q,q')$$

for $\theta \gg \theta_g$

$$|\langle RA, \varphi_{00} \rangle|^2 \approx \epsilon I \tilde{C}(p,q)$$



$$\approx \epsilon I \lambda^2 \frac{1}{P_{sc}} \frac{dP_{sc}}{d\Omega}$$

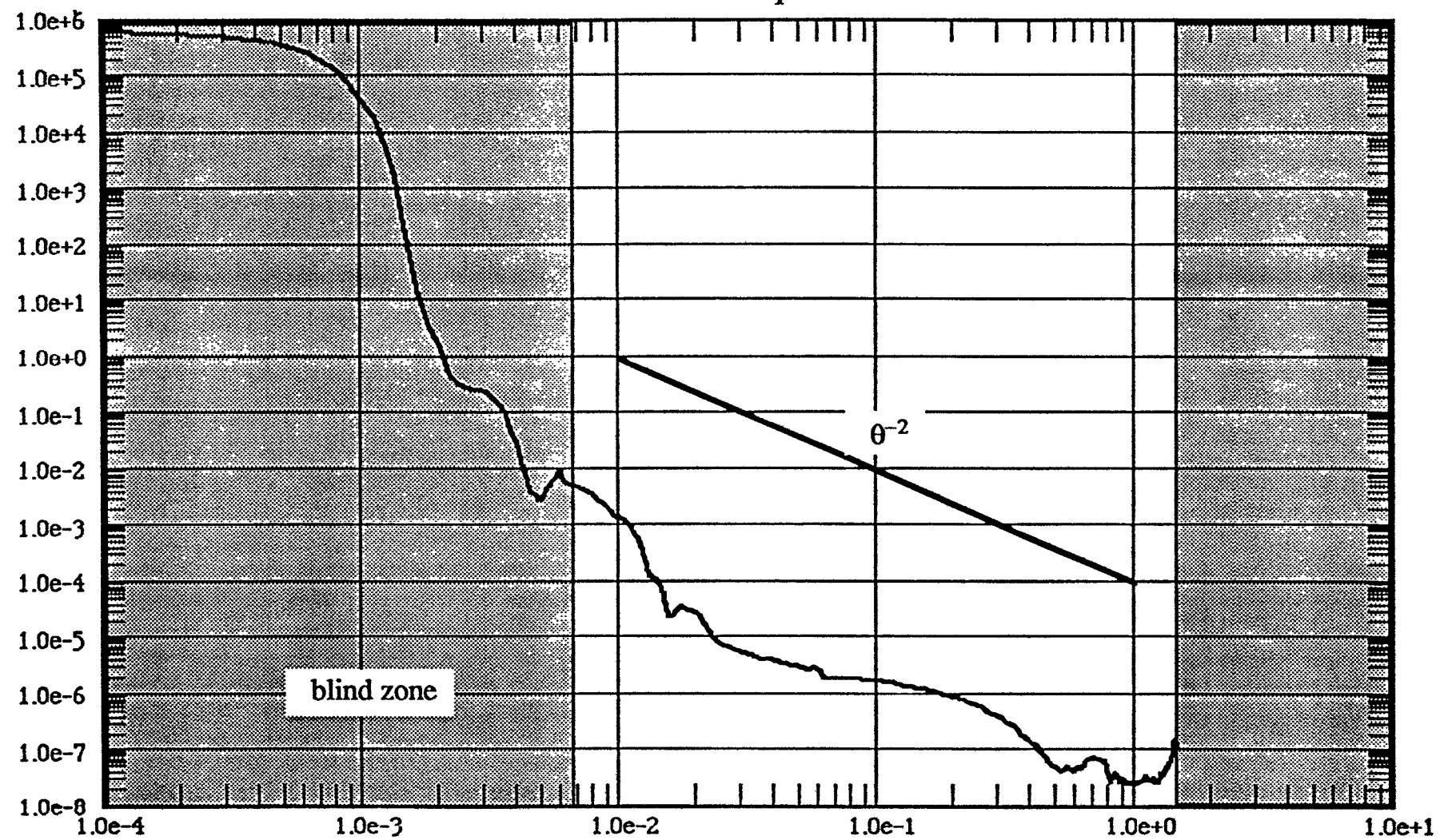
3) Recombination efficiency

$$R(\theta, \varphi) = \frac{|\langle RA, \varphi_{00} \rangle|^2}{|\langle A, \varphi_{00} \rangle|^2} = \frac{\pi}{2} \epsilon \theta_g^2 \frac{1}{P_{sc}} \frac{dP_{sc}}{d\Omega}$$

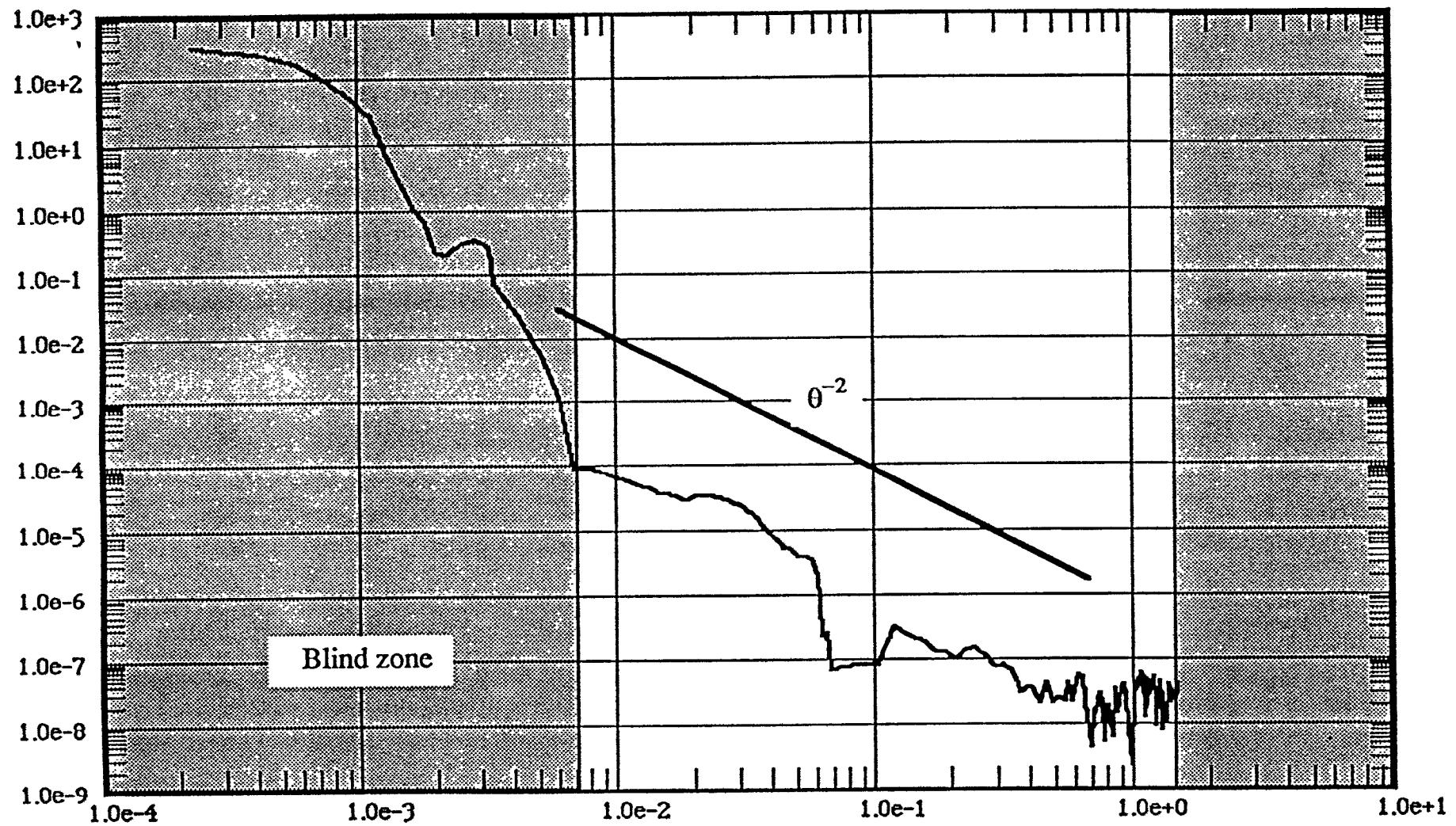
Integrating :

$$R(\theta) = \frac{1}{4} \epsilon \theta_g^2 \rho(\theta)$$

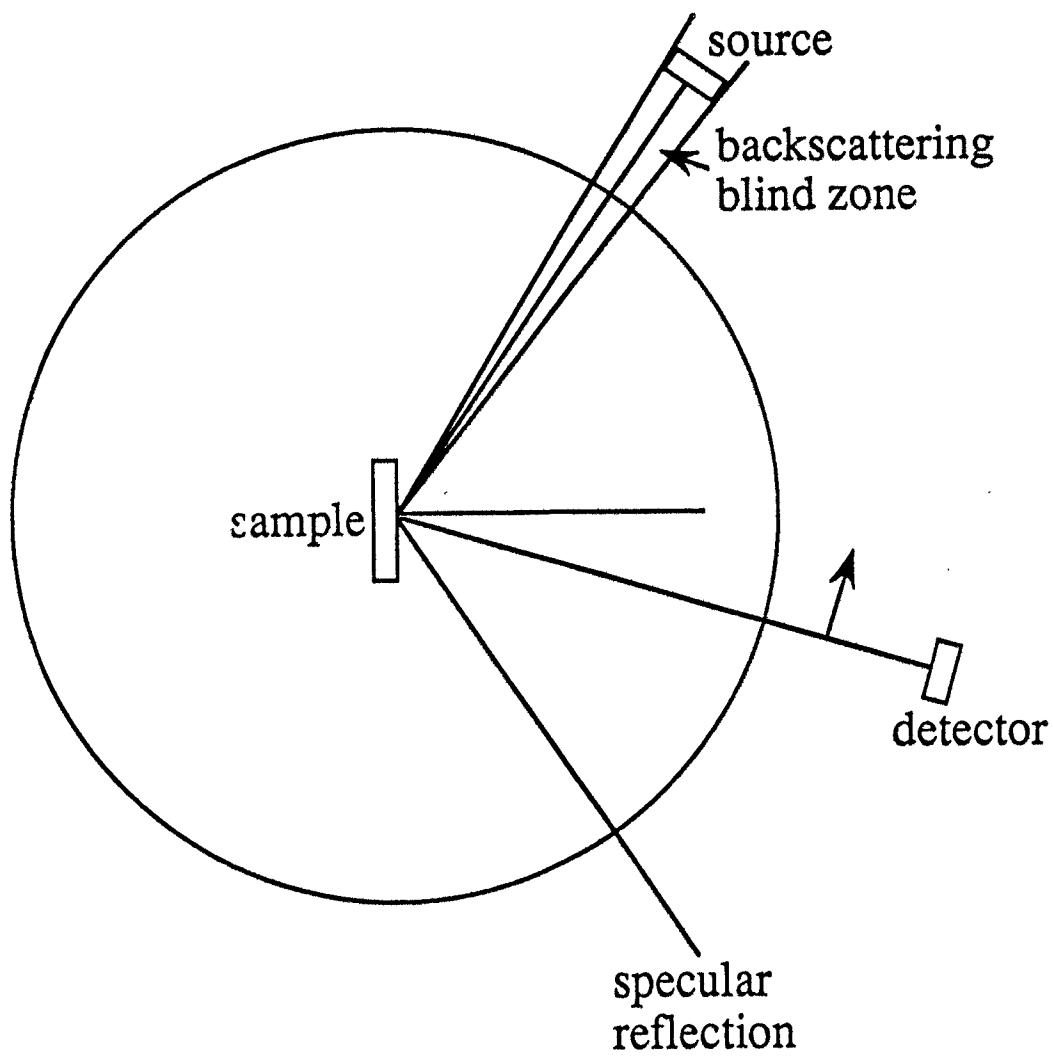
BRDF of a supermirror



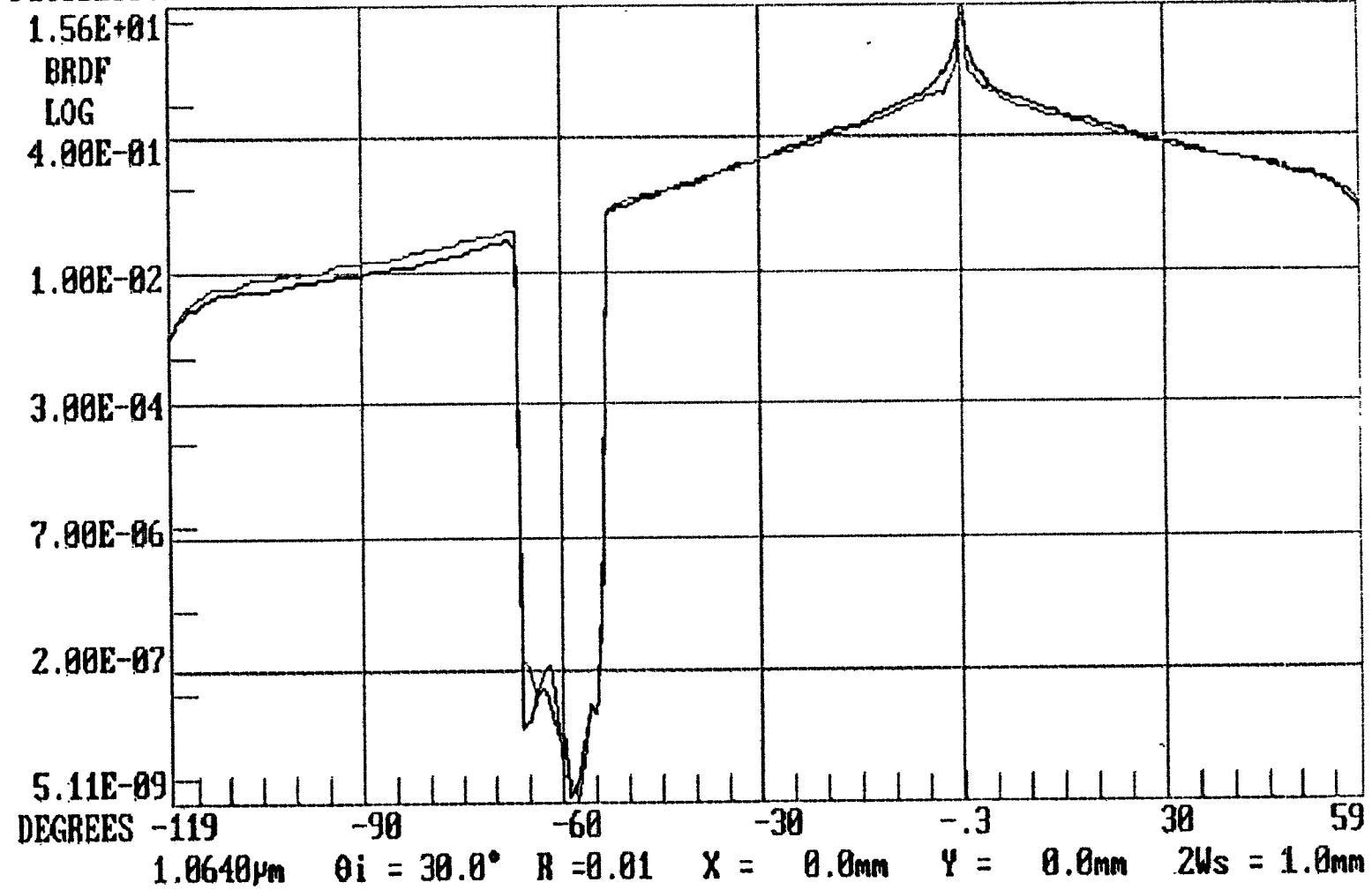
BRDF of a PMS substrate



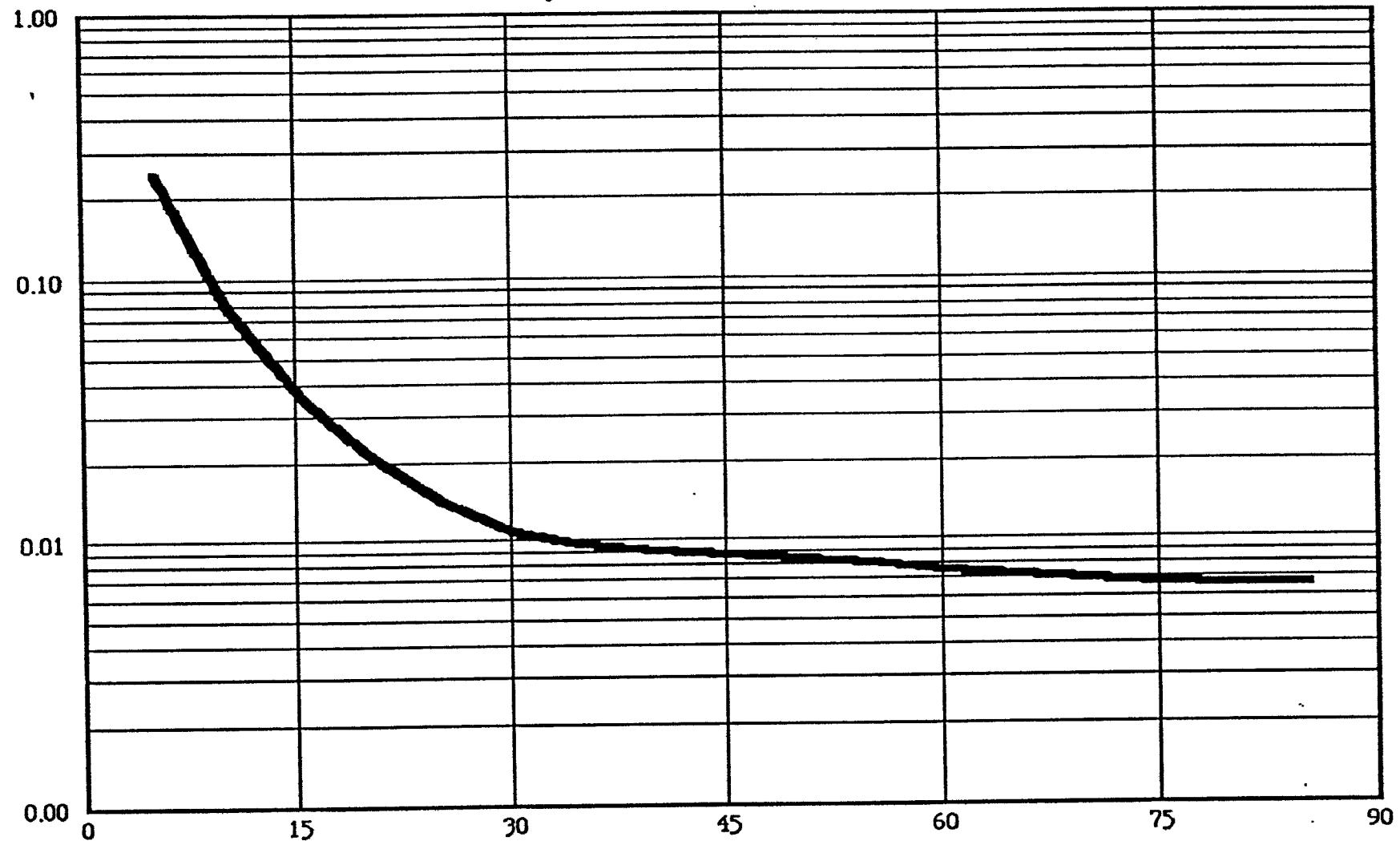
SCATTEROMETER



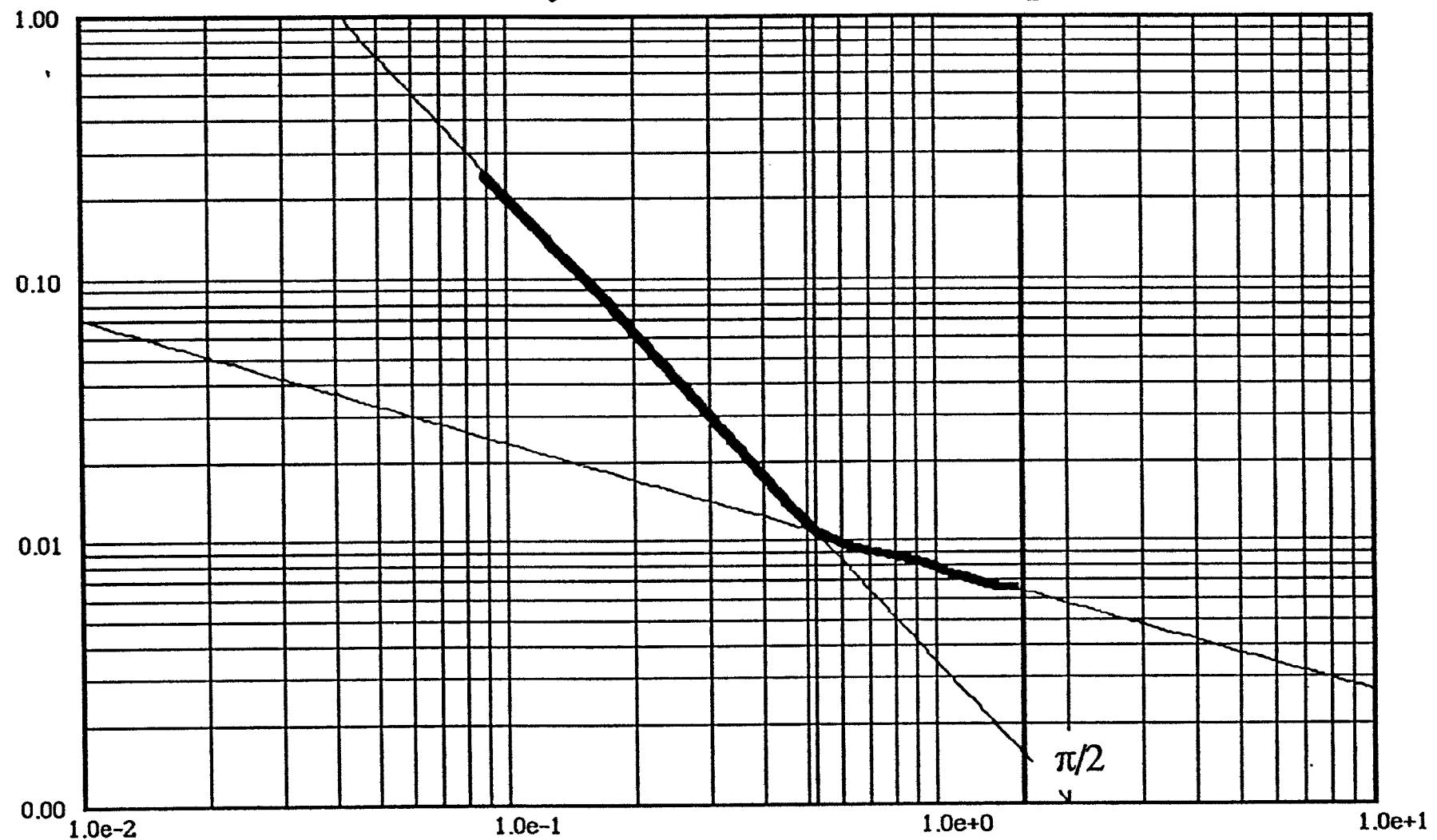
TMA Scatterometer - I.P.N.Lyon S.M.A. VILLEURBANNE FRANCE
F1TUBE30.106 F2TUBE30.106



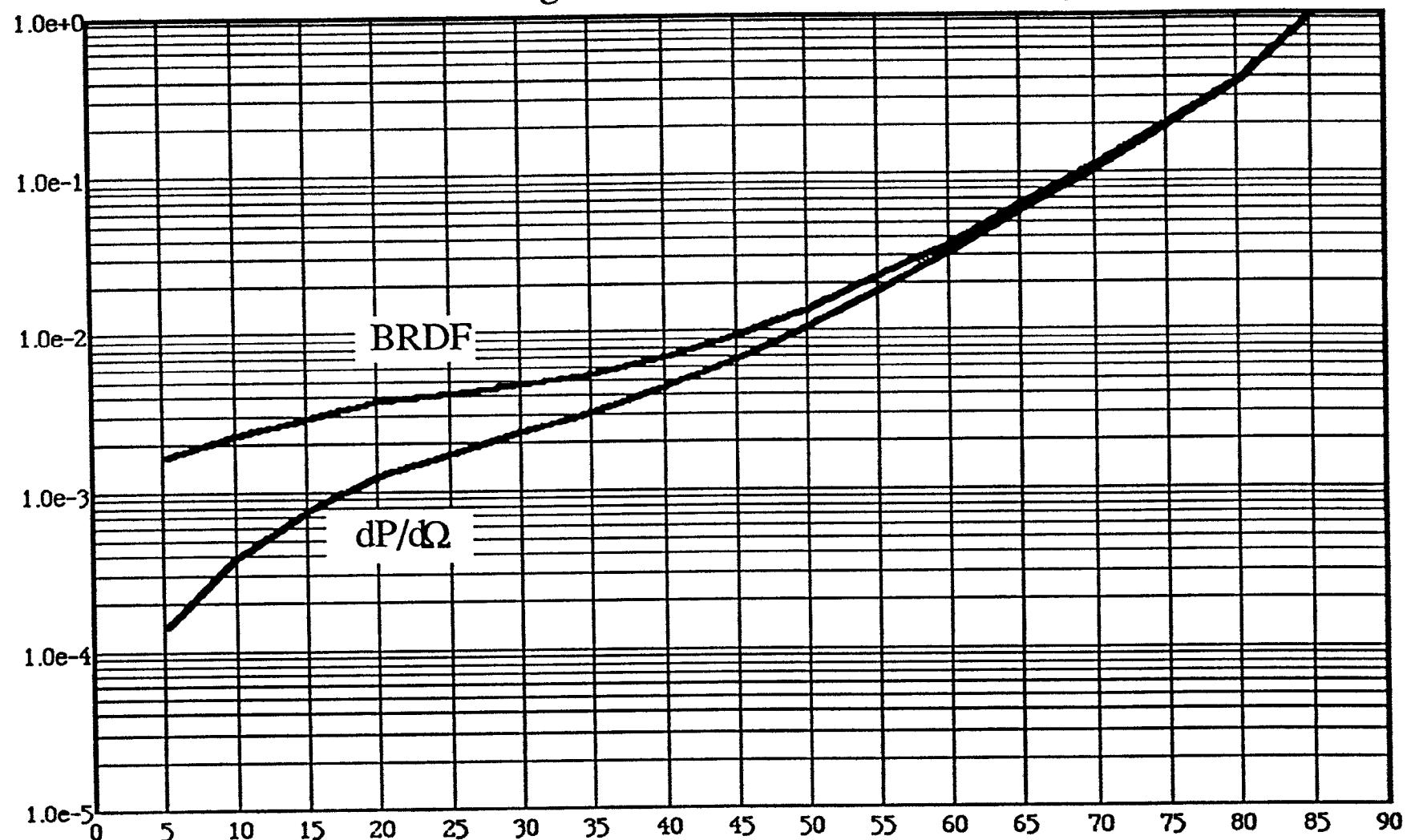
Reflectivity of a 304 L Stainless steel sample



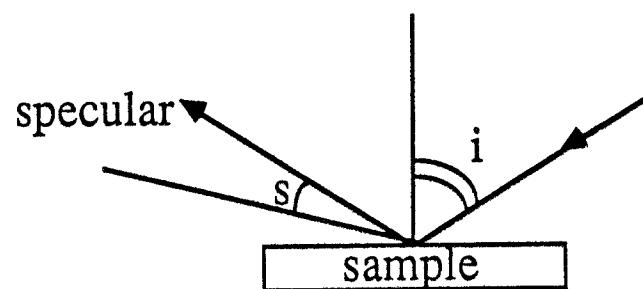
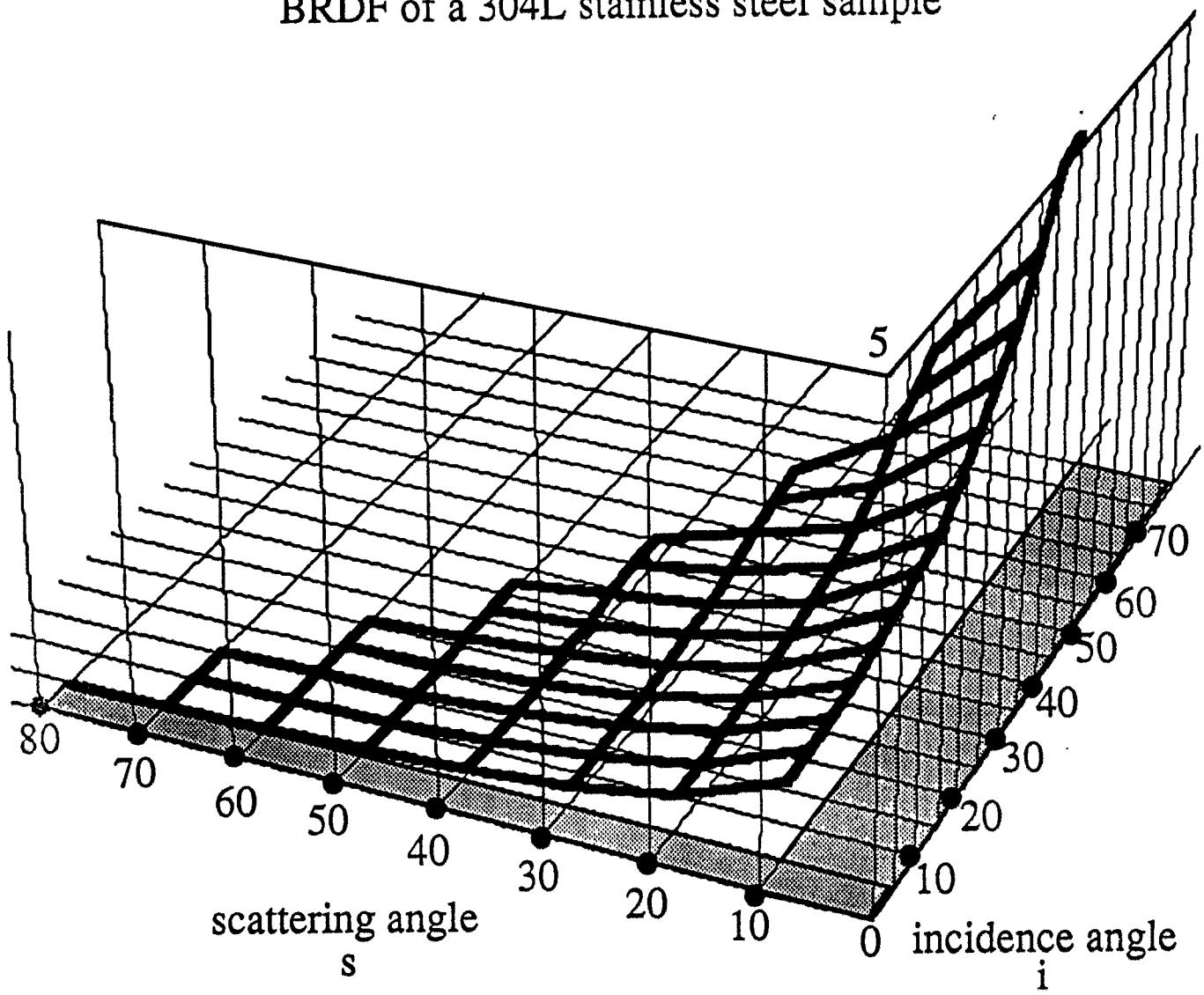
Reflectivity of a 304L stainless steel sample



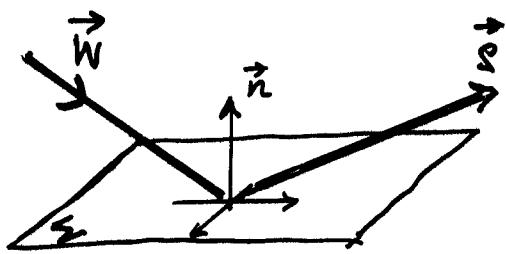
Backscattering from a 304L stainless steel sample



BRDF of a 304L stainless steel sample



Modulation by moving surface



Coupling of two waves
by interaction with a
material surface

Surface of equation $z = f(x, y)$

Displacement : $\vec{X}(t) = [\xi(t), \eta(t), \zeta(t)]$

New equation : $\begin{cases} x' = x + \xi \\ y' = y + \eta \\ z' = z + \zeta \end{cases}$ $z = \xi + f(x - \xi, y - \eta)$

Coupling coefficients between

$$\begin{cases} A = e^{ik\vec{w} \cdot \vec{r}} \\ B = e^{ik\vec{s} \cdot \vec{r}} \end{cases}$$

over the surface :

$$\langle A, B \rangle = \int dx dy e^{-ik(w_1 - s_1)x} e^{-ik(w_2 - s_2)y} e^{-ik(w_3 - s_3)z} [\xi + f(x - \xi, y - \eta)]$$

Change the coordinates

$$\langle A, B \rangle = e^{-ik(\vec{w} - \vec{s}) \cdot \vec{X}} \langle A, B \rangle_0$$

$$\text{where } \langle A, B \rangle_0 = \int dx dy e^{-ik(w_1 - s_1)x} e^{-ik(w_2 - s_2)y} e^{-ik(w_3 - s_3)z} f(x, y)$$

\Rightarrow phase modulation $\phi(t) = (\vec{w} - \vec{s}) \cdot \vec{X}(t)$

Spectral density $\vec{X}(f) = \vec{e} X(f)$

⇒ Spectral density of phase noise

$$\phi(f) = e \times X(f)$$

$$e = (\vec{w} - \vec{s}) \cdot \vec{e}$$

Special cases

1) Specular reflection

$$\vec{s} = \vec{w} - 2(\vec{w} \cdot \vec{n}) \vec{n}$$

⇒

$$e = 2(\vec{w} \cdot \vec{n})(\vec{n} \cdot \vec{e})$$

$$\underline{e = 2 \cos \theta_{\text{inc}} e_n}$$

2) Scattering

$$\underline{e = (\vec{w} - \vec{s}) \cdot \vec{e}}$$

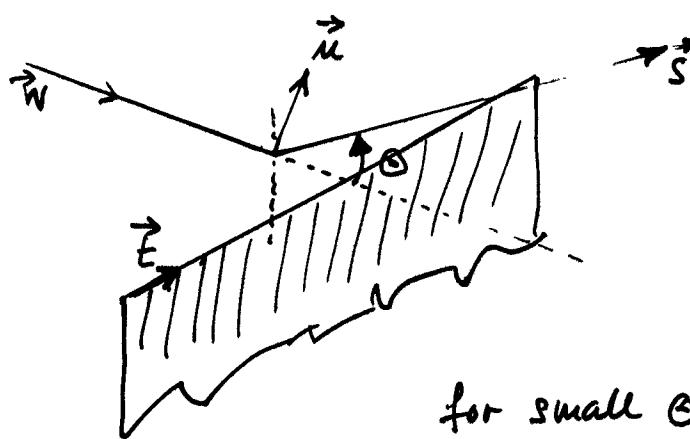
3) Backscattering

$$\vec{s} = -\vec{w}$$

$$\underline{e = 2 \vec{w} \cdot \vec{e}} = 2 e_{\parallel}$$

4) Diffraction by an edge

$$\vec{u} = \vec{w} \times \vec{E}$$



$$\vec{s} = \cos \Theta \vec{w} + \sin \Theta \vec{u}$$

$$e = (1 - \cos \Theta) \vec{w} \cdot \vec{e} - \sin \Theta \vec{u} \cdot \vec{e}$$

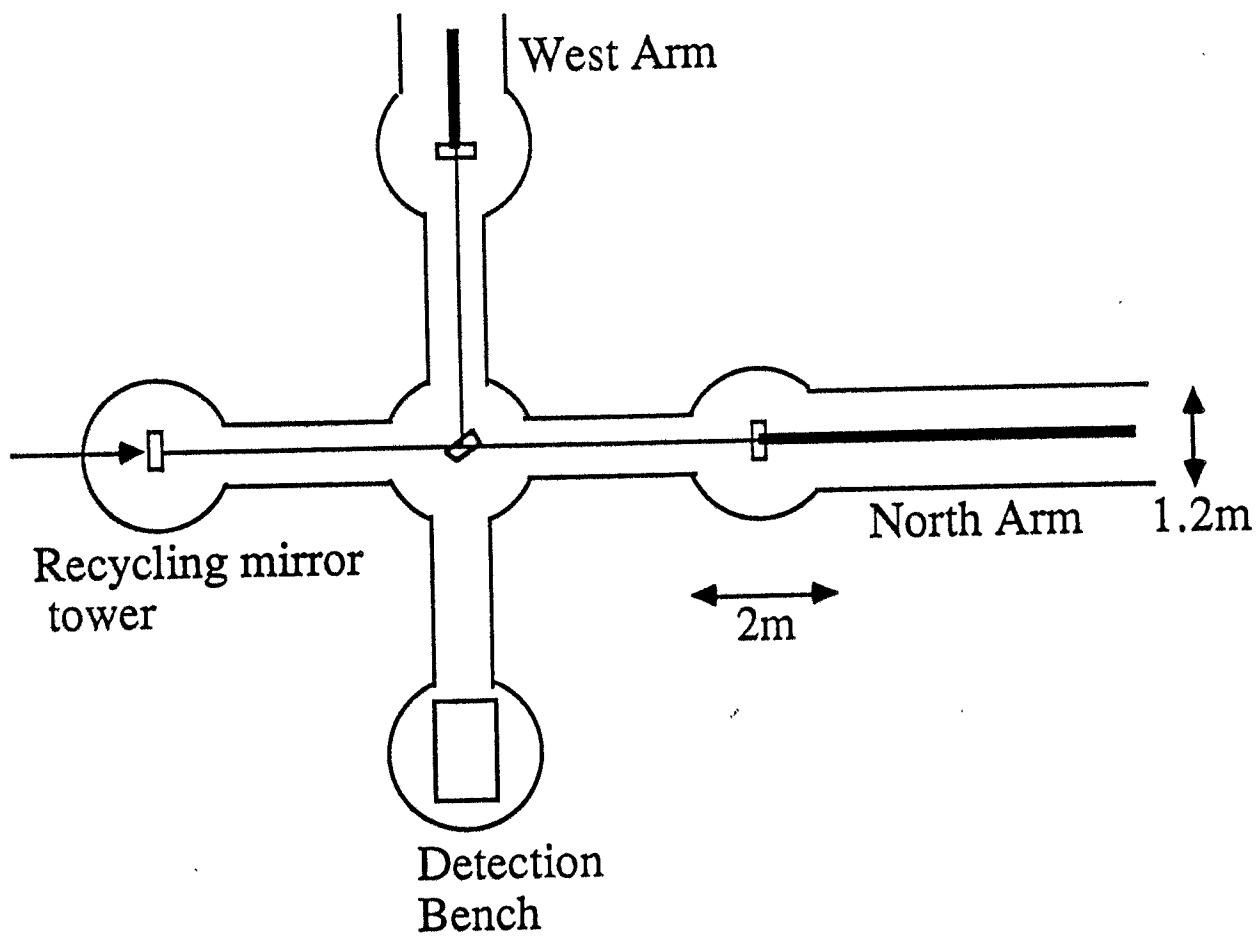
for small Θ

$$\underline{e \approx \Theta e_{\perp}}$$

{ Central part of the interferometer
Instrumentation chambers

- * Analytical calculations are difficult (no simple shape, no symmetry)
 - This is the main point for the efficiency of a Monte Carlo code
 - Must include a realistic model of scattering by walls
- * Light scattered by mirrors is less dangerous than inside the cavities
 - Factor of $1/F$
 - wider angles \Leftrightarrow smaller recombination efficiency
 - no recombination on the recycling mirror nor on 1 face of the splitter
- * Spurious reflections inside the splitter are worrying.
We have to develop special traps

VIRGO Central Area



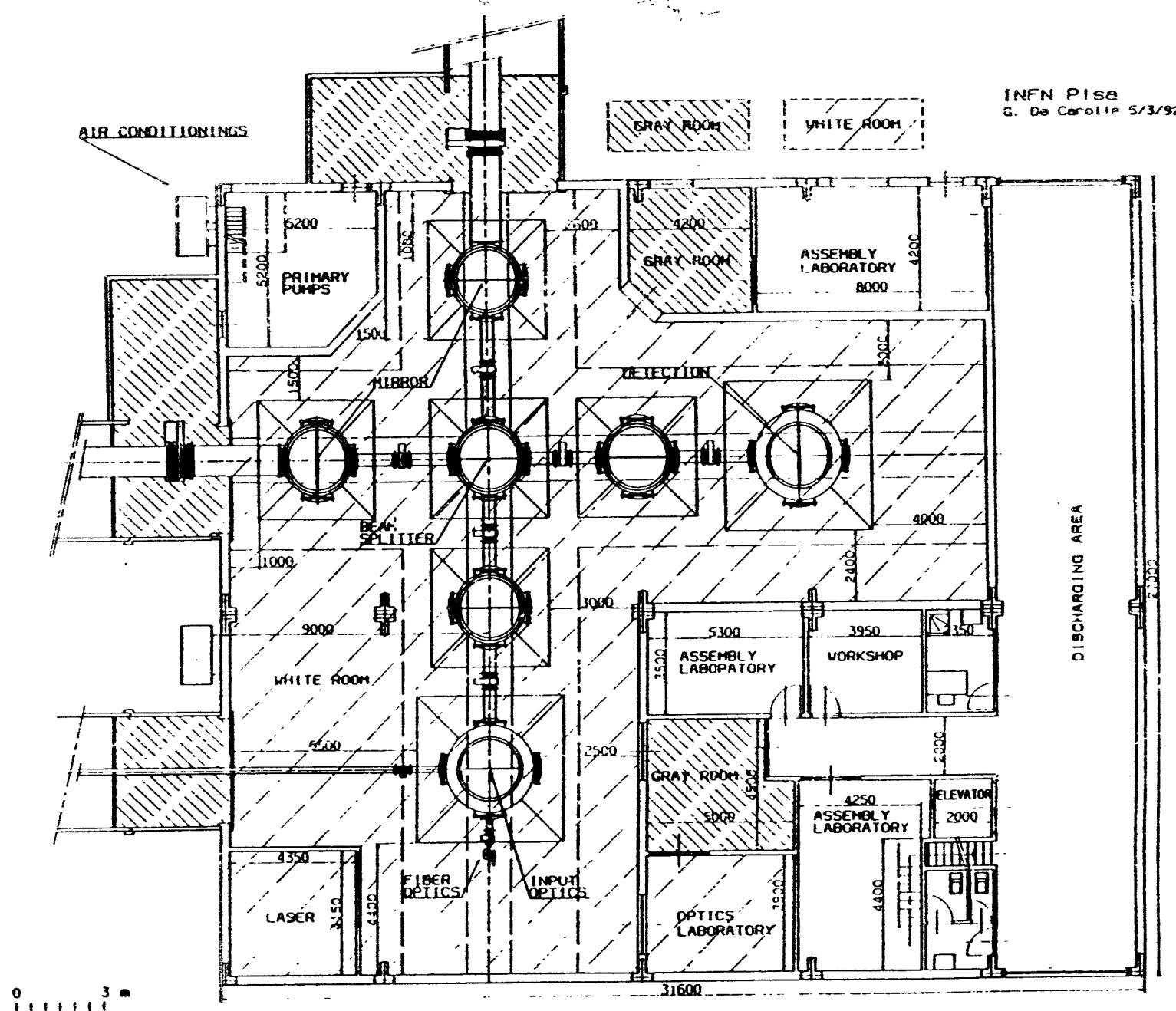


Fig. 5a

Present status of our effort in scattered light
calculations
(VIRGO)

Background : . We study at Orsay the baffle system
(V. Brissou)

. We develop at Pisa A. Monte Carlo code
(S. Braccini) for evaluation of the noise
especially in the central part (Instrumentation
chambers)

Three specific domains of work

- | | |
|--------------------|--|
| Coding | 1) develop and upgrade the code <ul style="list-style-type: none">• description of a complex structure• realistic model for reflection - scattering by stainless steel• correct summation of modulation effect |
| General principles | 2) Study of the link between the particle picture and the wave picture |
| | 3) Analytical calculation |

Present understanding of general principles

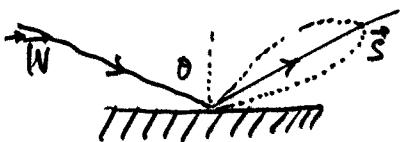
1) Photon (particle) picture

1.1 emission



use of the BRDF as a probability distribution

1.2 Interaction with walls or baffles



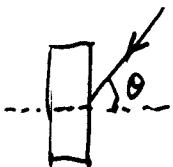
- 1, rate of
 - absorption
 - Specular reflection
 - scattering
- versus θ

2, BRDF of the material

local modulation : $(\vec{w} - \vec{s}) \cdot \vec{e}$ update of previous modulation
 $= \delta$ static

$$\Delta^2 = \Delta^2 + \delta^2$$

1.3 Recombination at a mirror



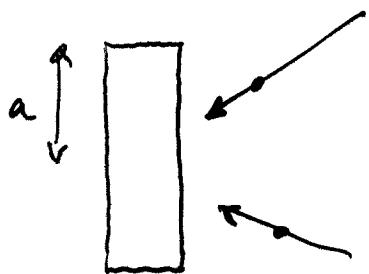
summation of all arriving photons

- . weighted by their recombination

$$\text{Probability } R(\theta) = \frac{1}{4} \theta_j^2 p(\theta)$$

- . weighted by their global modulation rate Δ^2

needs
some
theory



incoming flux of n photons is on a surface of area $S = \pi a^2$

→ Each photon is associated to a plane wave of amplitude

$$A = \sqrt{\pi n a^2}$$

k-th photon: $A e^{i s \sin \theta_k \cos \phi_k} e^{i s \sin \theta_k \sin \phi_k} e^{i \phi_k}$

where ϕ_k : random d.c. part + modulation

Amplitude generated by the recombination process in the TEM₀₀ mode:

$$\delta W = \sqrt{2\pi w^2} \sum_{k=1}^n A \sqrt{r(\theta_k)} e^{i \phi_k}$$

recombination efficiency

Amplitude of the main beam

$$W_0 = \sqrt{P} \quad P: \text{stored power (10 kW)}$$

Phase change:

$$\delta\phi = \operatorname{Im} \left[\frac{\delta W}{W_0} \right]$$

$$= \frac{A \sqrt{2\pi w^2}}{\sqrt{P_0}} \sum_{k=1}^n \sqrt{r(\theta_k)} \sin \phi_k$$

Variance:

$$\langle \delta\phi^2 \rangle = \frac{1}{N} \frac{w^2}{2a^2} \approx \theta_g^2 \sum_{k=1}^n p(\theta_k) m_k^2$$

N ≈ number of photons/unit of time in the main beam

$$m_k^2 = \langle \sin^2 \phi_k \rangle$$

Introduce m_s : number of scattered photons

$$\langle \delta\phi^2 \rangle = \frac{1}{N} \frac{m_s}{\lambda^2} \approx \frac{1}{N} \sum_{k=1}^n m_k^2 \quad \text{if } m_s \ll N$$

witk $\tilde{h}(f) = \frac{\lambda}{h\pi L} \phi(f)$

$$h^2(f) = \frac{1}{32\pi^4} \varepsilon^2 \left(\frac{\lambda}{L}\right)^2 \left(\frac{\lambda}{a}\right)^2 \frac{1}{m_s} \sum_k p(\theta_k) m_k^2(f)$$

Evaluation of $m_k(f)$

$$\sin \phi_k = \sin \left[\phi_{0k} + \sum_{i=1}^{v_k} e_{ik} \varphi_{ik} \right]$$

↑
dc random

cumulated modulation
 v_k : number of interactions
with vibrating objects

- Case of small cumulated modulation

$$\begin{aligned} m_k^2(f) &= \langle \sin^2 \phi_k \rangle = \frac{1}{2} \sum_{i=1}^{v_k} e_{ik}^2 \varphi_{ik}^2(f) \\ &= \frac{1}{2} (\varphi^2(f)) \cdot \sum_{i=1}^{v_k} e_{ik}^2 \end{aligned}$$

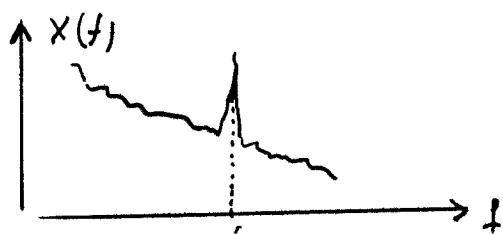
$$\varphi^2(f) = \frac{2\pi X(f)}{\lambda}$$

$X(f)$: spectral density of displacement
of the walls

$$X(f) \approx \frac{\delta}{f^2} \quad \delta = 10^{-6} \text{ m Hz}^{-3/2}$$

$$\boxed{\tilde{h}(f) = \frac{\varepsilon}{h\pi} \frac{\delta}{f^2} \frac{\lambda}{L a} \sqrt{\frac{1}{m_s} \sum_{k=1}^m p(\theta_k) e_{ik}^2}}$$

- Case of large cumulated modulation



$$\begin{aligned}\sin \phi_k &\sim \sin(\phi_{0k} + \sin 2\pi f_0 t \sqrt{\sum e_{ik}^2} \cdot \frac{2\pi x_0}{\lambda}) \\ &\sim \sum_p J_p \underbrace{\left[\frac{2\pi x_0}{\lambda} \sqrt{\sum e_{ik}^2} \right]}_z e^{ip \cdot 2\pi f_0 t + \alpha_p}\end{aligned}$$

$J_p(z)$ negligible for $|p| > 1/2$ \rightarrow

$$\left\{ \begin{array}{ll} n_k^2(f) & \lesssim \frac{1}{\left[\frac{2\pi x_0}{\lambda} (\sum e_{ik}^2)^{\frac{1}{2}} \right]^2 f_0} \quad \text{for } 0 \leq f \leq f_0 \\ & = 0 \quad \text{for } f \geq f_0 \end{array} \right.$$

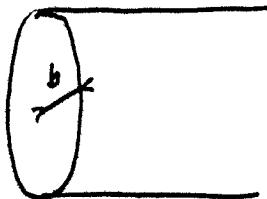
2) Comparison with Kip's formulas

Example of backscattering

$$h^2(f) = \frac{1}{32\pi^4} \epsilon^2 \left(\frac{\lambda}{L} \frac{\lambda}{a}\right)^2 \frac{1}{n_s} \sum_{k=1}^{\infty} p(\theta_k) \eta_k^2(f)$$

for a distributed source

$$\sum p(\theta_k) \eta_k^2(f) = \underbrace{\int n_s p(\theta) \theta d\theta}_{\text{photons reaching the element of backscatt. source}} \cdot \underbrace{p_{BS}(\theta) \frac{\pi a^2}{d^2}}_{\text{returning to the mirror}} \eta^2(\theta) \eta_k^2(\theta)$$



photons reaching the element of backscatt. source returning to the mirror

$$= n_s \frac{\pi a^2}{b^2} \int p(\theta)^2 p_{BS}(\theta) \eta^2(\theta) \theta^3 d\theta \quad \left(\frac{b^2}{d^2} \equiv \theta^2 \right)$$

$$\Rightarrow h^2(f) = \frac{1}{32\pi^3} \epsilon^2 \left(\frac{\lambda}{L}\right)^2 \left(\frac{\lambda}{b}\right)^2 \int p(\theta)^2 p_{BS}(\theta) \eta^2(\theta) \theta^3 d\theta$$

$$= \frac{\pi}{2} \left(\frac{\lambda}{4\pi L}\right)^2 \left(\frac{\lambda}{b}\right)^2 \int \underbrace{\left[\frac{\epsilon}{2\pi} p(\theta)\right]^2}_{\frac{dp}{ds}} p_{BS}(\theta) \eta^2(f, \theta) \theta^3 d\theta$$

$$= \frac{1}{16} \left(\frac{\lambda}{4\pi L}\right)^2 \left(\frac{\lambda}{b}\right)^2 \int \left[\frac{dp(\theta)}{ds}\right]^2 p_{BS}(\theta) \eta^2(f, \theta) 8\pi \theta^3 d\theta$$

For 2 mirrors, a factor of $\frac{1}{8}$ with respect to Kip?



GEO BAFFLES

WALTER WINICLER

Backscatter from baffles

(11)

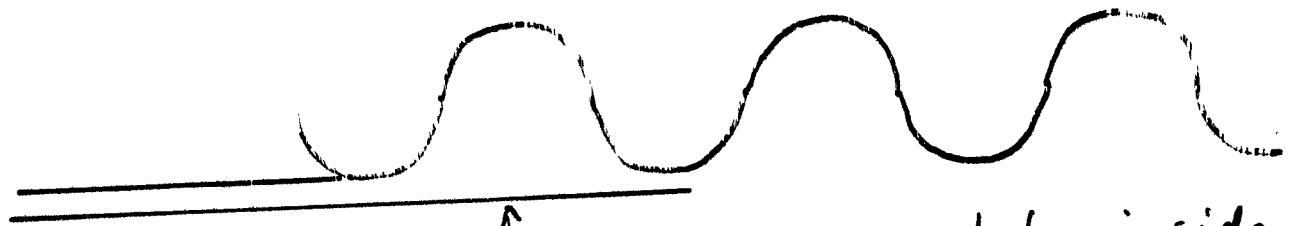
$$\sigma^2 = f(\vartheta) \underbrace{\frac{2\pi r H}{\Delta e^2}}_{\substack{\uparrow \\ \text{input } d\Omega}} f(\vartheta) \underbrace{\frac{\pi w^2}{(\Delta e)^2}}_{\substack{\uparrow \\ \text{baffle}}} f'(\vartheta_1) \frac{\pi w^2}{e^2}$$

$$= f(\vartheta) f'(\vartheta_1) f(\vartheta) 2 \cdot 10^{-16} \left[\frac{r}{0.3} \frac{H}{3 \cdot 10^{-2}} \left(\frac{w}{2 \cdot 10^{-2}} \right)^4 \left(\frac{6}{\Delta e} \right)^4 \left(\frac{600}{e} \right)^2 \right]$$

$\geq 10^{-6} \quad 10^{-2}$

Distance to first
baffle: large

Straight tube at the end (near mirrors)



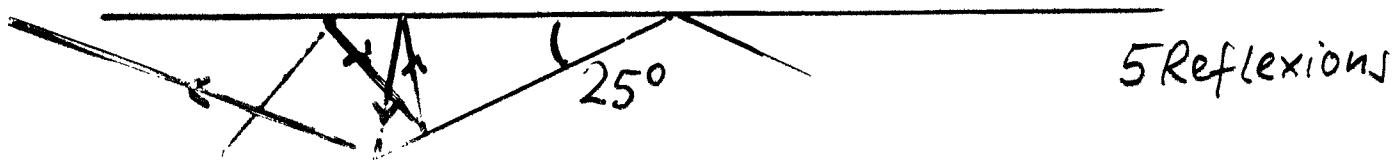
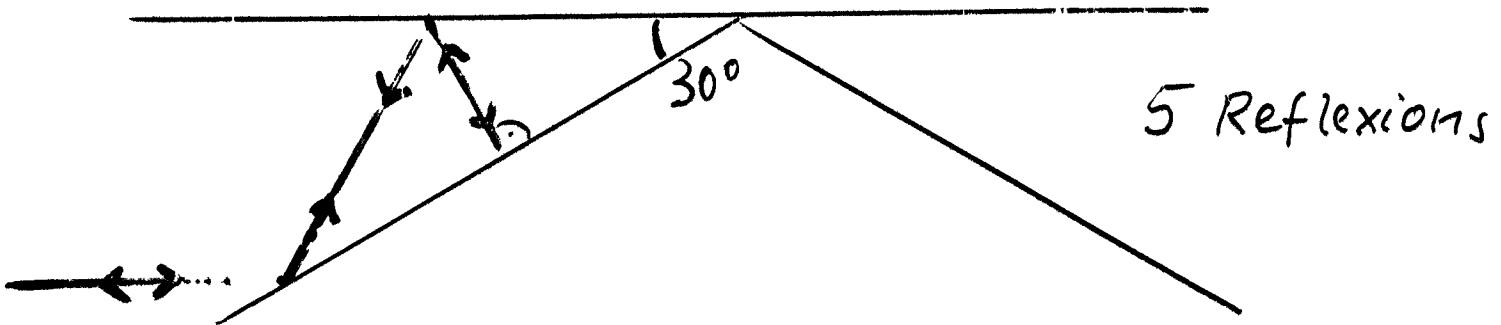
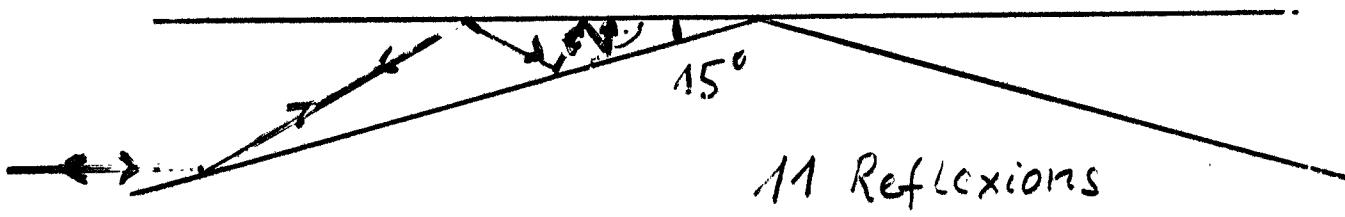
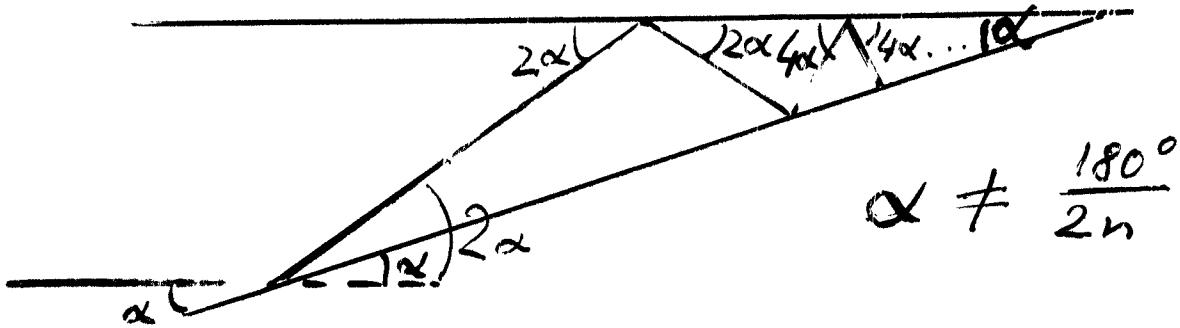
\uparrow
may be: extra tube inside.
 $\sim 2 \dots 3 \text{ m}$ long, smooth, low
scattering

Baffles

GEO600

3.12.94

(12)



Baffles

GE0600

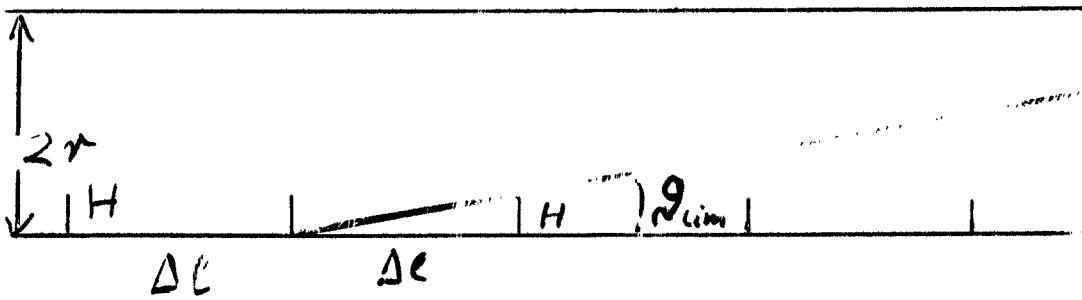
13
2.12.99

For Reflex: baffles act like a mirror:
tilt of input beam tilts "reflected"
beam in opposite direction, by the
same angle, if number of reflections odd.
For even number of reflections: tilt by
same angle in same direction.

- 1) Aquadeg
- 2) Anodizing
- 3) SiO_2 / Inconel layers

Baffles

(10)



How far can one see the tube?

$$g_{lim} = \frac{H}{\Delta \ell} = \frac{2r}{D} \Rightarrow D = 2r \frac{\Delta \ell}{H}$$

~ H big, $\Delta \ell$ small (many and high baffles)

$H = 3\text{cm}$: reduces $60\text{cm}\phi \rightarrow 54\text{cm}\phi$

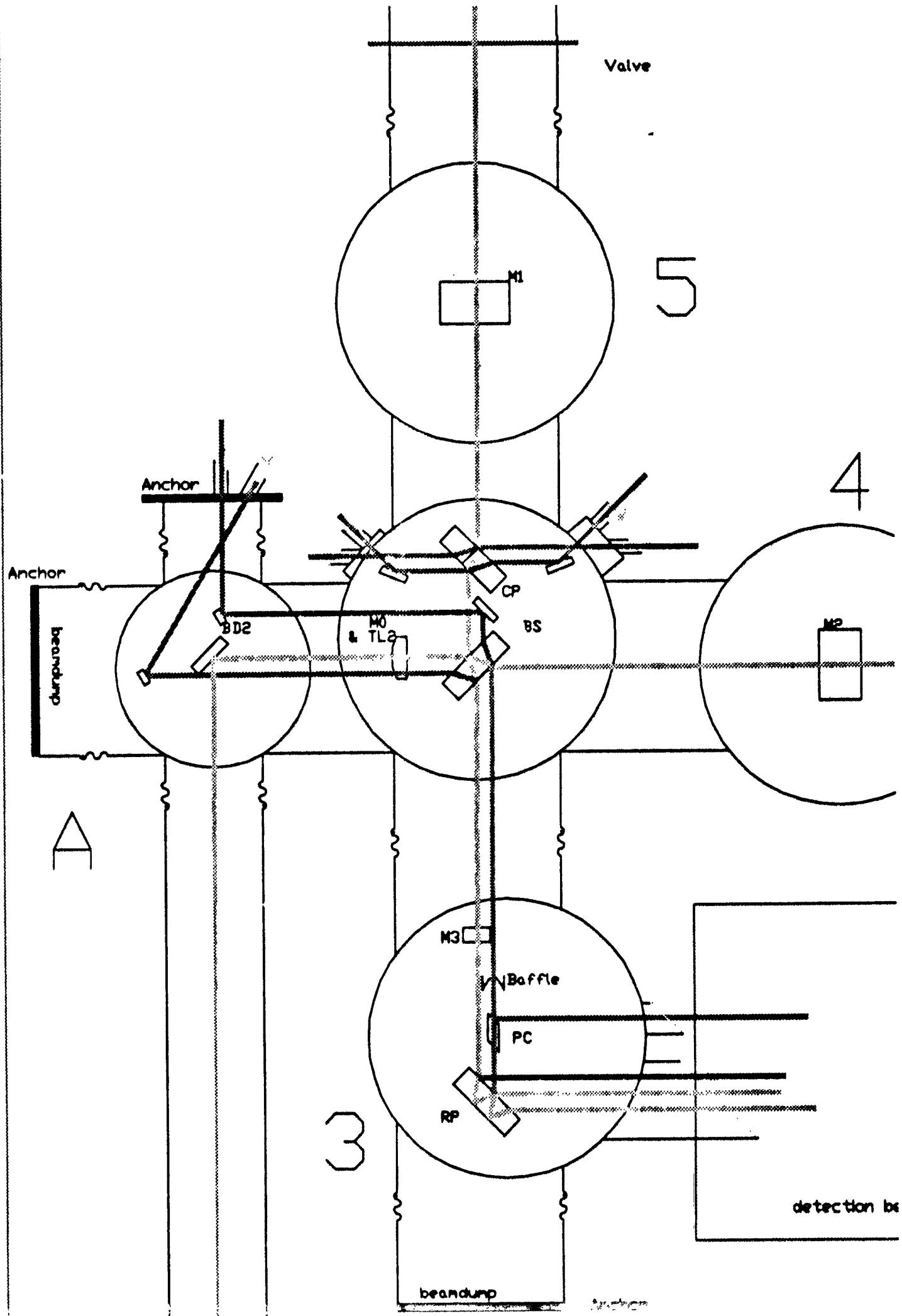
See fur end for

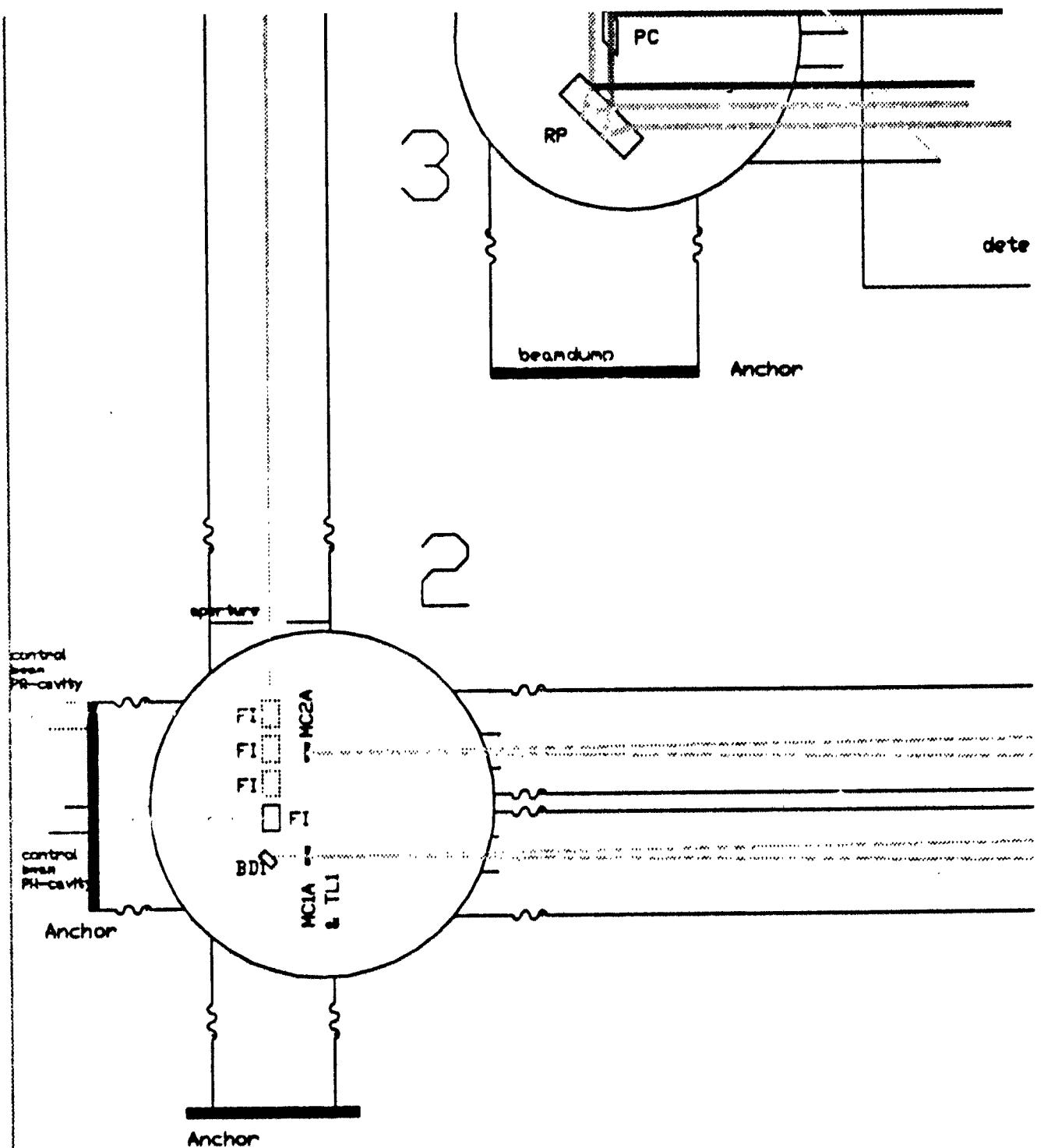
$$D = \ell = 2r \frac{\Delta \ell_{lim}}{H} \approx \Delta \ell_{lim} = \frac{H}{2r} \cdot \ell = \frac{3 \cdot 10^{-2}}{0.6} 600\text{m}$$

$$\Delta \ell_{lim} = 30\text{m}$$

~ 3cm baffles each 30m ~ one can still see the far end

~ either higher or more baffles





detection bench

1m

control beam
node cleaner

1

10

Auditor

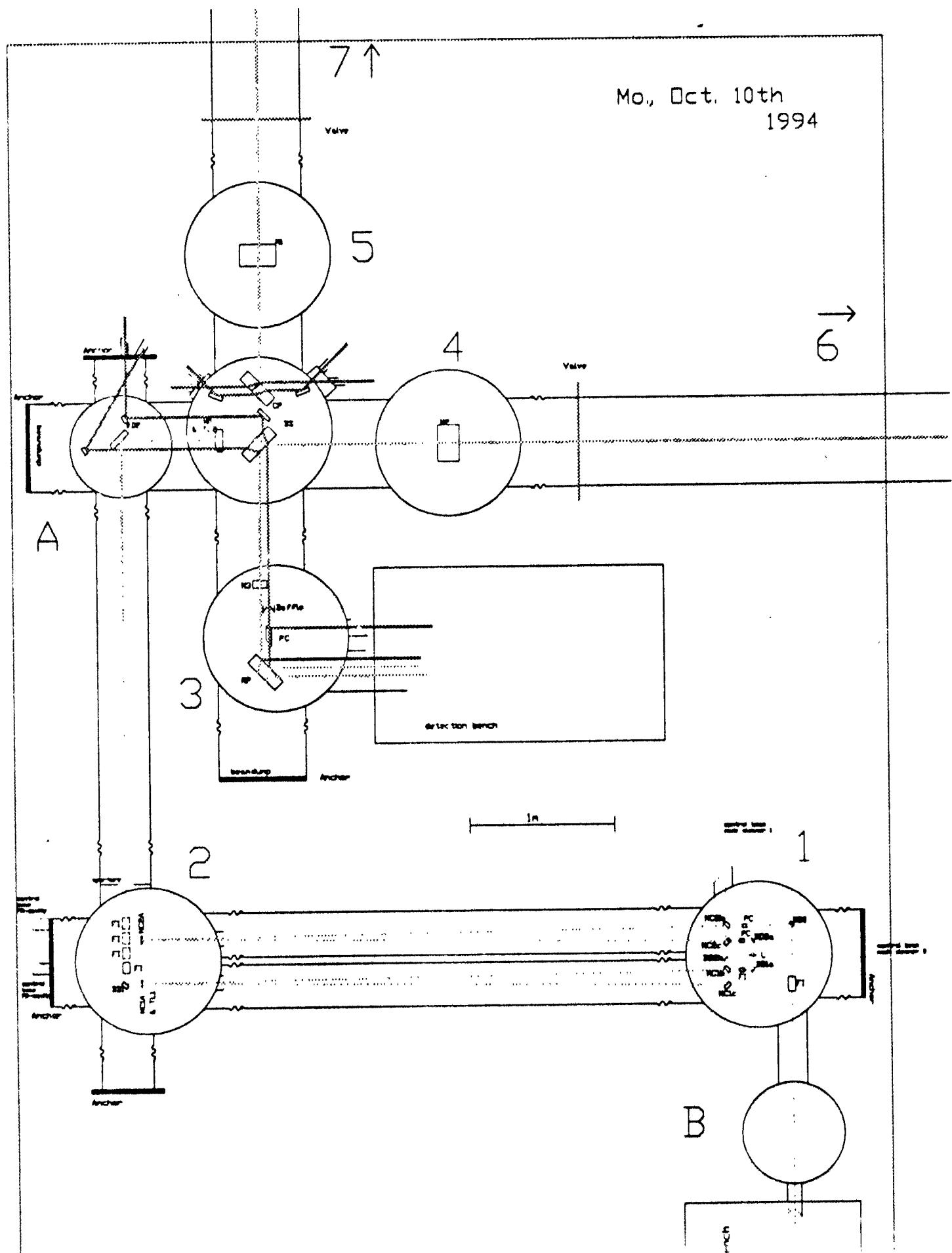
MC2b
MC2c
BD2b
MC1b
MC1c

PC
PC
BD2a
L
BD1a
FI
FI

B

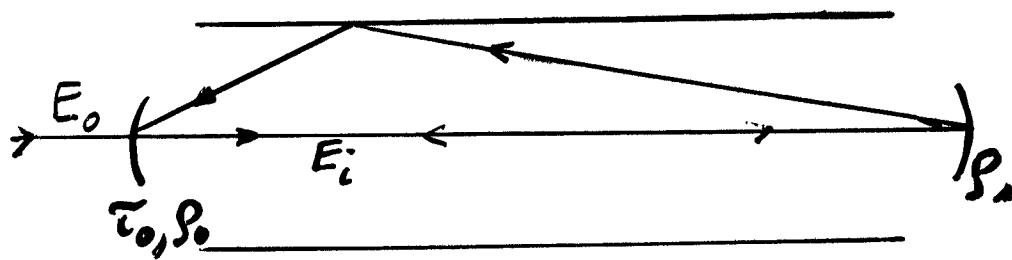
Laser Bench

Mo., Oct. 10th
1994





Cavities



$$E_i = E_0 \left[\tau_0 + \bar{\tau}_0 S_1 S_0 e^{i\delta} + \bar{\tau}_0 S_1 \bar{S}_1 S_0 e^{i\phi} + \bar{\tau}_0 S_0 (S_1 e^{i\delta} + \bar{\tau}_1 S_0 e^{i\phi}) (\bar{S}_1 S_0 e^{i\delta} + \bar{\tau}_1 S_0 S_1 e^{i\phi}) + \dots \right]$$

$$\frac{E_i}{E_0} = \bar{\tau}_0 \left[1 + S_0 S_1 (e^{i\delta} + \bar{\tau}_1 e^{i\phi}) + S_0 S_1 (e^{i\delta} + \bar{\tau}_1 e^{i\phi}) \times S_0 S_1 (e^{i\delta} + \bar{\tau}_1 e^{i\phi}) + \dots \right]$$

$$= \frac{\bar{\tau}_0}{1 - S_0 S_1 (e^{i\delta} + \bar{\tau}_1 e^{i\phi})}$$

Expansion around $\delta_0 = 0 \bmod 2\pi$

strongest influence of $S\phi$ at $\phi_0 = 0 \bmod \pi$

$$\sim \frac{E_i}{E_0} \approx \frac{\bar{\tau}_0}{1 - S_0 S_1 (1 + i\delta\phi + \bar{\tau}_1 + i\bar{\tau}_1 \delta\phi)}$$

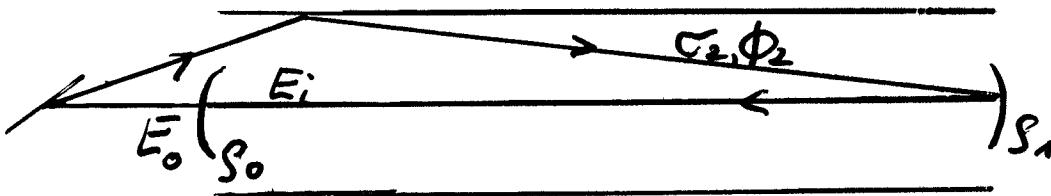
$$\sim \bar{\tau}_1 \delta\phi \underset{!}{<} \delta\phi$$

$$\bar{\tau}_1 2\pi \frac{\delta L_s}{\lambda} < 4\pi \frac{\ell}{\lambda} h$$

$$\delta L_s = 2 \delta x_{\text{ground}} \pi$$

$$\bar{\tau}_1 \delta x_{\text{ground}} \pi < \ell h$$

Scattering at beamsplitter less (critical)



$$\begin{aligned}
 E_i &= E_0 T_0 [1 + S_0 S_1 e^{i\vartheta} + (S_0 S_1)^2 e^{i2\vartheta} + \dots \text{ (main beam)}] \\
 &\quad + E_0 C_2 [S_0 e^{i\phi_2} + S_1 S_0^2 e^{i(\phi_2 + \vartheta)} + S_1^2 S_0^3 e^{i(\phi_2 + 2\vartheta)} + \dots \\
 &\qquad\qquad\qquad \text{(stray-light)}] \\
 &= E_0 (T_0 + S_0 C_2 e^{i\phi_2}) (1 + S_0 S_1 e^{i\vartheta} + (S_0 S_1)^2 e^{i2\vartheta} + \dots) \\
 &= E_0 \frac{T_0 + S_0 C_2 e^{i\phi_2}}{1 - S_0 S_1 e^{i\vartheta}}
 \end{aligned}$$

Signal \equiv Imaginary part

$$\begin{aligned}
 \frac{E_i}{E_0} &= \frac{(T_0 + S_0 C_2 e^{i\phi_2})(1 - S_0 S_1 e^{-i\vartheta})}{|1 - S_0 S_1 e^{i\vartheta}|^2} \\
 &= \frac{(T_0 + S_0 C_2 \cos \phi_2 + i S_0 C_2 \sin \phi_2)(1 - S_0 S_1 \cos \vartheta + i S_0 S_1 \sin \vartheta)}{|1 - S_0 S_1 e^{i\vartheta}|^2}
 \end{aligned}$$

$$\vartheta \approx 0, \phi_2 \ll 1 \quad S_0 (1 - S_0 S_1) C_2 \sin \phi_2 \underset{|}{<} (T_0 + S_0 C_2) S_0 S_1 \sin \vartheta$$

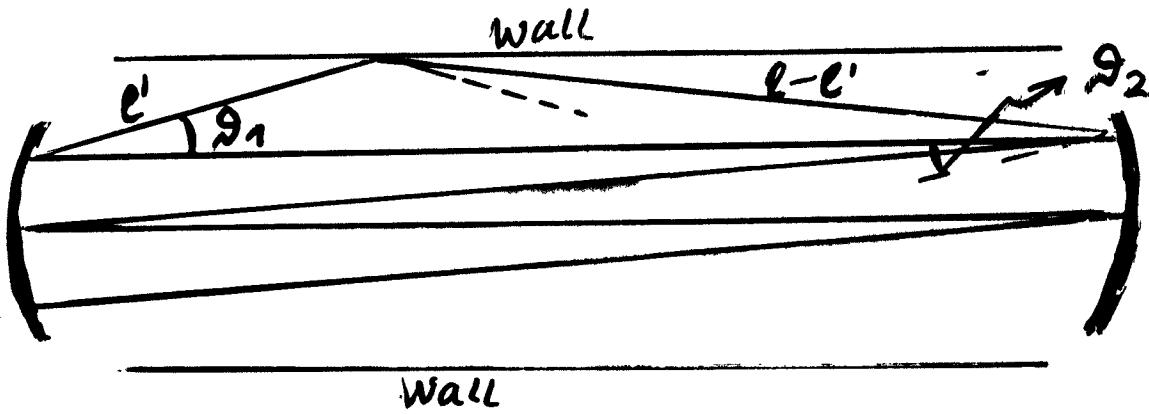
$$C_2 \ll T_0 \quad S_0^2 + T_0^2 = 1$$

$$\therefore C_2 S_{\text{X}_{\text{ground}}} P \underset{|}{<} \frac{4}{T_0} \ell h$$

Jan 95

(3)

Delay-line / walls



$$SL_s^2 = \left(\frac{N}{2} f(g_1) \frac{dF_i}{\ell'^2} \right) \left(f(g) \frac{\pi w^2}{(\ell - e')^2} \cdot \frac{N}{2} \right) \left(f(g_2) \frac{\pi w^2}{\ell^2} \right) \bar{P}^2 S_{\text{ground}}^2$$

{ "Source"-mirror / wall} { wall- "target" mirror } { back into light-mode to next mirror }

$$SL = N \delta l \quad \ell = \text{mirror separation}$$

$$h \approx \frac{SL}{L} = \frac{\delta l}{\ell}$$

$$SL_s < SL_{GW}$$

$$\sim \frac{1}{4} \bar{S}_1 S_{\text{ground}} \quad \bar{P} < \ell h$$

(4)

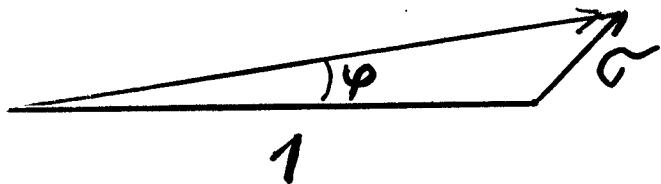
Scattering "inside" delay-line

1) Measurement (1979 and following years)

$$\Delta\phi = \omega \tau = \omega \frac{\Delta L}{c}$$

$$\dot{\omega} \frac{\Delta L}{c} = \Delta\dot{\phi} = \omega_r$$

a) Measure $\omega_r \sim \Delta L$

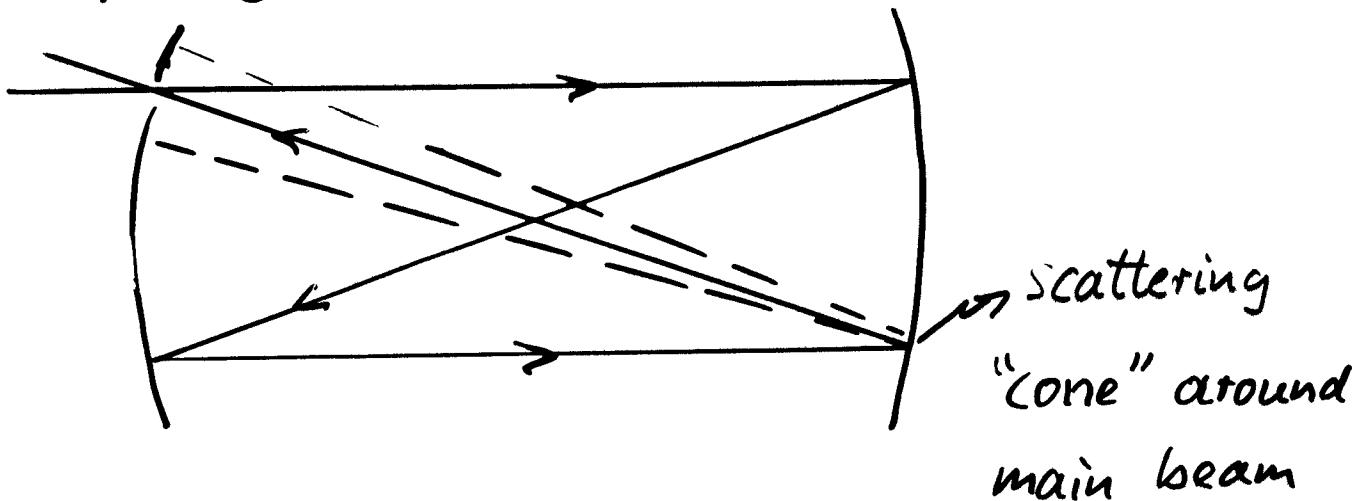


b) Measure amplitude of $\varphi \sim 5^\circ$

Results: a) $\Delta L = nL \sim$ "coupling hole"

b) $\Delta L = 2nL \sim$ "neighbours"

Coupling hole:

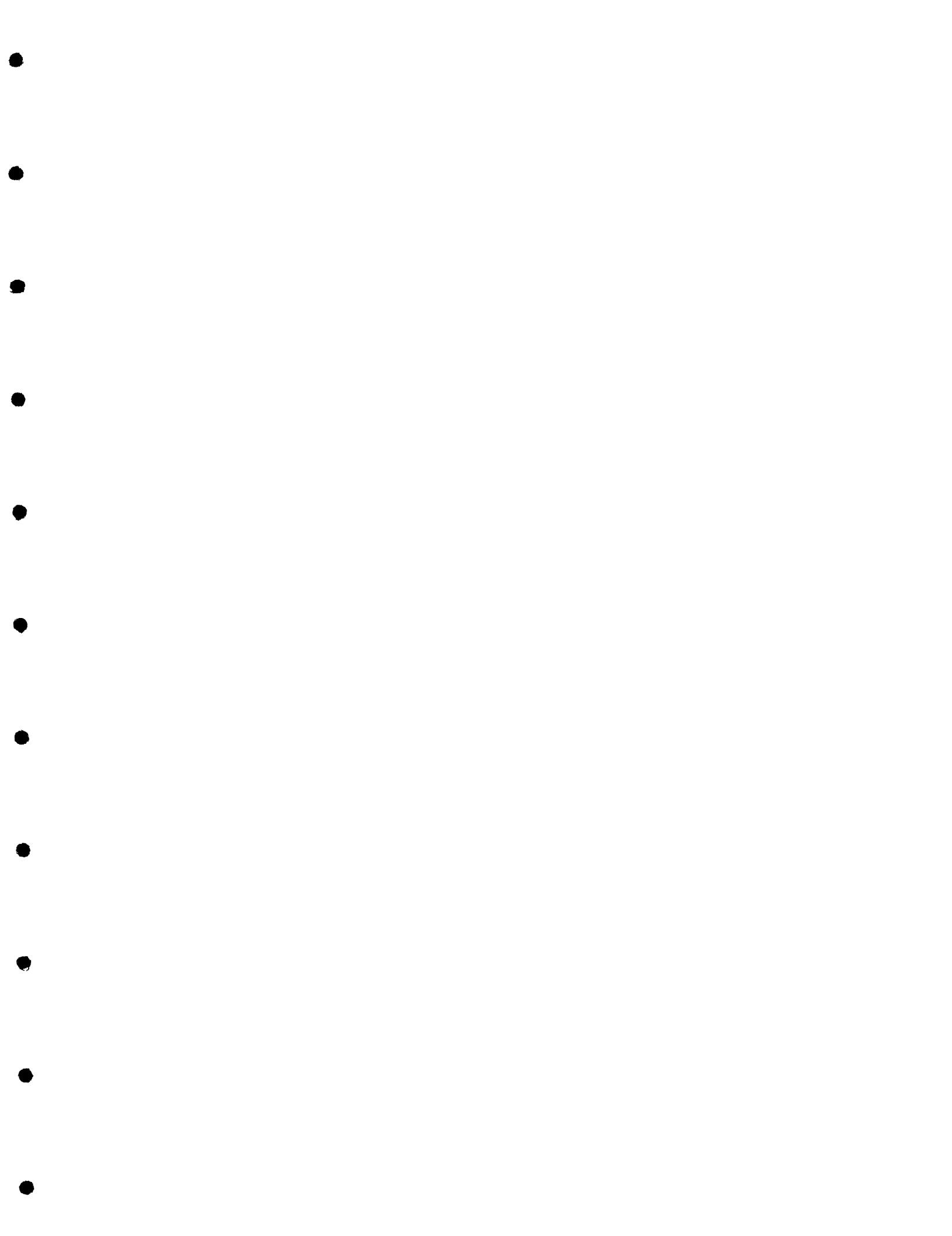


at later reflections: backscatter into main beam

Scattering inside delay line

"Neighbours": Tails of beam (produced by scattering process) fall onto area of neighbouring reflection spots; scattering of these tails into mode of the main beam, reflected regularly at neighbouring spot gives contributions

Measurement of $f(\theta)$ ($\frac{\delta P}{P} = f(\theta) d\Omega$)
and calculation of G 's agreed
very well with measured values of G 's.

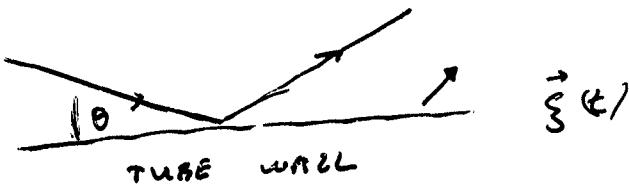


Emma S

January 6-7, 1995

• PHASE NOISE FROM BACKSCATTER / DIFFRACTION /
SPECULAR REFLECTION.

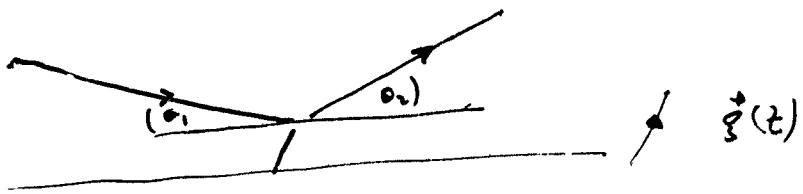
SPECULAR
REFLECTION



BACKSCATTER



BAFFLE
DIFFRACTION



IN EACH CASE

$$\psi_{\text{final}} = \psi_0 e^{i \Phi(t)}$$

$$\tilde{\Phi}(f) = \begin{cases} \frac{4\pi}{\lambda} \Theta \tilde{\xi}(f)_{\text{VERT}} & \text{REFLECTION} \\ \frac{4\pi}{\lambda} \tilde{\xi}(f)_{||} & \text{BACKSCATTER} \\ \frac{2\pi(\Theta + \Theta_2)}{\lambda} \tilde{\xi}(f)_{\text{vert}} & \text{DIFFRACTION} \end{cases}$$

• NO DEPENDENCE ON FLUCTUATIONS IN WALL TILT.

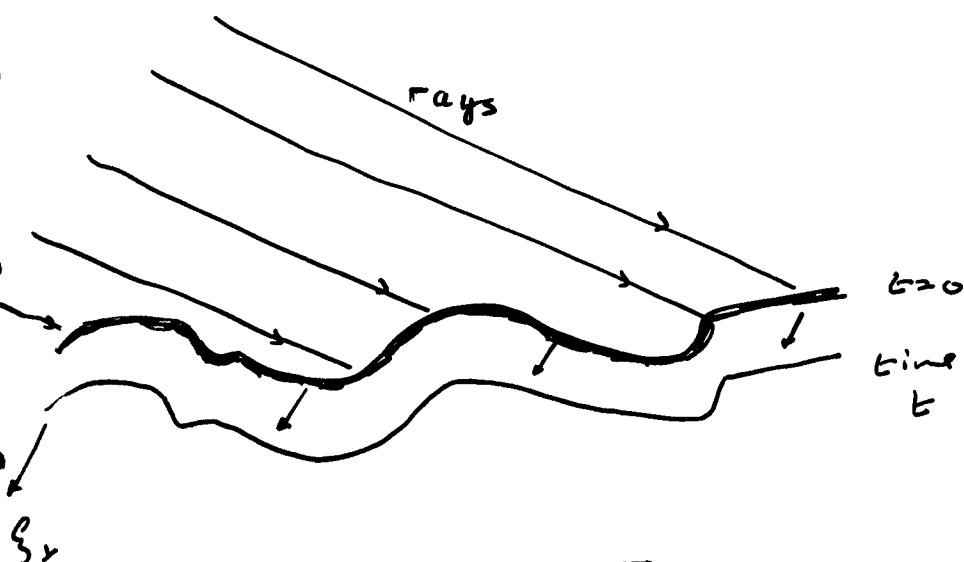
BACKSCATTER

$$\vec{\xi}(t) = \vec{\xi}_{\parallel}(t) + \vec{\xi}_{\perp}(t)$$

$$\rightarrow \vec{\Phi}(t) = \vec{\Phi}_{\parallel}(t) + \vec{\Phi}_{\perp}(t)$$

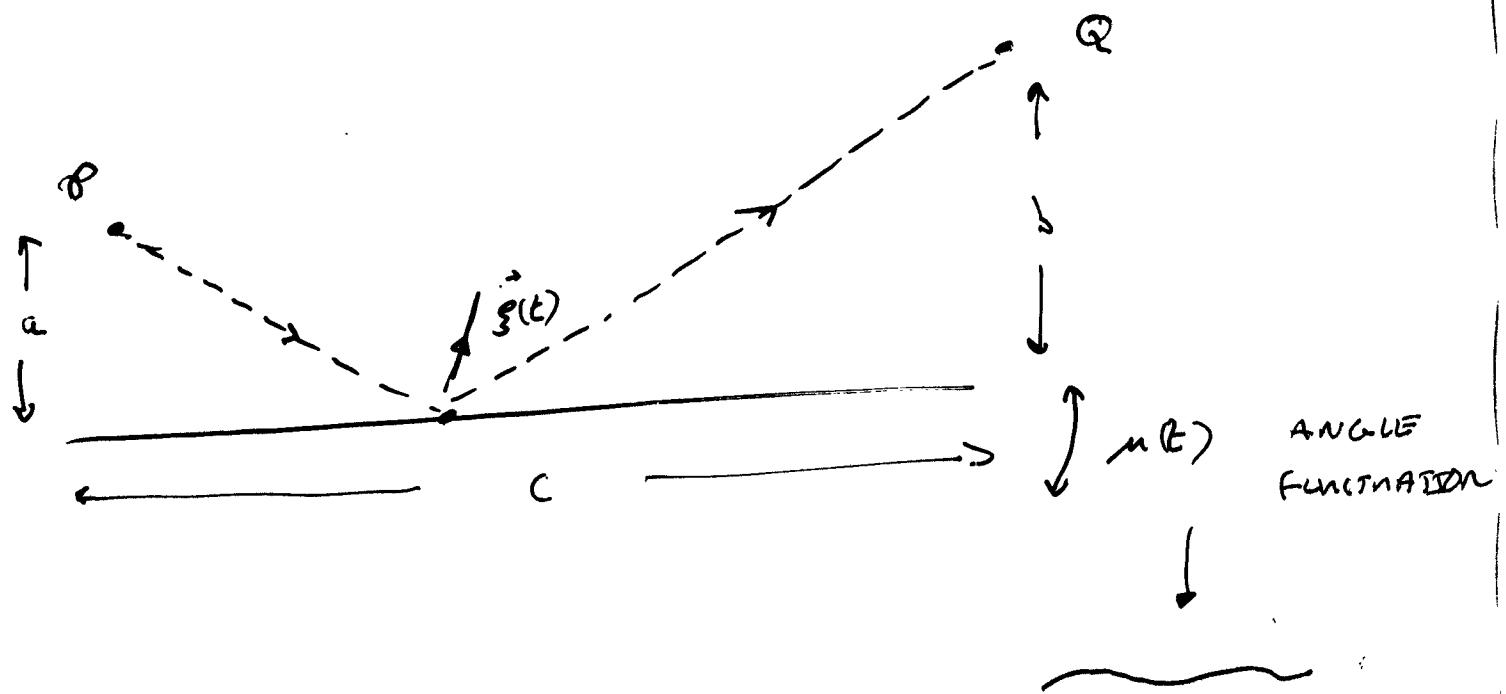


$$\vec{\Phi}_{\parallel} = 2k \vec{\xi}_{\parallel} = \frac{4\pi}{\lambda} \vec{\xi}_{\parallel}$$



$$\vec{\Phi}_{\perp} \lesssim \frac{\vec{\xi}_{\perp}}{v \lambda r}$$

SPECULAR REFLECTION



ANGLE
FUNCTION

VIBRATIONAL
MODE OF WALL.

$$G(\theta, Q) \propto e^{\frac{ik(L_1 + L_2)}{L_1 + L_2}} = \frac{e^{ik\ell}}{\ell}$$

$$\delta \Phi = k \delta \ell$$

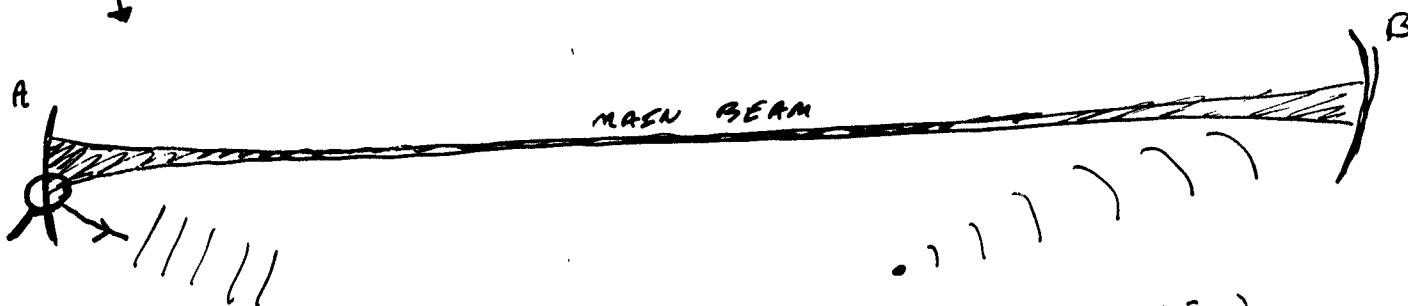
$$\delta \ell = \underbrace{2 \frac{(a+b)}{c} \vec{g}(t)}_{\text{DOMINANT}} + \underbrace{\frac{c}{2} \left[-1 + \frac{(a-b)^2}{(a+b)^2} \right] \mu(t)^2}_{\text{NEGIGIBLE}} + O(\vec{g}^2, \mu^3).$$

NEGLIGIBLE
(VINET + BRISSON)

RECIPROCITY RELATION | "ANTENNA THEOREM"

- LIGHT SCATTERING OUT OF MAIN BEAM AT MIRROR A

- LIGHT SCATTERING BACK INTO MAIN BEAM AT MIRROR B



$$\frac{dE}{dt dR_{ms}} = \frac{dP}{dR_{ms}}(\theta) I_{mb}$$

[= \frac{\kappa}{\theta^2} I_{mb}]

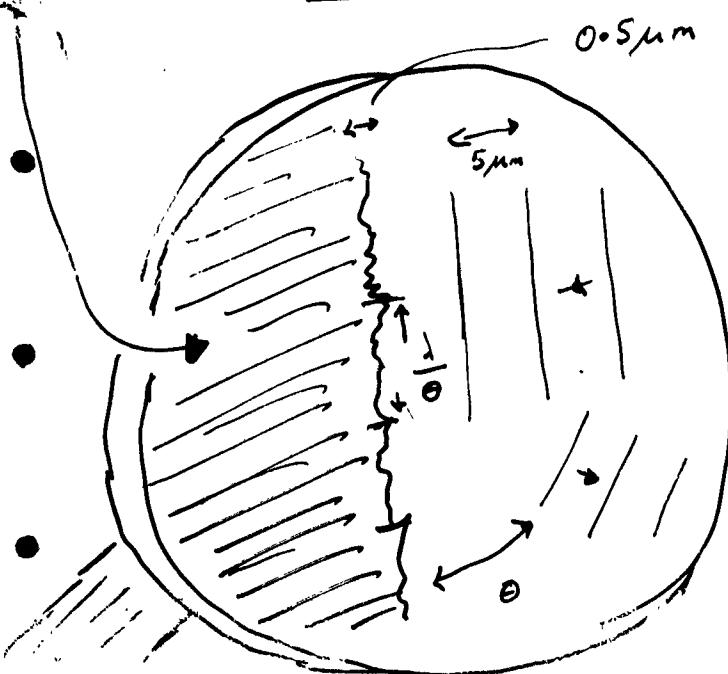
$$\left(\frac{dE}{dt} \right)_{\text{back into main beam}} = \left(\frac{dE}{dt dA} \right)_{\text{incident}} \sigma_{ms}(\theta)$$

$$\boxed{\sigma_{ms} = \lambda^2 \frac{dP}{dR_{ms}}}$$

- PROCESSES RELATED BY TIME REVERSAL INVARIANCE

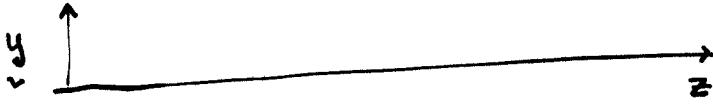
- DOES NOT DEPEND ON

$$\boxed{\sigma \ll \lambda}$$



PROOF

BEAM TUBE



$$\textcircled{A} \quad \psi(\vec{y}, z) = \underbrace{\int dy'}_{\text{Field after reflection off mirror}} \underbrace{\sqrt{I_{mb}} \hat{\psi}_{00}(y')}_{\text{main beam incident field}} e^{i \frac{g(y')}{2z}} \left\{ \frac{-ik}{2\pi z} e^{ik \frac{(y-y')^2}{2z}} \right\}$$

Field after reflection off mirror

integral over mirror

main beam incident field

↓
Parasitical propagation

Small phase shift due to mirror roughness

$$\frac{dP}{dI_{ms}} = \frac{1}{I_{ms}} |\psi(\vec{y}, z)|^2 z^2$$

$$\textcircled{B} \quad \psi_{inc}(\vec{y}) = \sqrt{\frac{dE}{dt dA}} e^{ik \frac{(y-y')^2}{2z}}$$

Incident field coming from (\vec{y}, z) to receiving mirror

$$I_{ms} = \frac{1}{dE/dt dA} \left| \int dy' \psi_{inc}(\vec{y}) e^{i \frac{g(y')}{2z}} \hat{\psi}_{00}(y') \right|^2$$

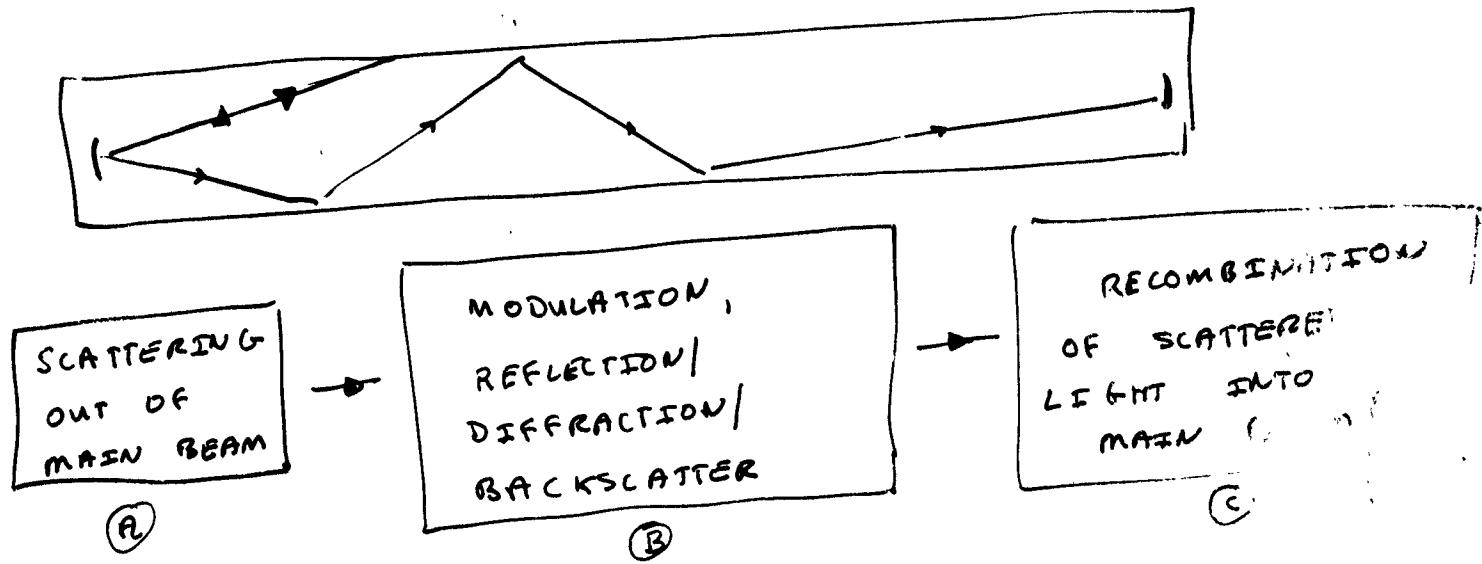
integral over receiving mirror

normalized eigenfunction for TEM₀₀ mode.

DERIVATION OF GRAVITATIONAL WAVE NOISE

- FOUNDATIONS

- THE FOLLOWING INTENSITY ANALYSIS ASSUMES LIGHT IS INCOHERENT



- KEEP TRACK OF (i) ENERGY
(ii) PHASE MODULATION AT EACH STAGE

Ⓐ SCATTERING OUT OF MAIN BEAM

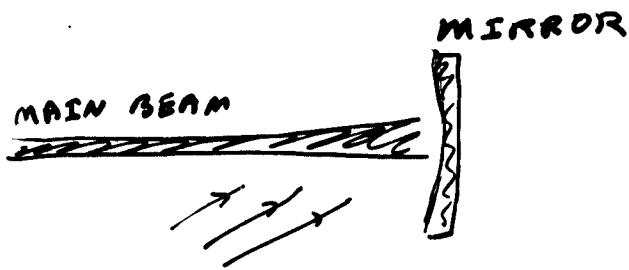


$$\left(\frac{dE}{dt d\Omega} \right)_{\text{scattered}}^{(\theta)} = \underbrace{\left(\frac{dP}{d\Omega_{mb}} \right)^{(\theta)}}_{\alpha/\theta^2} I_{mb}$$

$\alpha = 10^{-6}$

$$\left(\frac{dE}{dt dA} \right)_{\text{distance } r} = \frac{1}{r^2} \frac{\alpha}{\theta^2} I_{mb.}$$

⑥ RECOMBINATION



Some incident scattered light
with energy

$$\left(\frac{dE}{dt} \right)_{\text{rec}} = \sigma_{\text{ms}} \left(\frac{dE}{dt dA} \right)_{\text{incident}}$$

and phase noise $\Phi(t)$

- MAIN BEAM FIELD Ψ_{mb} IS AUGMENTED BY

$$\frac{\delta \Psi_{mb}}{\Psi_{mb}} = \sqrt{\frac{1}{I_{mb}} \left(\frac{dE}{dt} \right)_{\text{rec}}} \left[\cos \Phi(t) + i \sin \Phi(t) \right]$$

A GRADATIONAL WAVE $h(t)$ PRODUCES

$$\frac{\delta \Psi_{mb}}{\Psi_{mb}} = e^{2i k L h(t)} - 1 \approx 2i k L h(t)$$

$$L = 4 \text{ km}$$

$$k = \frac{2\pi}{5}$$

COMBINING

$$\Rightarrow \tilde{h}(f)^2 = \frac{1}{I_{mb}} \left(\frac{\lambda}{4\pi L} \right)^2 \sigma_{\text{ms}}(\theta) \times \left(\frac{dE}{dt dA} \right)_{\text{inc}} \tilde{S}(f)^2$$

WHERE $\tilde{S}(t) = \sin[\Phi(t)] \approx \Phi(t)$ now.

OR

$$\tilde{h}(f)^2 = \frac{1}{I_{mb}} \left(\frac{\lambda}{4\pi L} \right)^2 \underbrace{\int d^2\Omega_{rm} \left[\frac{dE}{dt dA d^2\Omega} (\theta) \tilde{h}(f)^2 \right]}_{\text{integral over incident direction } \theta \text{ at recombining mirror}}$$

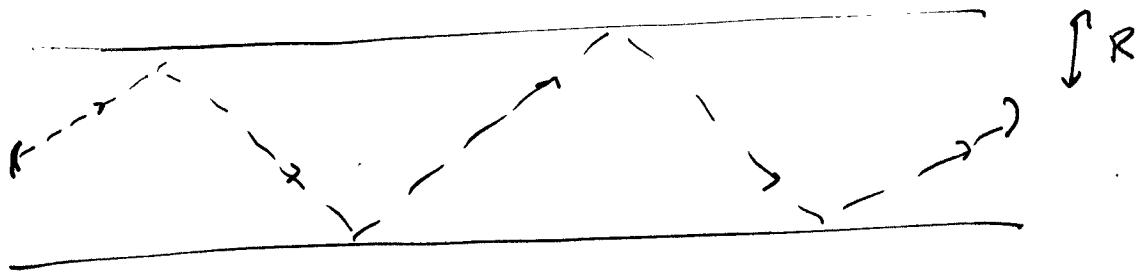
integral over
incident direction
 θ at recombining
mirror

- NO FACTOR OF B !

(JEAN - YVES VINET,
BRISSEON).

SPECULAR REFLECTION

- Baffles designed to eliminate rays with $\theta \leq \theta_0 \approx 0.01$ radians
- Here we calculate noise ~~in~~ from light $\text{Q } \theta \geq \theta_0$.
- This analysis ~~is~~ now known to be invalid because of new scattering measurements by Weiss.



KEY INGREDIENTS

- Light undergoes

$$N = \frac{L\theta}{2R} \quad \text{BOUNCES}$$

$$\Rightarrow \tilde{\xi}(f)^2 = N \tilde{\Phi}(f)^2,_{\text{bounce}} = \frac{L\theta}{2R} \left(\frac{4\pi\lambda}{\theta} \right)^2 \tilde{\xi}(f)^2$$

- $\frac{dE}{dt d\theta}$ PRESERVED DOWN MIRROR.

ENERGY DENSITY IS UNIFORM ON RECEIVING PLANE

$$\Rightarrow \left(\frac{dE}{dt dA d^2\Omega} \right)_{rec} = \frac{1}{\pi R^2} \left(\frac{dE}{dt d^2\Omega} \right)_{rec}$$

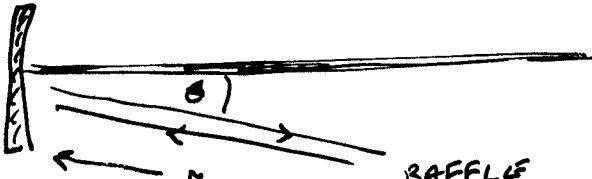
CAN BE AS LARGE AS 10.

COMBINE TO GET

$$\tilde{f}(f) = \alpha \sqrt{2 A(\theta) m(\theta)} \frac{\lambda}{VLR} \frac{[\tilde{A}(f), \tilde{\xi}(f)]}{IR} \sqrt{D\Theta}$$

$$= \frac{2 \cdot 4 \times 10^{-24}}{Hz^{\frac{1}{2}}} \left(\frac{10 Hz}{f} \right)^2 \sqrt{A(\theta) m(\theta)} \tilde{A}(f) \sqrt{\frac{\Delta \theta}{0.01}}$$

BACKSCATTER



SAFFLE
OR WALL.

$$\left(\frac{dE}{dt dA} \right)_{rec}$$

$$\frac{1}{\delta R_{ms}} \left(\frac{dE}{dt} \right)_{back \text{ to} \atop \text{main beam}} = \sigma_{ms} \times \frac{dP}{dR_{bs}} \times \underbrace{\frac{1}{r^2} \frac{dP}{dR_{ms}} I_{mb}}_{\left(\frac{dE}{dt dA} \right)_{out}}$$

$$\boxed{\tilde{S}_h(f) = \left(\frac{\lambda^2}{4\pi r L} \right)^2 \underbrace{\left(\frac{dP}{dR_{ms}} \right)^2}_{\left(\frac{\alpha}{\theta^2} \right)^2} \left(\frac{dP}{dR_{bs}} \right) \underbrace{\tilde{S}(f)^2}_{\tilde{S}(f)} \delta R_{ms}}$$

FOR ALL BACKSCATTER PROCESSES

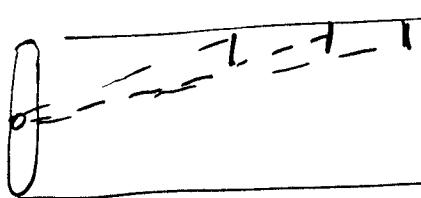
$$\frac{1}{2} \tilde{\Phi}(r)^2$$

BAFFLE

BACKSCATTER.

$$\tilde{h}(f)^2 = \frac{1}{2} \left(\frac{\lambda^2}{4\pi RL} \right)^2 \int_{\theta_1}^{\theta_2} d\theta \left(\frac{\alpha}{\theta^2} \right)^2 \underbrace{\frac{dP}{dV}(\theta_{bs})}_{\beta} \tilde{\mathcal{E}}(f; \theta)^2 8\pi \theta^3 d\theta$$

$$\beta = 10^{-2} \left[\frac{4\pi}{\lambda} A(f) \tilde{\zeta}_s(f) \right]^2$$



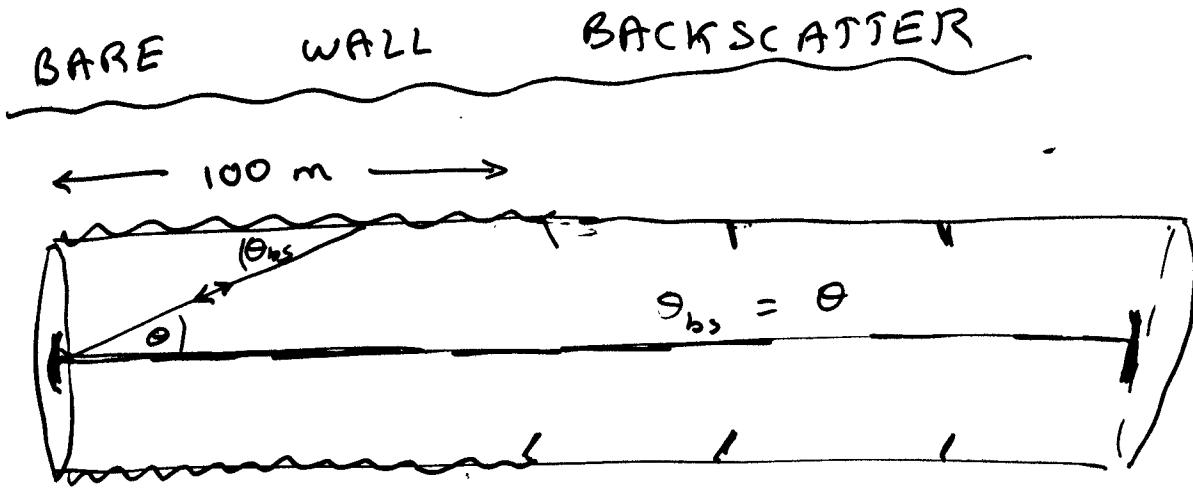
"COMPLETE SHADOWING"
 → RESULTING NOISE INDEPENDENT OF DETAILS
 OF BAFFLE SPACING ETC.

$$\tilde{h}(f) = \left[4\pi \alpha^2 \beta \ln\left(\frac{l_2}{l_1}\right) J_0(\beta) \right]^{\frac{1}{2}} \bar{A}(f) \frac{\lambda}{R} \frac{\tilde{\zeta}_s(f)}{L}$$

$$= \frac{3 \times 10^{-25}}{\sqrt{Hz}} \left(\frac{10Hz}{f} \right)^2 \bar{A}(f) \frac{\sqrt{J_0(\beta)}}{2} \left(\frac{\beta}{10^{-2}} \right)^{\frac{1}{2}} \left[\frac{\ln(l_2/l_1)}{\ln(4000/120)} \right]^{\frac{1}{2}}$$

$$\left(\frac{\alpha}{10^{-6}} \right) \tilde{\zeta}_s(f) / \left[10^{-7} \text{ cm. Hz}^{-\frac{1}{2}} (f/10Hz)^{-2} \right]$$

$$\approx 0.8 \tilde{h}_{goal}$$



$$\tilde{I}(f)^2 = \left(\frac{\lambda^2}{4\pi RL} \right)^2 \int_{\theta_1}^{\theta_2} d\theta \left(\frac{\alpha^2}{\theta^2} \right)^2 \frac{dP}{dJ_{bs}}(\theta) \tilde{S}(f)^2 4\pi \theta^2 d\theta$$

$\underbrace{\beta \approx 10^{-2}}$

$\underbrace{\beta \theta}$

$$\left[\frac{4\pi}{\lambda} A(f) \tilde{S}_s(f) \right]^2$$

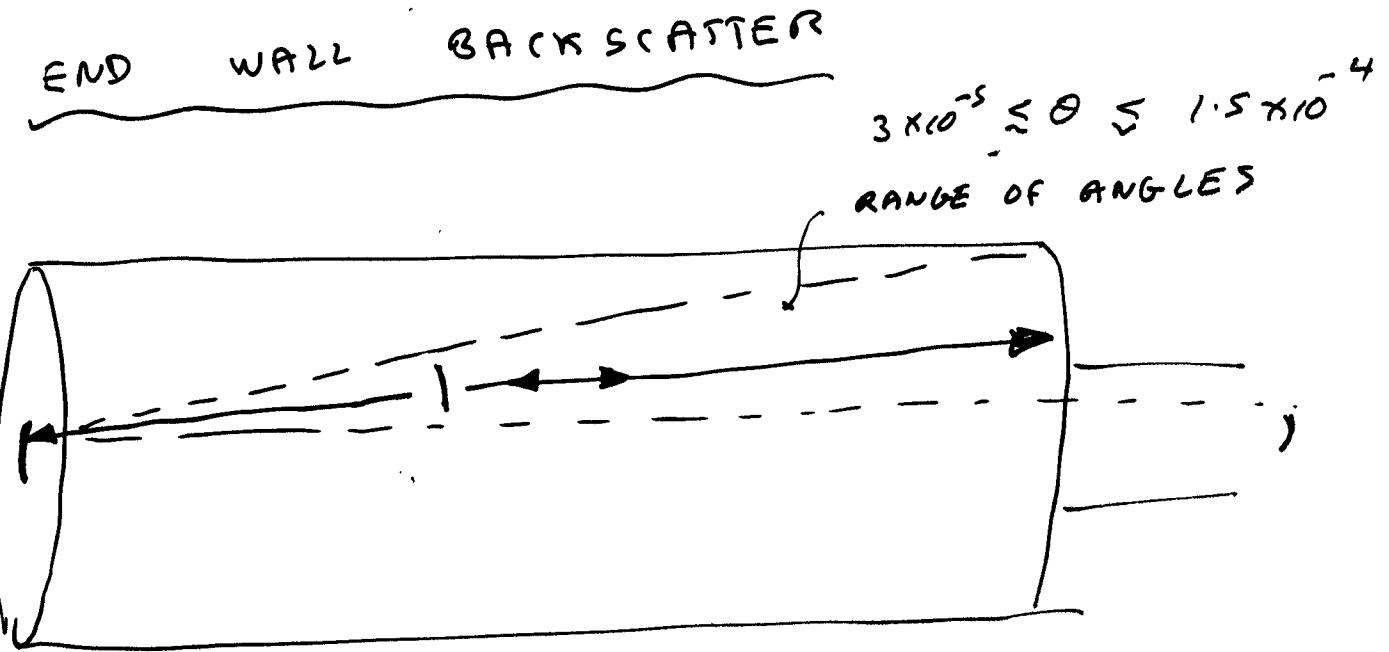
$$= \frac{3 \times 10^{-25}}{\sqrt{\text{Hz}}} \left(\frac{10 \text{ Hz}}{f} \right)^2 \hat{A}(f) \frac{\sqrt{J_0(\rho)}}{2} \left(\frac{\beta}{10^{-2}} \right)^{\frac{1}{2}} \left(\frac{\alpha}{10^{-6}} \right)$$

$$\left[\frac{\ln(l_2/l_1)}{\ln(120 \text{ m}/2 \text{ m})} \right]^{\frac{1}{2}} \frac{\tilde{S}_s(f)}{10^{-7} \text{ cm Hz}^{-\frac{1}{2}} (f/10 \text{ Hz})^2}$$

ONLY FACTOR WHICH
DIFFERS FROM RAFFLE BACKSCATTER

$$= 0.8 \tilde{h}_{goal}$$

"PESSIMISTIC
CASE"



- ASSUME $\frac{dP}{dL_{bs}} = \beta = 0.01$

$$\frac{dP}{dL_{ms}} = \frac{\alpha}{\theta^2} \quad \text{VALID AT SMALL ANGLES}$$

HORIZONTAL VIBRATIONS

$$\tilde{\xi}(f) = A(f) \tilde{\xi}_s(f)$$

$$\Rightarrow \tilde{h}(f) = \frac{3 \times 10^{-25}}{\text{Hz}^{\frac{1}{2}}} \left(\frac{10 \text{ Hz}}{f} \right)^2 \left(\frac{\alpha}{10^{-6}} \right) \left(\frac{\beta}{10^{-2}} \right)^{\frac{1}{2}} \bar{A}(f) \left(\frac{12 \text{ cm}}{R_{\text{mirror}}} \right)$$

COHERENCE EFFECTS

- THE FUNDAMENTAL FORMULA OBTAINED FROM AN INTENSITY ANALYSIS IS

$$\hat{I}(f)^2 = \frac{1}{I_{\text{int}}} \left(\frac{\lambda}{4\pi L} \right)^2 \int d^2\Omega_{\text{em}}(\theta) \frac{dE}{dt dA} d^2\Omega(\theta) \hat{I}(f; \theta)^2$$

- THE SAME FORMULA IS OBTAINED FROM THE SAME AMPLITUDE DIRECTIONS IF LIGHT IS SEPARATED BY

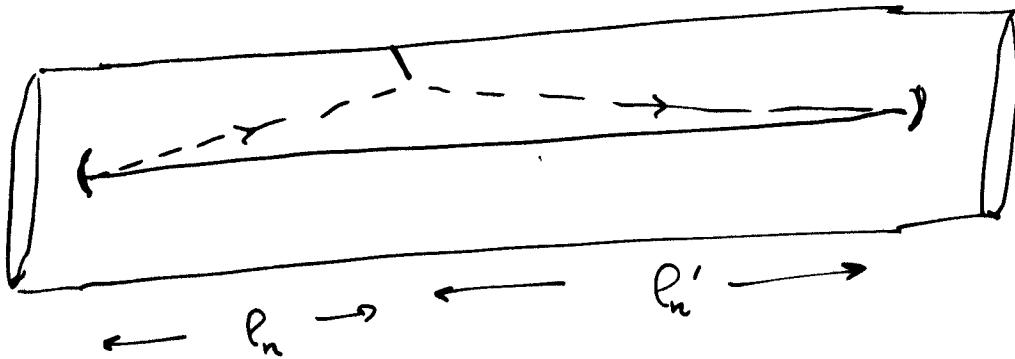
$$\Delta\theta \gtrsim \theta_{\text{main beam}} = \frac{\sqrt{\lambda L}}{L}$$

- IS PHASE INCOHERENT
- 2 POSSIBLE MECHANISMS OF DECOHERENCE

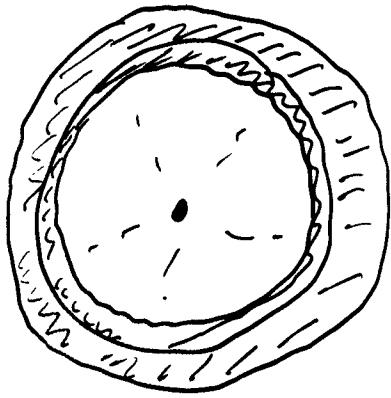
- TO CHECK COHERENCE EFFECTS WE NEED TO DO A FULL AMPLITUDE ANALYSIS FOR EACH TYPE OF NOISE

BAFFLE DIFFRACTION

- DANGER



BAFFLE SEEN FACE ON



③ Region where most of the diffracted light is coming from.

THERE IS A DANGER OF PHASE COHERENCE ALL THE WAY AROUND THE EDGE
(NO FSE FROM DIFFERENT BAFFLES WILL BE INCOHERENT)

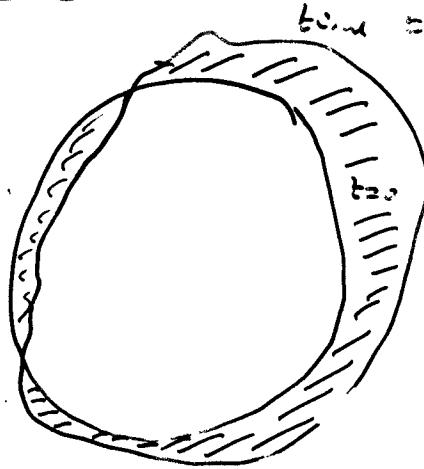
EFFECTS THAT MITIGATE COHERENCE

- ① BAFFLE MOTIONS INCOHERENT
- ② FRESNEL FRINGE PATTERN FOR OFF MIRRORS
- ③ NON AXISYMMETRY OF MIRRORS
- LASER SPECICLE'
- ④ SERRATIONS ON BAFFLES.
- ⑤ COHERENCE OF DC PORTION OF LIGHT.
IS UNIMPORTANT.

BAFFLE DIFFRACTION

A. COHERENT ANALYSIS

Baffle seen
face on

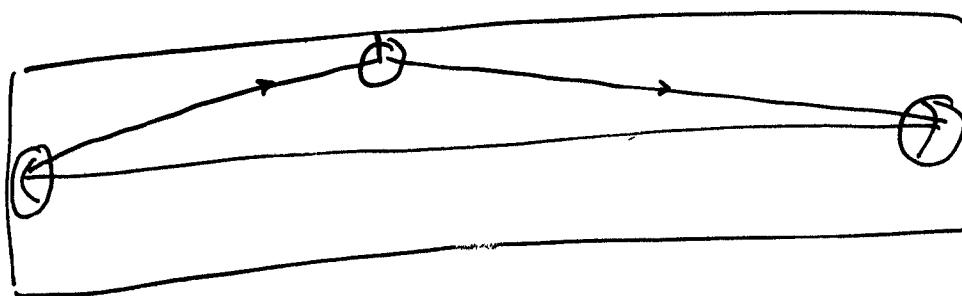


- ② Area Opened up
- ③ Area closed off

DO NOT SEPARATELY ANALYSE { ENERGY
PHASE MODULATION
OF SCATTERED LIGHT

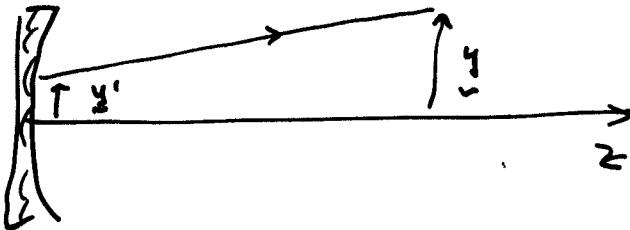
$$\gamma_0 e^{i\Phi(t)} \approx \gamma_0 + \underbrace{i\gamma_0 \dot{\Phi}(t)}$$

Look at spectrum of
fluctuating part of
wave field.



3 integrals
in expression
for $h(t)$.

AMPLITUDE DESCRIPTION OF SCATTERING OFF MIRROR

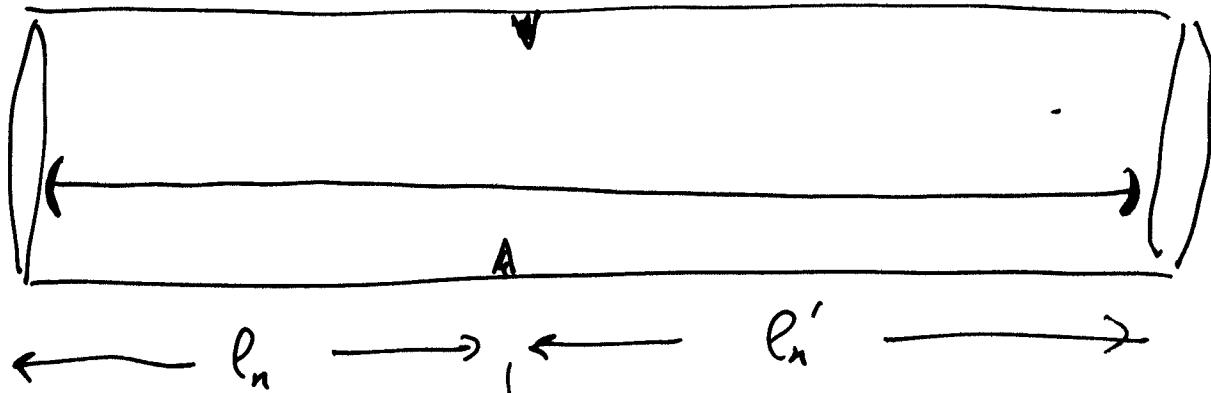


$$\Psi_{\text{scatt}}(y, z) = \int d^2 y' \underbrace{\frac{e^{-ik}}{2\pi z} e^{ikz} e^{ik \frac{(y-y')^2}{2z}}}_{\text{STANDARD PARALLEL PROPAGATOR}} \hat{F}_{\infty}(y') \sqrt{I_{\text{mb}}} \underbrace{e^{2ikN(y')}}_{\text{mirror imperfections}}$$

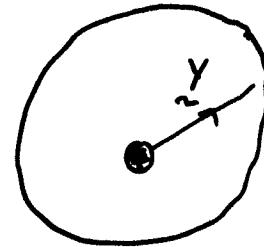
$$= \frac{1}{z} e^{ikz} e^{ik \frac{y^2}{2z}} \underbrace{\sqrt{\frac{dP}{dL_{\text{rms}}}\left(\frac{y}{z}\right)}}_{f_{\text{sm}}(y, z)} \text{ THE EXTRA INFO GIVEN BY AMPLITUDE ANALYSIS}$$

FLUCTUATING FUNCTION
RMS = UNITY

VARIES ON SCALES
 $\sim \sqrt{\lambda z}$



FACE VIEW



$$h(t) = \sum_{\text{mir}}^{\frac{x\sqrt{2}}{z}} \sum_{n=1}^{N_b} \int_0^{2\pi} R \tilde{f}_s(\theta) d\theta \underbrace{\int d^2 R}_{\frac{\lambda}{4\pi L}}$$

sum over battles

conversion factor

$$\left[\frac{\sqrt{\alpha}}{y_n} f_{sm}(y_n) e^{i \frac{k y_n^2}{2 l_n}} \right] \left[\frac{\sqrt{\alpha}}{y_n} f_{rm}(y_n) e^{i \frac{k y_n^2}{2 l_n'}} \right]$$

field arriving at battle

ANSWERS

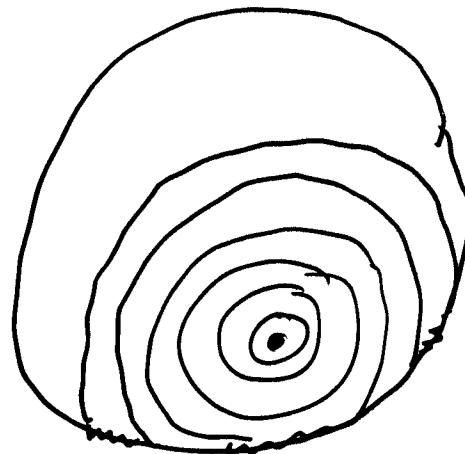
(A) WORST CASE - FULL COHERENCE, CENTERED MIRRORS

$$\tilde{h}(f) = \frac{\alpha \lambda \bar{A}(f) \tilde{\xi}(f)}{L R} \sqrt{N_b}$$

$$= \frac{3 \times 10^{-24}}{\text{Hz}} \quad \bar{A}(f) \left(\frac{N_b}{220} \right)^{\frac{1}{2}}$$

$$= 8 \wedge \tilde{h}_{\text{goal}} !$$

(3) OFF - CENTERED MIRRORS ARE PROTECTED
BY FRESNEL - FRINGE PATTERN

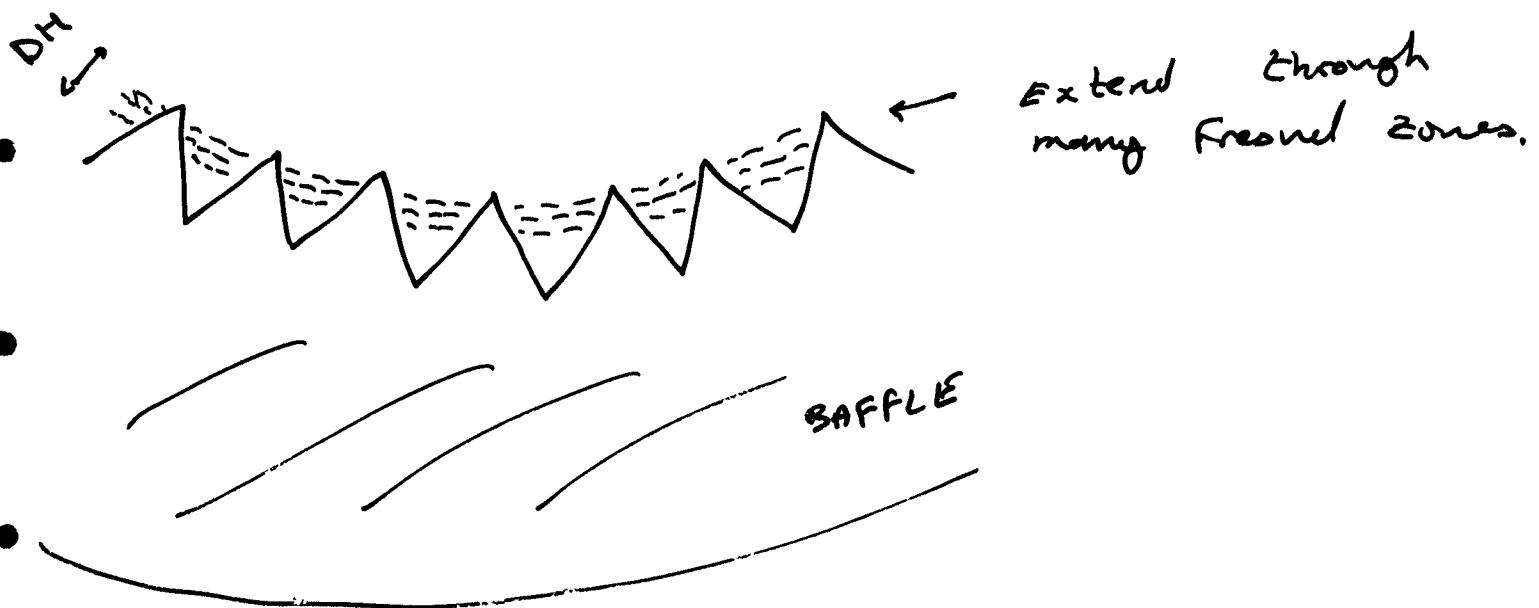


$$\tilde{h}_{\text{diff}}(f) = \sqrt{\frac{2}{3}} \frac{\alpha \sqrt{\lambda L}}{4\pi R} \frac{\lambda \bar{A} \xi_s}{L R} \sim \sqrt{N_b} G(p)$$

$$= \frac{2 \times 10^{-25}}{\sqrt{\text{Hz}}} \left(\frac{f}{10 \text{Hz}} \right)^2 \underbrace{\left(\frac{N_b}{220} \right)^{\frac{1}{2}}}_{\text{can improve by factor of } \sqrt{2}} \frac{G(p)}{11}$$

can improve
by factor of
 $\sqrt{2}$

(c) CENTERED BEAM REGULAR SERRATIONS



FIND

$$\tilde{h}(f) = \tilde{h}(f)_{\text{worst case}} \times \frac{\sqrt{2}}{8\pi} \left(\frac{\lambda L}{R DH} \right) \sqrt{\frac{1}{N_b} \sum_{n=1}^{N_b} \beta_n^2}$$

$$\beta_n = \frac{4 \ell (L - \ell)}{L^2}$$

$$0.1 \leq \beta_n \leq 1.$$

$$= \frac{2 \pi 10^{-25}}{\sqrt{\text{Hz}}} \underbrace{\sqrt{\frac{N_b}{220}}}_{\text{CAN BE REDUCED BY } \sqrt{\frac{1}{S}} \text{ BY REMOVING BAFFLE}}$$

CAN BE REDUCED BY $\sqrt{\frac{1}{S}}$ BY
REMOVING BAFFLE>

- RANDOMIZING THE SERRATIONS HELPS

REGULAR SERRATIONS

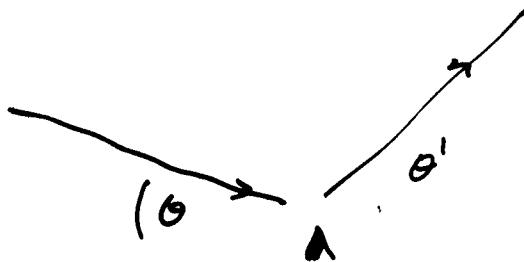
$$\int d\phi = \sum_{\text{serrations}} \underbrace{\text{still add}}_{\text{in } \underline{\text{phase}}} \quad \int d\phi \underbrace{\text{1 serration}}_{\text{reduced by} \atop \text{suspending}}$$

- $\hat{h}(f)$ GOES DOWN B^7

$$\sqrt{\frac{\sigma_B}{2\pi R}} \sim \sqrt{\frac{\frac{L\lambda L}{2\pi R}}{\frac{1}{12}}} \simeq \frac{1}{12}$$

σ_B = lengthscale over which serrations coherence.

AGREEMENT WITH INTENSITY ANALYSIS

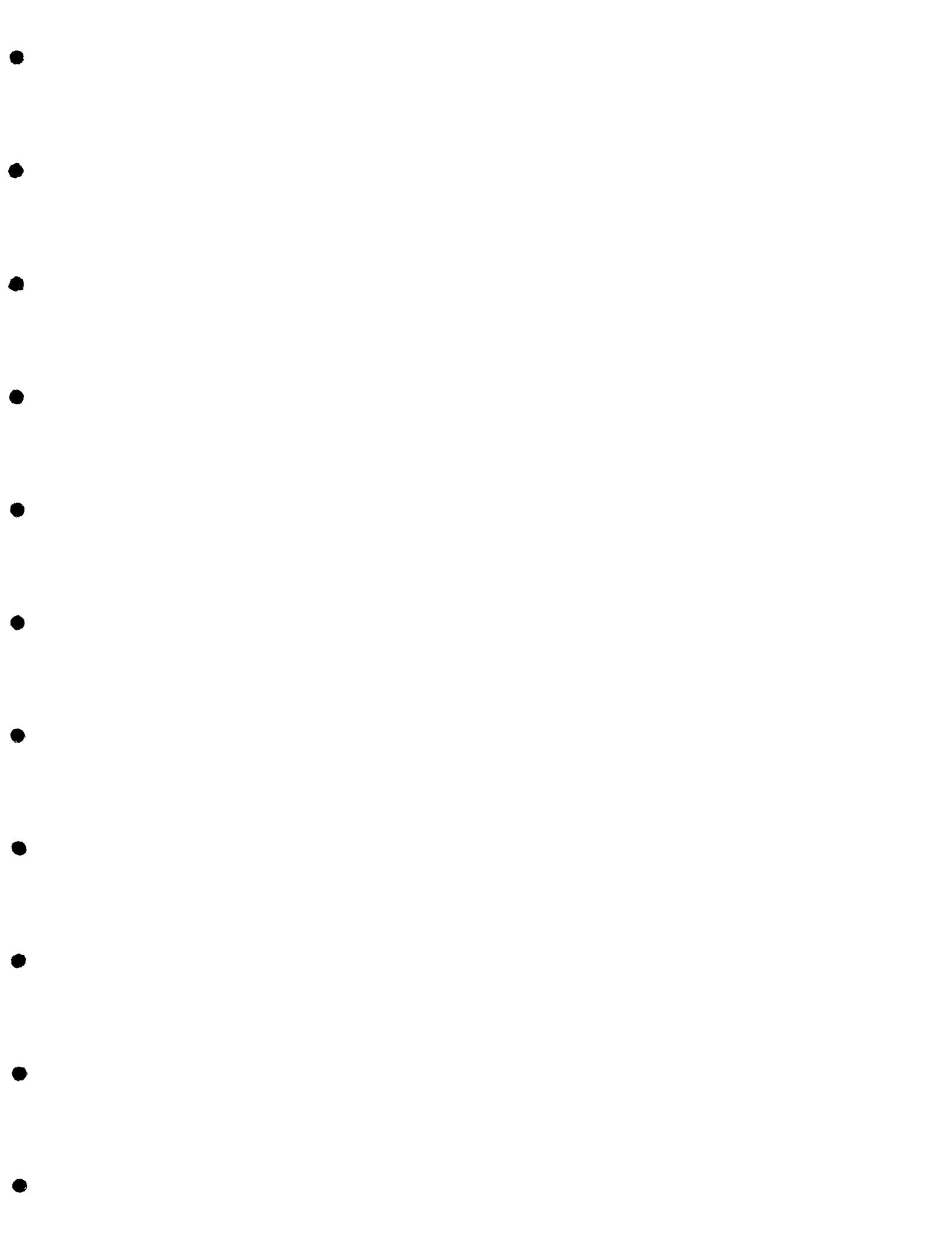


$$\left(\frac{dE}{dt} \right)_{\text{diff}} = \left(\frac{dE}{dt dA} \right)_{\text{in}} D \times D \theta' \underbrace{\frac{\lambda}{4\pi^2} \frac{1}{(\theta + \theta')}}_{\text{diffraction cross section.}}$$

INTENSITY ANALYSIS PREDICTS THE SAME
ANSWER AS FOR OFF CENTERED BEAMS
IN ORDER OF MAGNITUDE

- ASSUME $\frac{dE}{dt dA}$ UNIFORM ON RECEIVING PLANE

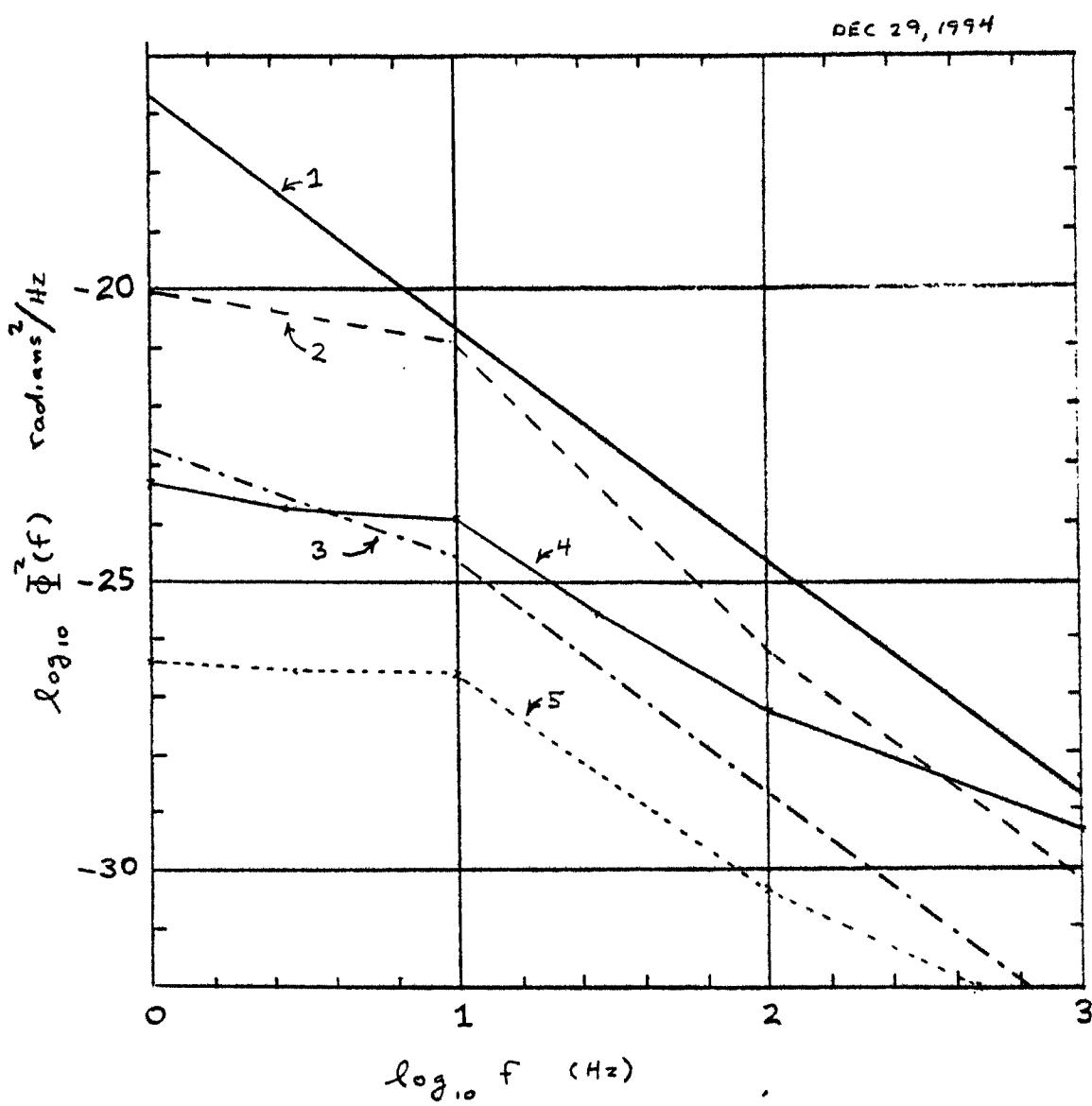
$$- \hat{\lambda}(f) \propto \frac{\lambda^{3/2}}{R^2 L}$$



RAD WEGS

Calculations of

Scattering Noise.



PHASE NOISE FROM BRO STRAY LIGHT ANALYSIS

- 1 $1/10$ (AMPLITUDE) SQL 10^6 gms 4 km $f_0 = 10$ Hz
- 2 ALL PATHS, CAVITY MIRROR RECOMBINATION
- 3 NO BACKSCATTER PATHS, CAVITY RECOMBINATION $f_0 = 10$ Hz
- 4 ALL PATHS, DETECTOR RECOMBINATION } $\frac{\sigma_{\text{SEUS}}}{\sigma_{\text{SUS}}} \sim 10^{-3}$
- 5 NO BACKSCATTER PATHS, DETECTOR RECOMB.

BAFFLE AND STARL SURFACE DIFFERENTIAL SCATTERING

$$\frac{dP_{\text{SCAT}}(\theta)}{d\Omega} = 1.25 \times 10^{-2} \cos \theta \text{ sr}^{-1}$$

THE PHASE NOISE ANALYSIS FROM BREAULT STRAY LIGHT ANALYSIS

R. Weiss December 29, 1994

The stray light analysis was carried out by Breault Research Organization (BRO) based on a simplified model of the LIGO beam tubes and baffles. The phase noise analysis, using the results of the BRO study, was done by the LIGO project.

BRO Analysis:

In broad outline the original analysis used:

1. A simplified geometry of the LIGO tubes and baffles with one optical cavity
2. The optical beam was placed near the tube center
3. Baffles had a uniform 12 meter spacing with a radial height of 6cm high in the shape of a 45 degree isosceles triangle. The baffles were serrated at the top edge with a depth and period of 3mm.
4. The closest baffle to a mirror was 100 meters.
5. The differential scattering of the tube and the baffles was approximated by a Lambertian distribution

$$\frac{dP_{\text{scat}}(\theta)}{d\Omega} / P_{\text{inc}} = 0.1 \cos(\theta) \text{ sr}^{-1}$$

6. The BRO diffraction model for baffles with serrated edges was used.
7. An effective reflectivity of the tube and baffle combinations was used in 10 discrete sections of the system.
8. The cavity mirror scattering (and subsequent recombination in the phase noise estimate) used a differential scattering

$$\frac{dP_{\text{scat}}(\theta)}{d\Omega} / P_{\text{inc}} = \frac{1 \times 10^{-6}}{\theta^2} \text{ sr}^{-1}$$

9. The stray light propagation used ASAP a "directed" Monte Carlo method to gain sensitivity (requires experience and forethought to get the correct normalization).
10. The stray light intensity and brightness was estimated in maps at the tube ends.
11. The path history was given for each entry in the map.

Number, location and type of encounter/entry

Backscatter, forward scatter or specular reflection

Phase noise power estimate

The program broscat1a.f was used, the introduction to the program is given below.

Program calculates the phase noise from the individual paths in the BRO scattering analysis. It calculates the maximum, average and minimum phase noise for each of the trajectories given in the BRO path history tables and also uses both recombination at the final mirror with mode filtering or recombination at a nonuniform photodetector. The estimate is made at 7 frequencies of the ground noise 1,3,10,30,100,300,1000 Hz. It uses the notation of the BRO layout.

The separate regions of the calculation are:

Region 1 = input mirror

Region 2 = 100 meters of wall at input mirror

Region 3 = Position of first baffle at 100 meters

Region 4 = 307 baffles and wall 100 to 1400 meters from input mirror

Region 5 = 307 baffles and wall 1400 to 2700 meters from input mirror

Region 6 = 307 baffles and wall 2700 to 4000 meters from input mirror

Region 7 = Position of baffle at 4000 meters

Region 8 = 100 meters of wall between 4000 to 4100 meters from input mirror

Region 9 = Output mirror

Region 10 = Reference plane inside the cavity at the input mirror

The amplitude spectral density of position noise on a tube wall or baffle is assumed to be isotropic and given by

$$x(f) = 10^{-7} \quad 1 \leq f \leq 10 \text{ Hz cm/sqrt(Hz)}$$
$$= 10^{-5} / f^2 \quad f \geq 10 \text{ Hz}$$

The amplitude of the angular fluctuation noise at the walls is

$$\mu(f) = 6 \times 10^{-9}/f \quad f \geq 10 \text{ Hz radians/sqrt(Hz)}$$

The angular fluctuations include a Q of ten for the undamped tube modes. The spectrum is also used illegitimately for $f \leq 10 \text{ Hz}$

The phase noise is summed in power with three different cases

Case 1 Forward scattering:

$$\phi^2(f,\theta) = (4\pi\theta x(f)/\lambda)^2$$

Case 2 Back scattering:

$$\phi^2(f,\theta) = (4\pi x(f)/\lambda)^2$$

Case 3 Specular reflection:

$$\phi^2(f,\theta,a) = (4\pi\theta(b-a)\mu(f)/\lambda)^2$$

where a is the distance of the specular reflection center from one end of the tube and b is the distance between the reference plane and the origin of the ray to be specularly reflected.

New information after the initial estimation

The backscattering of the candidate steel for the beam tubes at 45 degrees incidence is smaller than that assumed in the BRO model by about a factor of 8. (This is about to be altered again based on the December 1994 measurements on the qualification test beam tube)

The spatial uniformity of the photodiode sensitivity, initially assumed to have an rms of 0.1 was measured to have an rms of 0.001. This makes photodetector recombination a less important process.

Vinet discovered an error in the calculations we had made in the estimate of the scattered field in the cavity. He asserted, and we agree, that the cavity field build up of the scattered field must be included in estimating the phase noise. This has increased the phase noise by cavity mirror recombination.

The original phase noise power estimates included only the phase noise contribution from one mirror, these have to be increased by a factor of 4 to account for the four cavity mirrors.

The enclosed figure shows the most recent version of the scattered phase noise budget including the above corrections.

The recombination probabilities are:

For an unapodized beam and a non-uniform photodetector, case 1:

$$\text{Prec}(\theta) = ((1-\eta)^2/2\theta) * \sqrt{\lambda/L}$$

For an apodized beam (mode filtered), case 2:

$$\text{Prec}(\theta) = (2\alpha/\theta^2) * (\lambda/L)$$

The calculation is done by summing the phase contribution from each step in the BRO history of a single path. The BRO normalized intensity for a specific path is the fractional power scattered to the reference plane already integrated over the specified area at the reference plane and over the solid angle subtended at the reference plane of all rays associated with that particular path.

The specified area should really depend on the recombination process, I will use the waist area for both apodized and unapodized estimates.

The calculation consists of

sum phase noise^{**2} = sum(over all paths in list)* BRO(intensity) * Prec(theta last contact with tube before reference plane)*sum(phase noise^{**2}) of BRO described path

This is done for the 7 frequencies and for three cases the max, average and minimum determined from the minimum, average and maximum angle associated with rays coming from the BRO regions.

Initial results

Baffle backscattering dominates the phase noise budget

Estimated phase noise for cavity recombination lies below 1/10 (amplitude) standard quantum limit associated with a 1 ton mass. The cavity storage time is adjusted to be $\tau = \frac{1}{4\pi f}$ to convert to a phase noise.

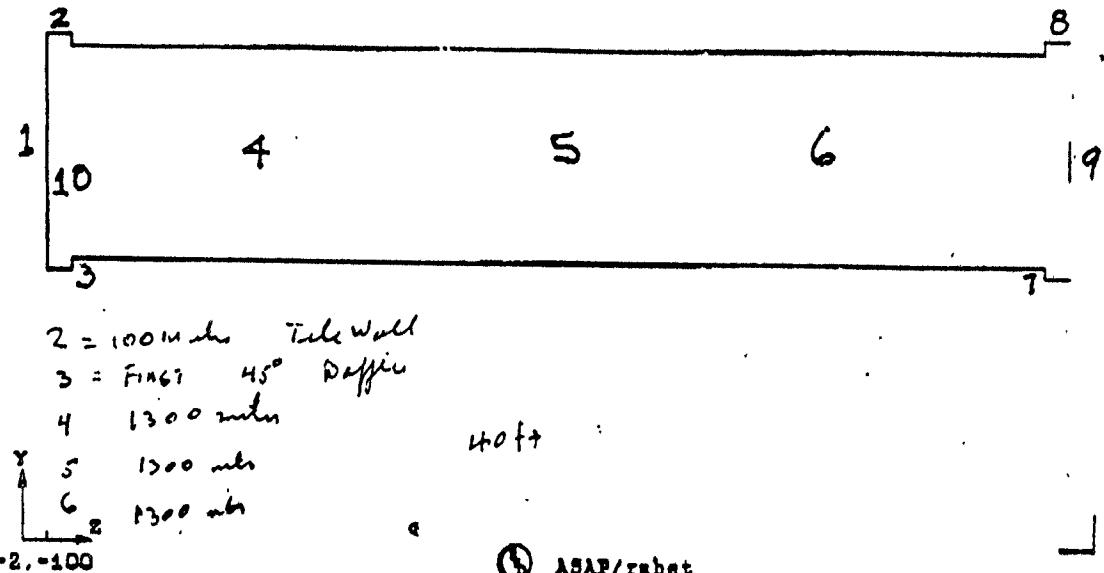
Iterations of the numerical analysis

- a) The tube and baffle surface roughness was varied $\sigma = 2.5, 5.0, 7.5 \mu$
- b) The beam was moved 30cm from the tube center.
- c) The first baffle was placed at 10 and 30 meters from the nearest mirror.
- d) An unprejudiced Monte Carlo analysis was run in the 100 meters nearest the mirror.

Changes in the phase noise power estimates were less than a factor 4 for any of these iterations. The changes can be understood by analytic methods.

Effector
BNDL
map

effective BNDL of the
section



The basic configuration analyzed in this report is a single 4 kilometer arm with all mirrors on axis and no mid-station mirrors. A plot of the computer model of this configuration is shown above with the vertical direction magnified 100 times in order to show more detail. Each distinct surface in the model is called an "object" and is given a unique number and name as listed in the following table:

OBJECT numbers/names:

1=FIRST MIRROR
 4=FIRST BAFFLE SET
 7=END BAFFLE
 10=REFERENCE

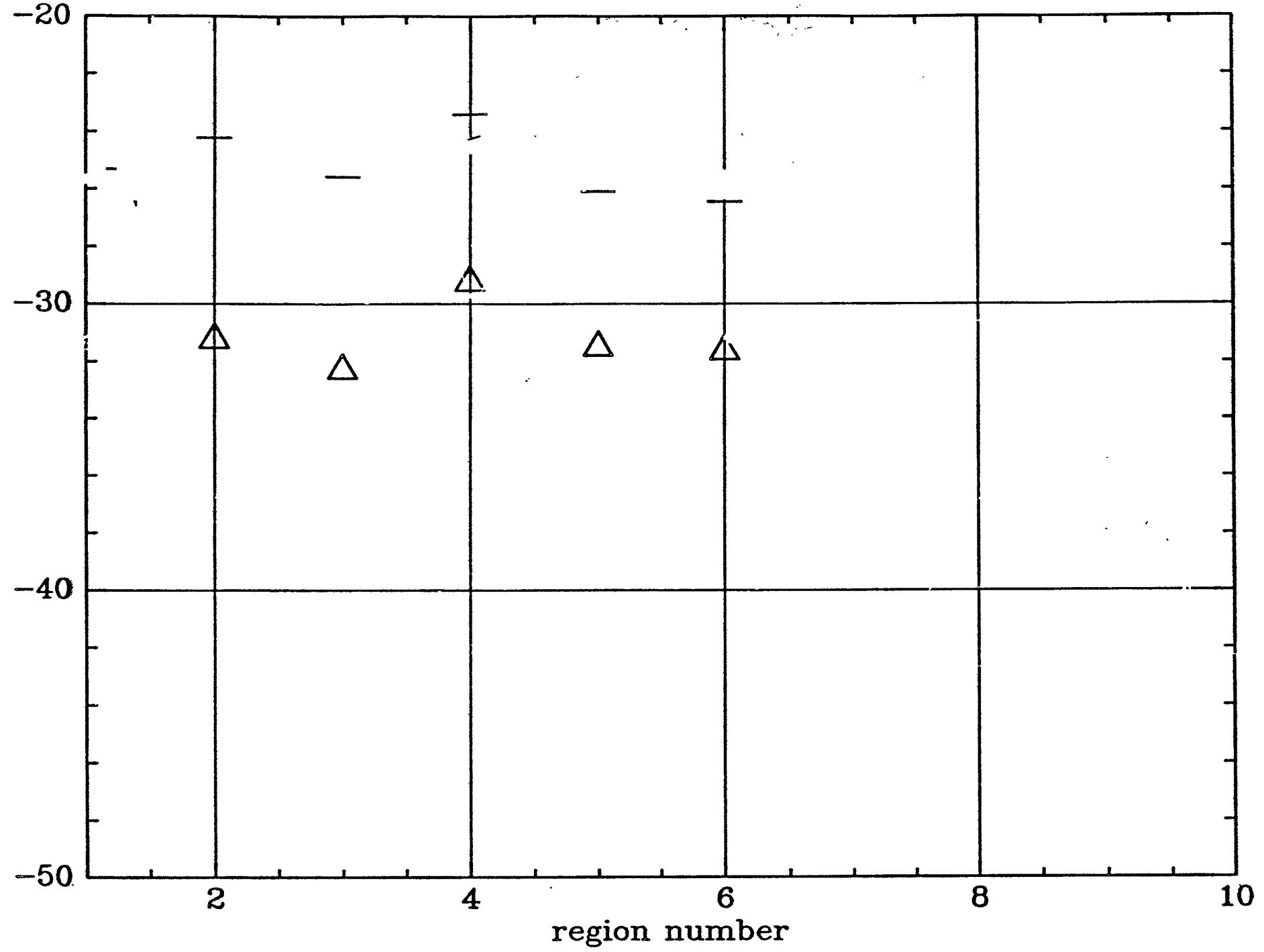
2=FIRST TUBE WALL
 5=CENTER BAFFLE SET
 8-END TUBE WALL

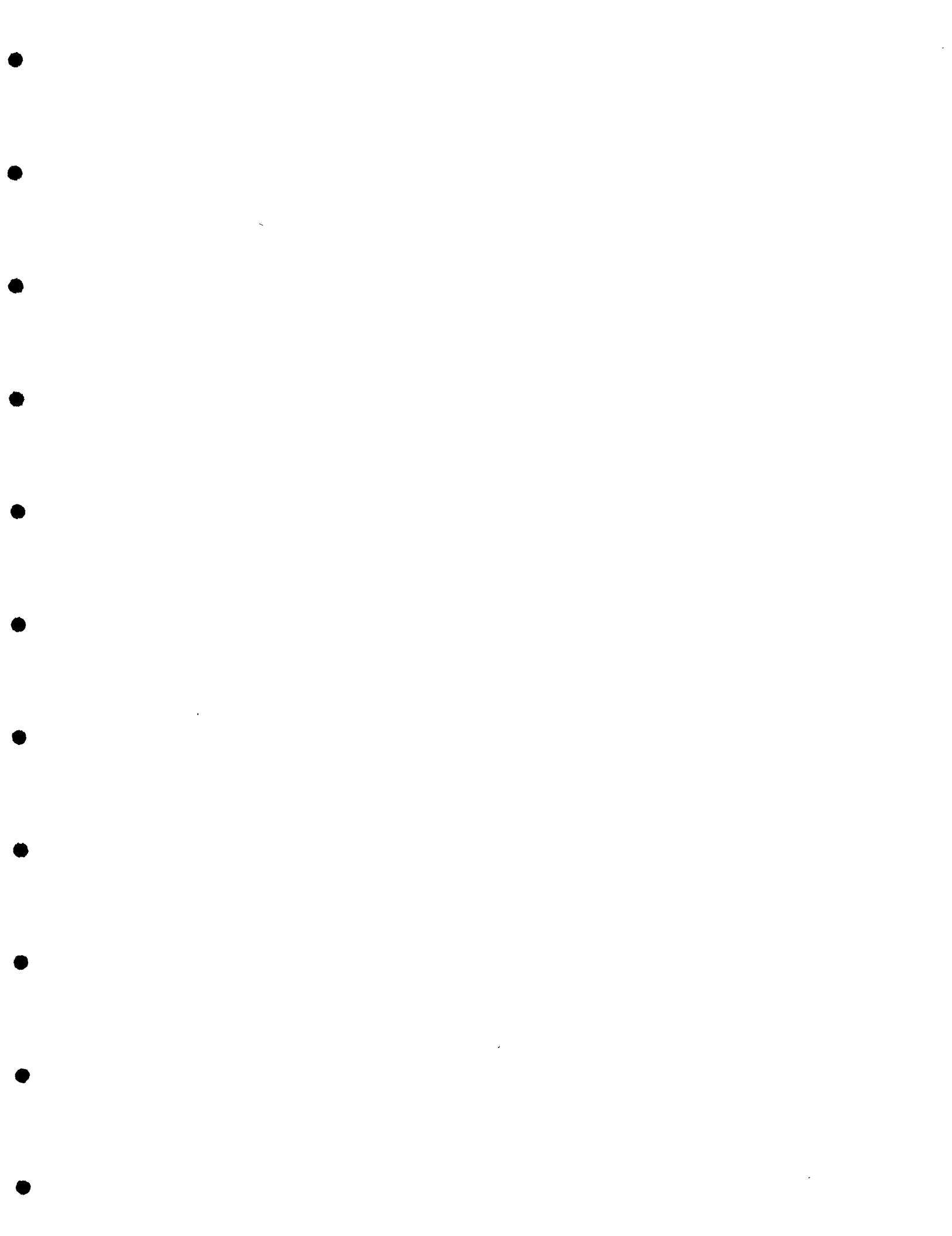
3-FIRST BAFFLE
 6-END BAFFLE SET
 9-END MIRROR

The baffled tube was divided into five sections, separate 100 meter sections at each end and three 1300 meter sections in between. Only the first and last baffles nearest the mirrors were modelled directly. The effects of the other 300 or so baffles were simulated by an effective "scattering" from these 3 objects. None of the mirror chambers are shown since early on they were found to be insignificant.

phase noise by region of last scat. dash=unapod. triang... apod.

bro2a.dat : Mon Apr 6 09:23:06 .92

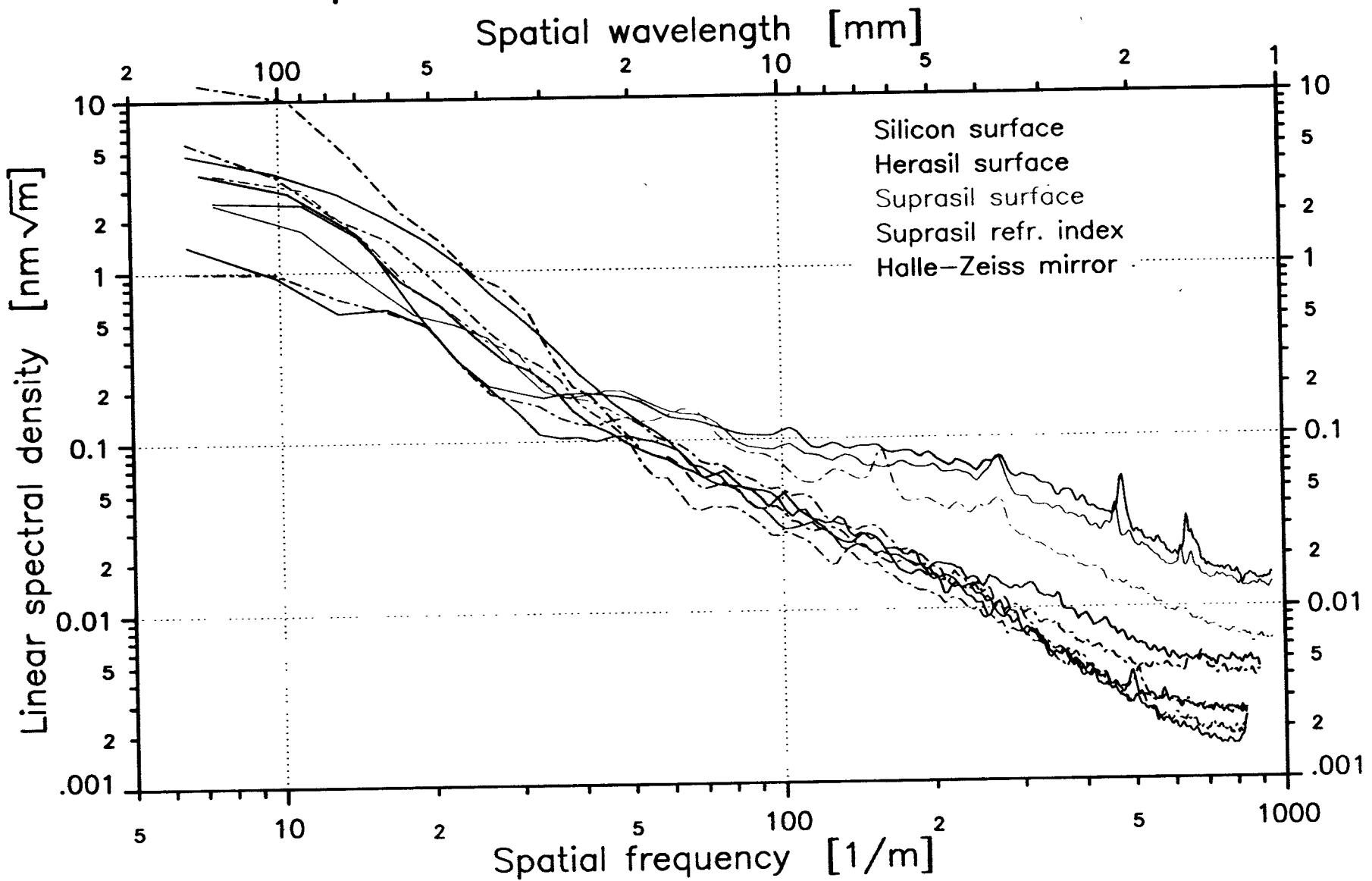




Mirror Properties

Walter Winkler

Spectrum of optical path variations



Angular distribution of scatter

The **scattered field** ψ_{scat} (w/o the 00-mode) can be calculated as

$$\psi_{\text{scat}} = \psi_d - a_{00}\psi_{00}.$$

Conversion to **angular space** by 2-dim Fourier transformation:

$$\psi^a(\vartheta_x, \vartheta_y) = \text{FT}(\psi_{\text{scat}}(x, y)).$$

The **scattering function** $f(\vartheta)$ is given by averaging over the azimuthal dependence of ψ^a :

$$f(\vartheta) = \frac{1}{2\pi} \int_0^{2\pi} \psi^a(\vartheta, \varphi) d\varphi.$$

Relation between scattering angle ϑ and spatial wavelength Λ , depending on the light wavelength λ , is given by $\vartheta = \lambda/\Lambda$.

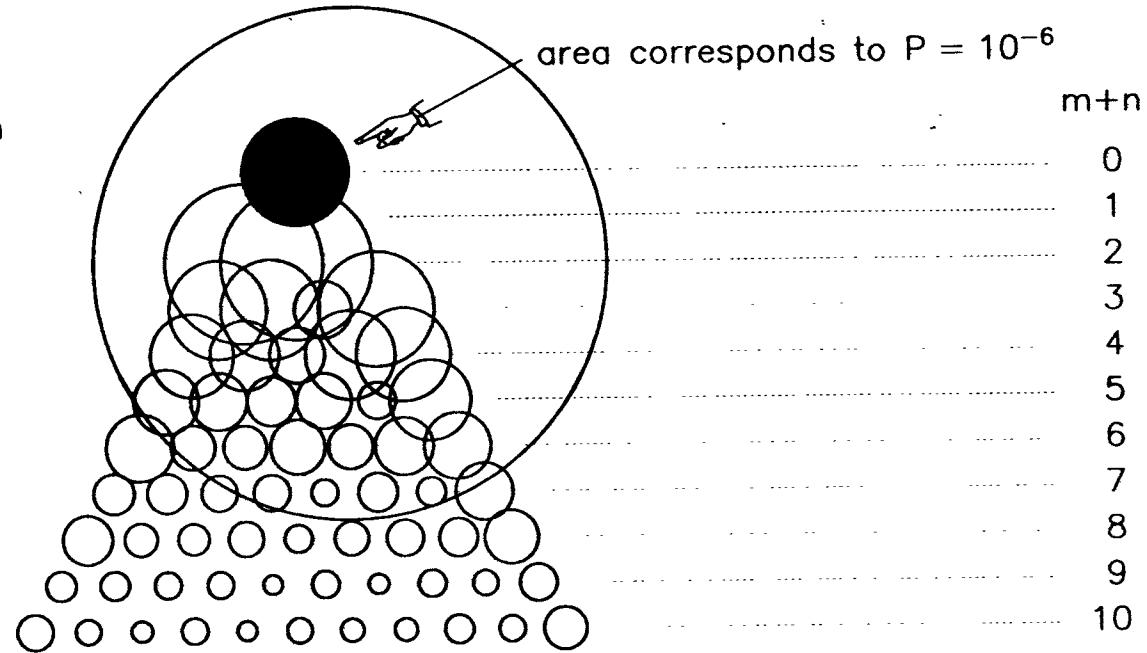
$$\implies \text{Minimum angle } \vartheta_{\min} = \frac{\lambda}{D} \approx 5 \mu\text{rad},$$

$$\text{maximum angle } \vartheta_{\max} = \vartheta_{\min} \frac{N}{2} \approx 1 \text{ mrad},$$

with D = diameter of the component and N = number of data points in one dimension.

Suprasil refr. index

beam radius: $w = 10.0 \text{ mm}$
wavelength: $\lambda = 1064 \text{ nm}$
total scattering loss:
 $1 - P_{0,0} = 7.03 \cdot 10^{-5}$



Suprasil substrate (refr. index)

beam radius: $w=10\text{mm}$

wavelength: $\lambda=1064\text{nm}$

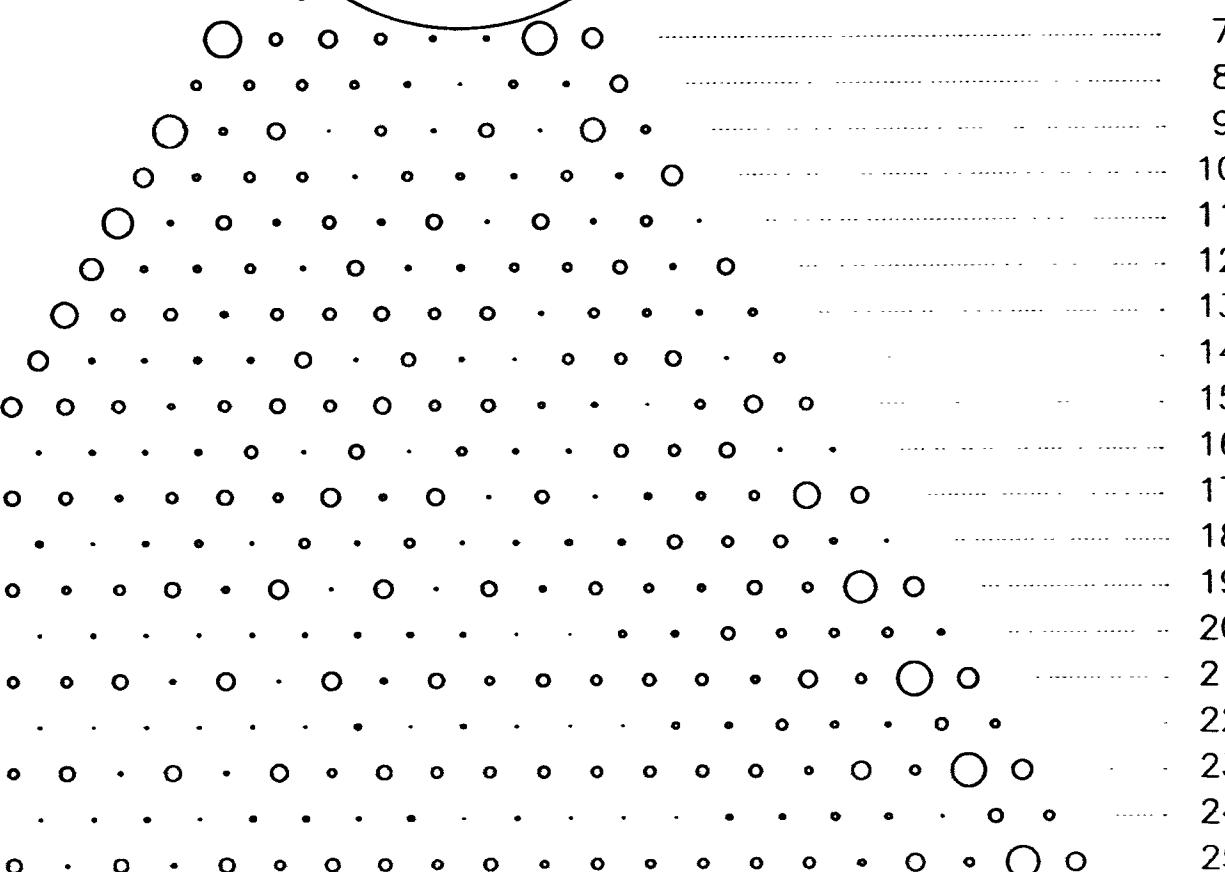
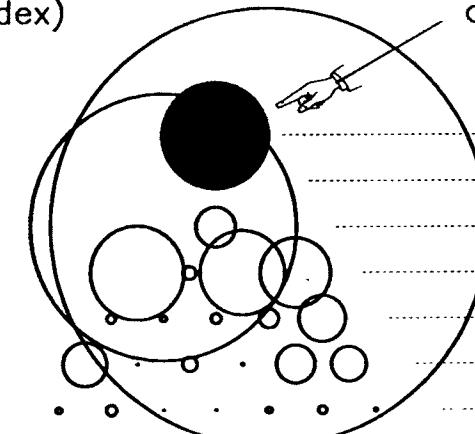
total scattering loss:

$$1-P_{0,0}=3.53 \cdot 10^{-5}$$

area corresponds to $P=10^{-6}$

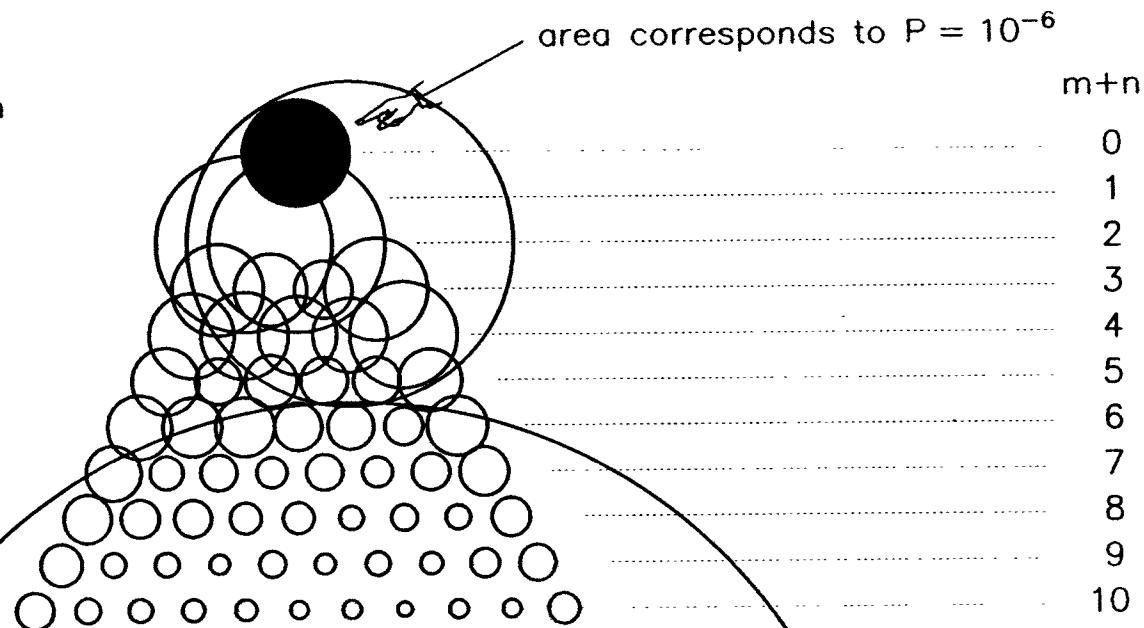
$m+n$

0
1
2
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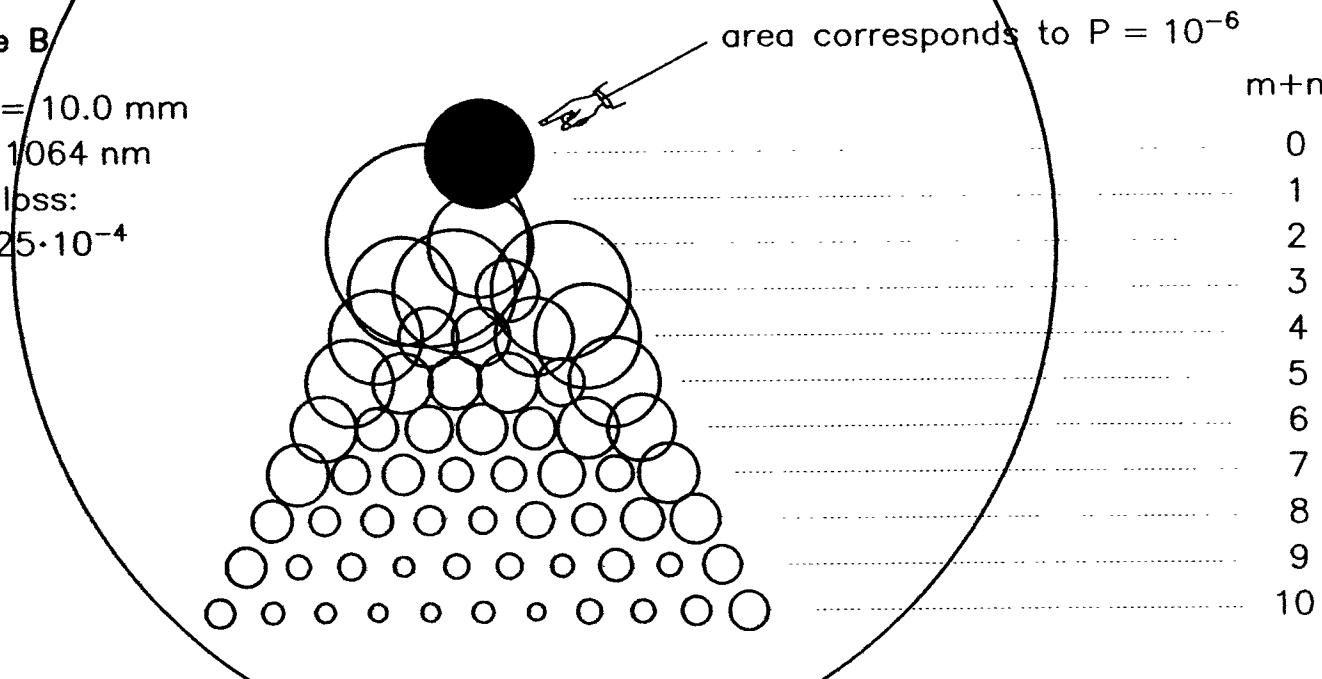
Suprasil surface A

beam radius: $w = 10.0 \text{ mm}$
wavelength: $\lambda = 1064 \text{ nm}$
total scattering loss:
 $1 - P_{0,0} = 4.72 \cdot 10^{-5}$



Suprasil surface B

beam radius: $w = 10.0 \text{ mm}$
wavelength: $\lambda = 1064 \text{ nm}$
total scattering loss:
 $1 - P_{0,0} = 1.25 \cdot 10^{-4}$



Herasil surface ($R=3\text{km}$)

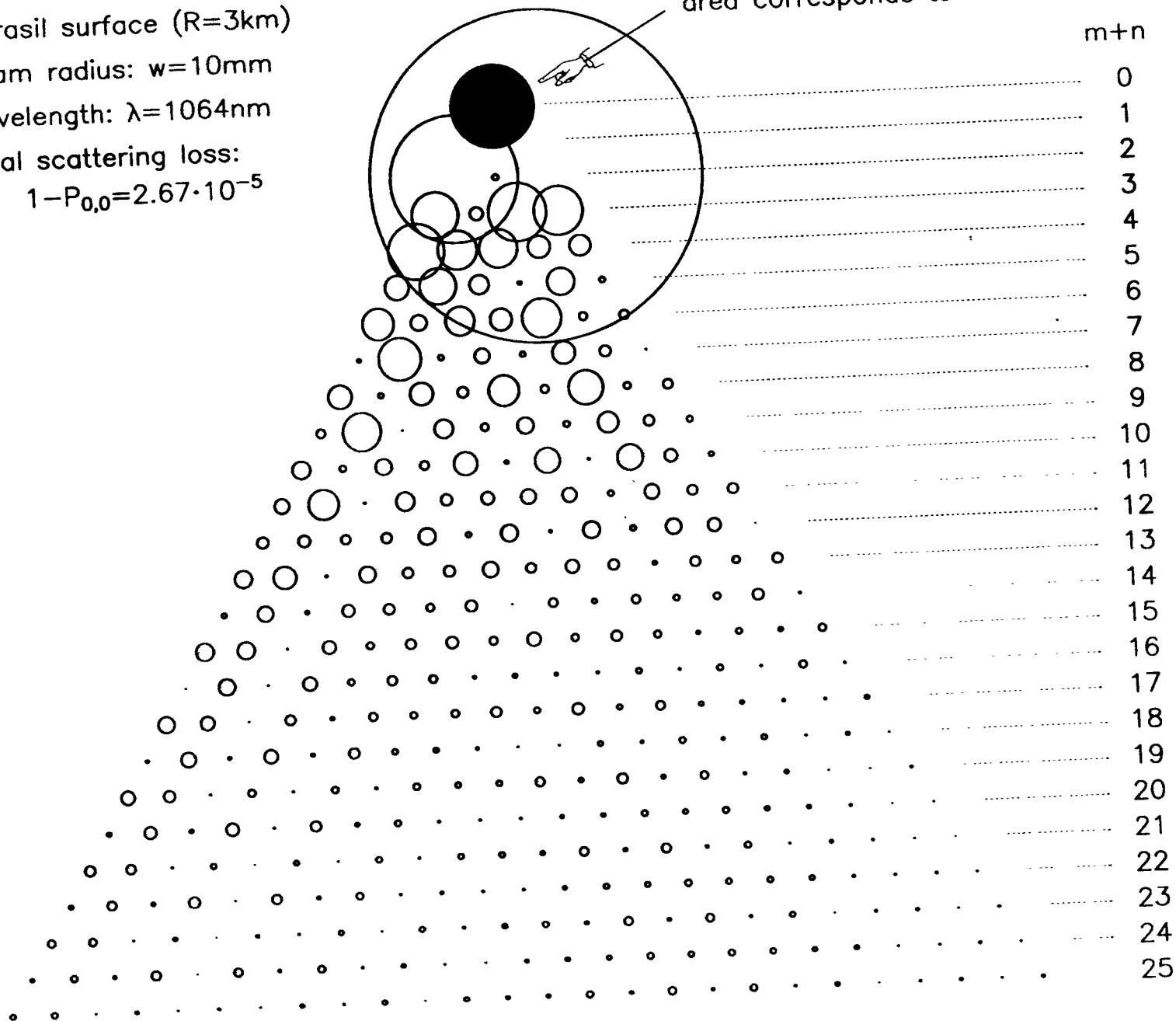
beam radius: $w=10\text{mm}$

wavelength: $\lambda=1064\text{nm}$

total scattering loss:

$$1-P_{0,0}=2.67 \cdot 10^{-5}$$

area corresponds to $P=10^{-6}$



Herasil surface ($R=3\text{km}$)

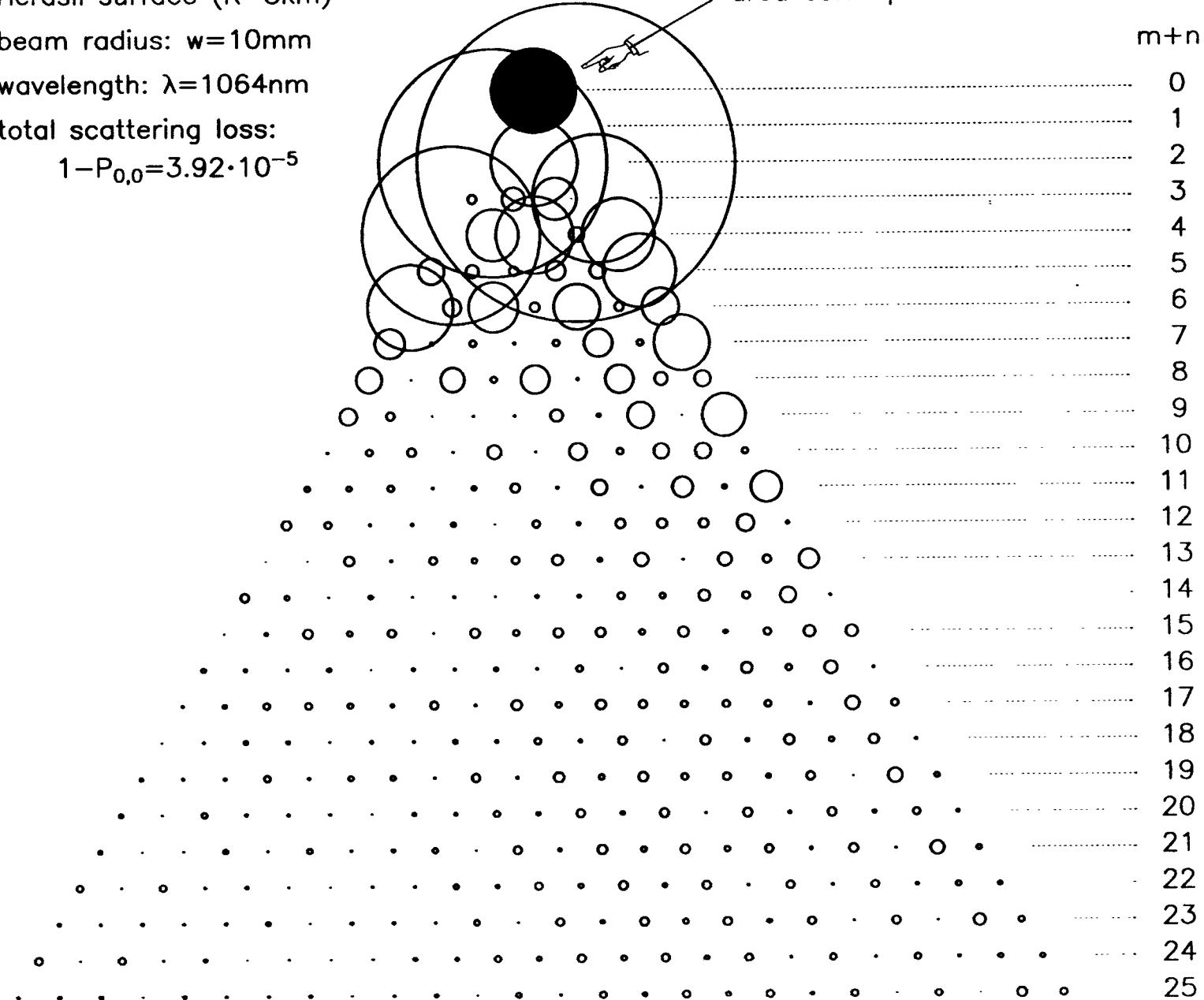
beam radius: $w=10\text{mm}$

wavelength: $\lambda=1064\text{nm}$

total scattering loss:

$$1-P_{0,0}=3.92 \cdot 10^{-5}$$

area corresponds to $P=10^{-6}$



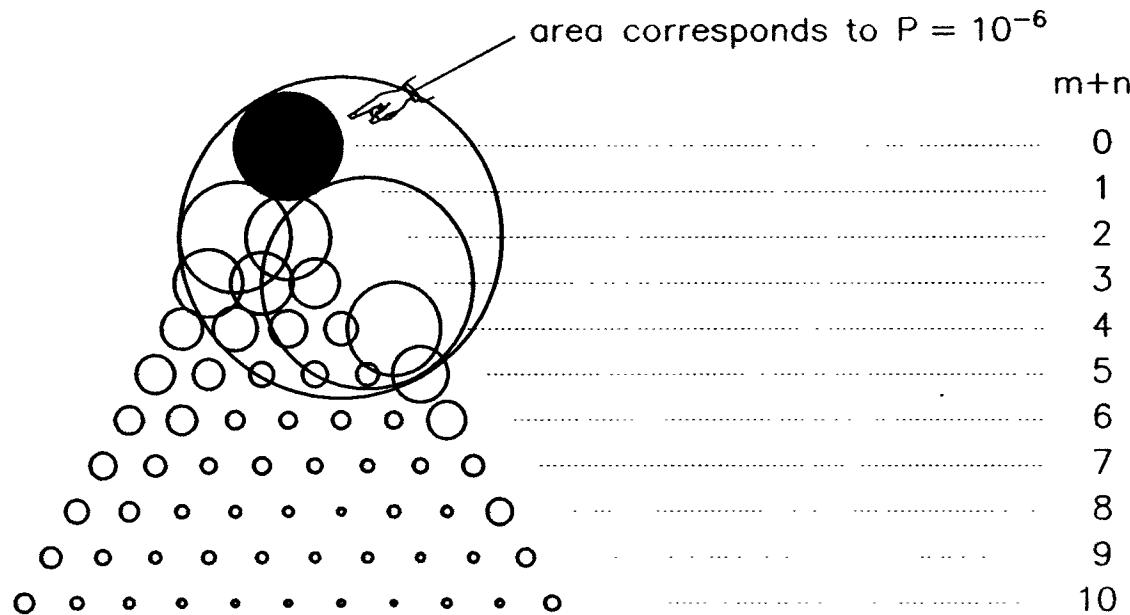
Silicon sample 1i

beam radius: $w = 10.0 \text{ mm}$

wavelength: $\lambda = 1064 \text{ nm}$

total scattering loss:

$$1 - P_{0,0} = 1.99 \cdot 10^{-5}$$



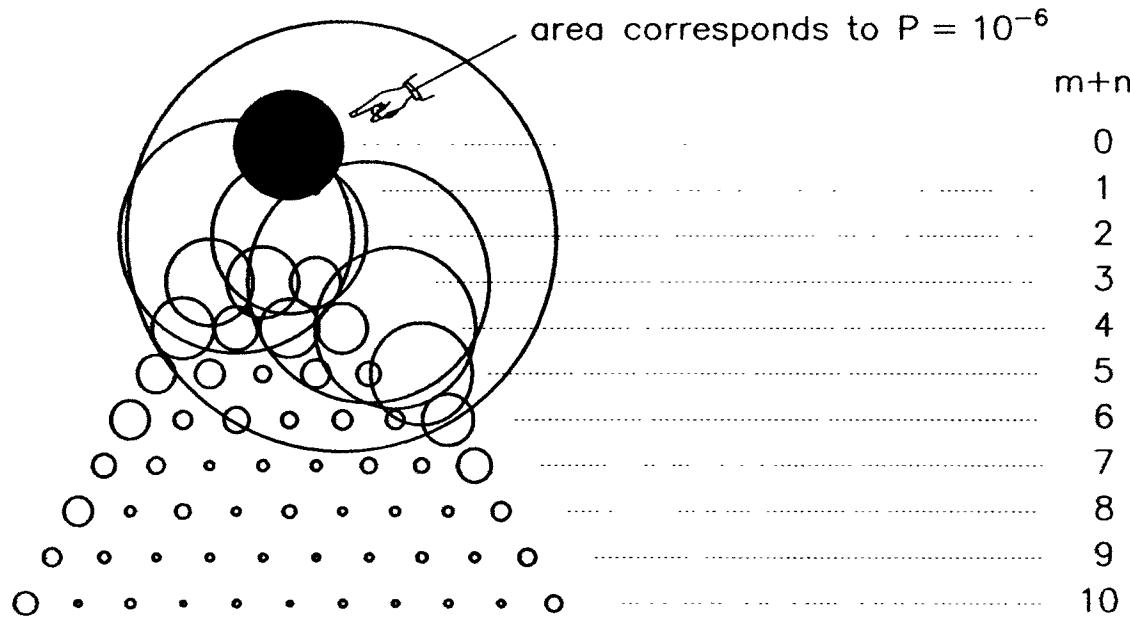
Silicon sample 2i

beam radius: $w = 10.0 \text{ mm}$

wavelength: $\lambda = 1064 \text{ nm}$

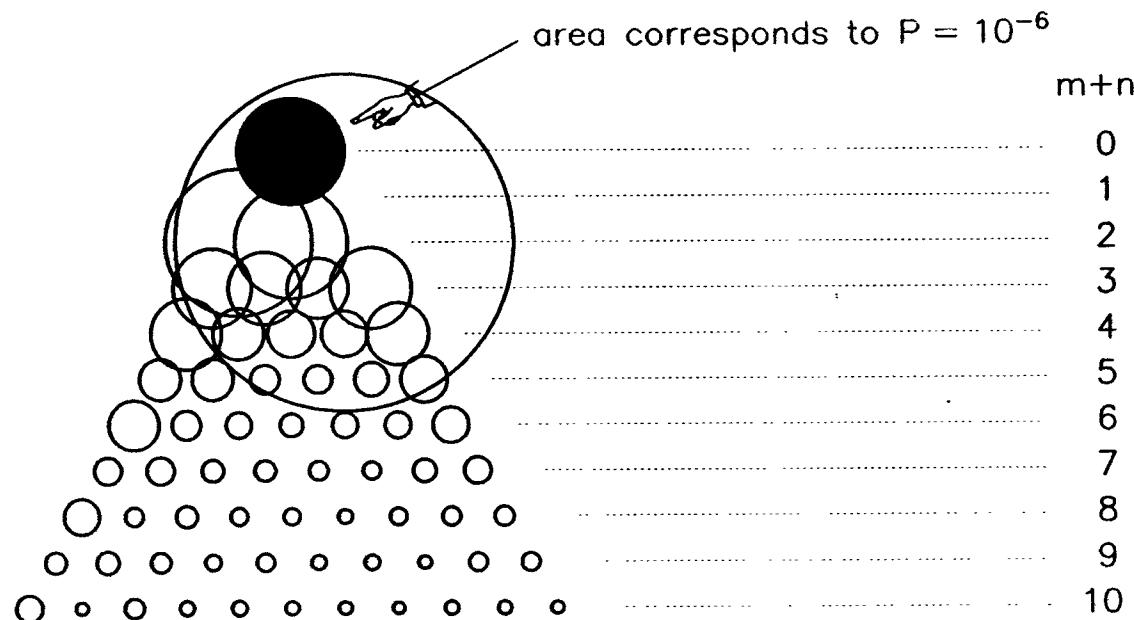
total scattering loss:

$$1 - P_{0,0} = 3.62 \cdot 10^{-5}$$



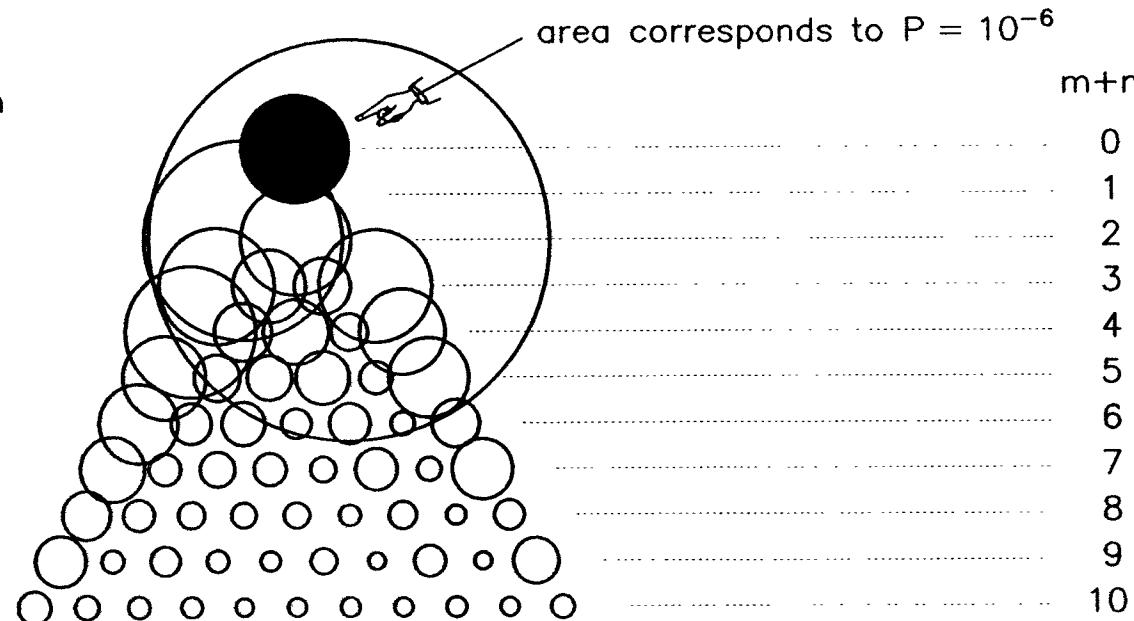
Herasil sample 1

beam radius: $w = 10.0 \text{ mm}$
wavelength: $\lambda = 1064 \text{ nm}$
total scattering loss:
 $1 - P_{0,0} = 2.14 \cdot 10^{-5}$



Herasil sample 2

beam radius: $w = 10.0 \text{ mm}$
wavelength: $\lambda = 1064 \text{ nm}$
total scattering loss:
 $1 - P_{0,0} = 3.58 \cdot 10^{-5}$



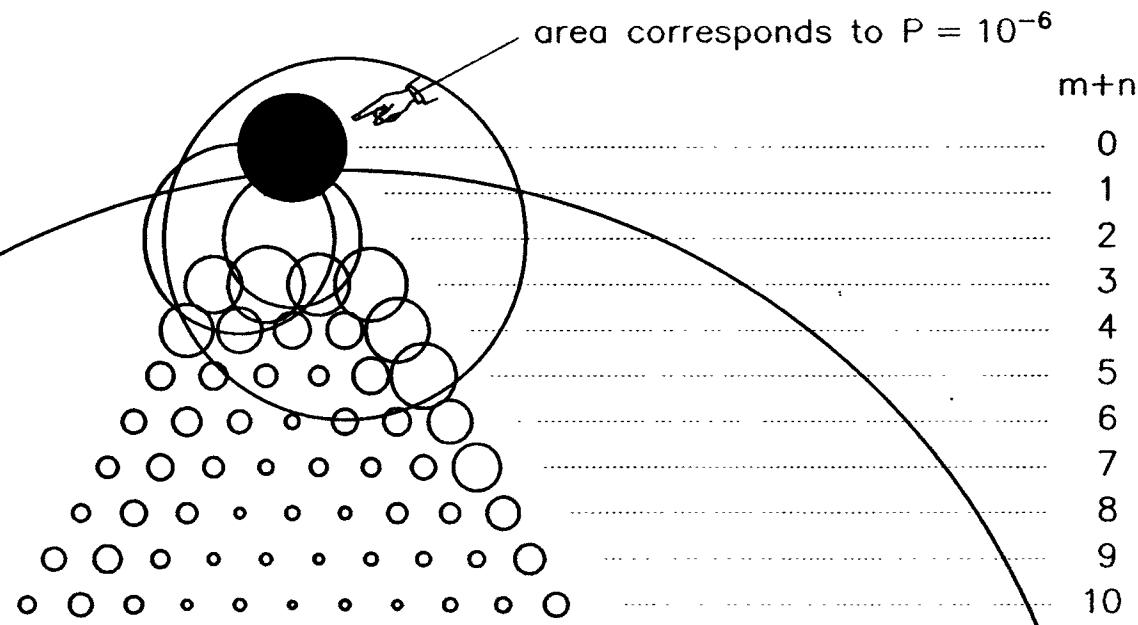
HZ #5 (uncoated)

beam radius: $w = 10.0 \text{ mm}$

wavelength: $\lambda = 1064 \text{ nm}$

total scattering loss:

$$1 - P_{0,0} = 2.41 \cdot 10^{-5}$$



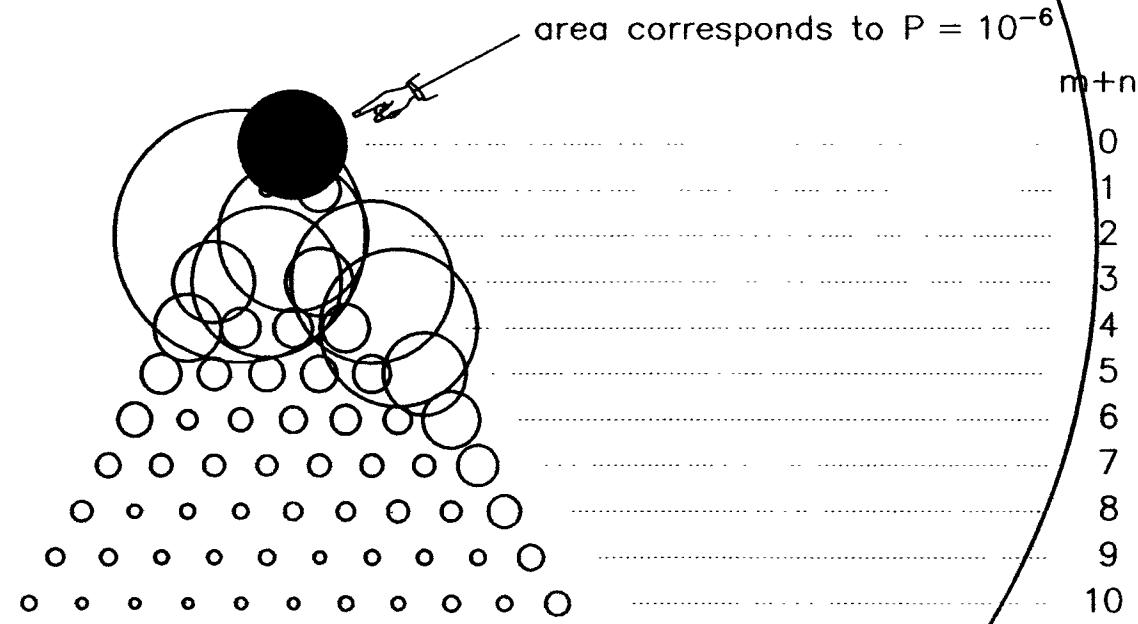
HZ #5 (coated)

beam radius: $w = 10.0 \text{ mm}$

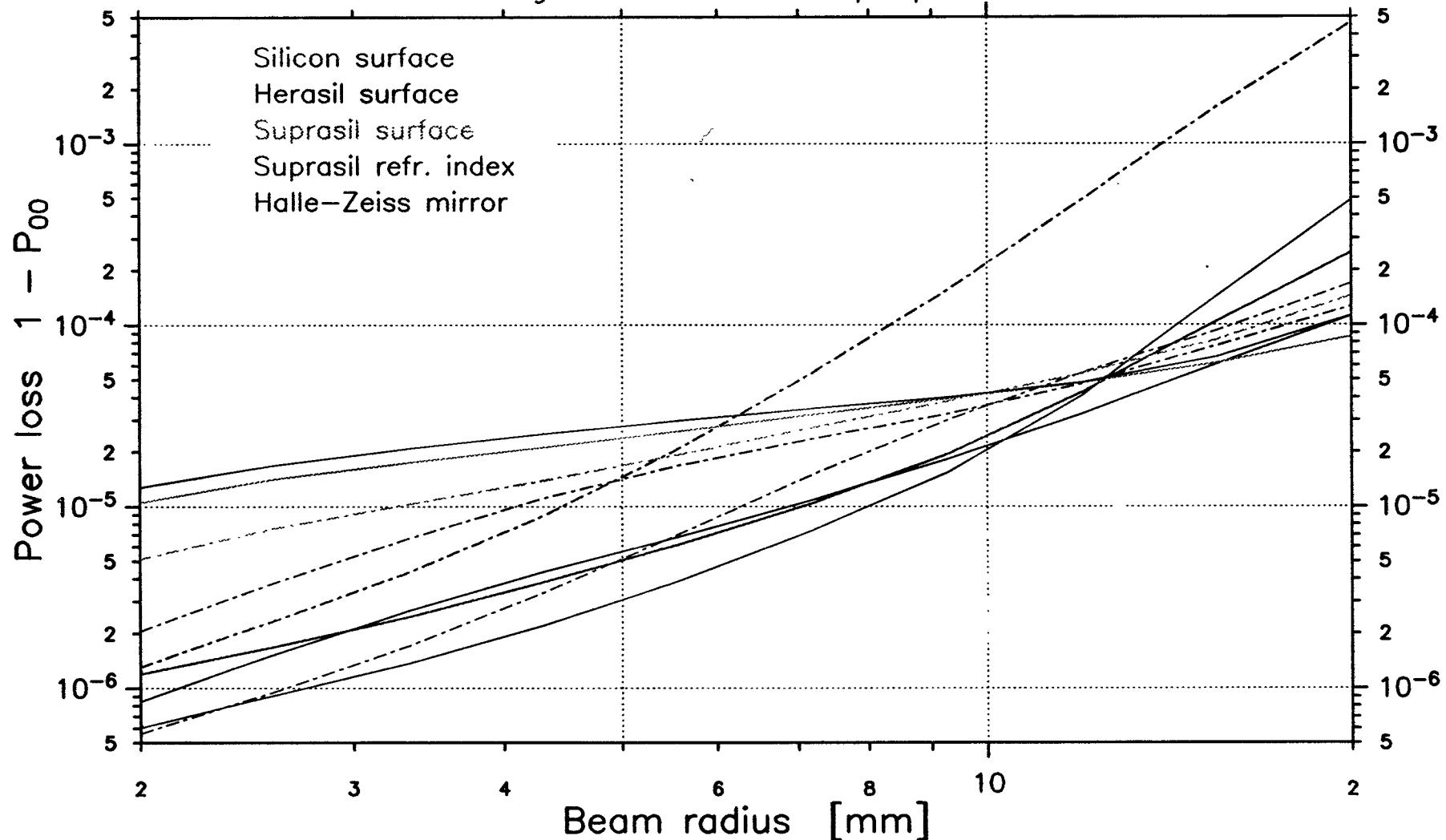
wavelength: $\lambda = 1064 \text{ nm}$

total scattering loss:

$$1 - P_{0,0} = 2.17 \cdot 10^{-4}$$



Total scattering loss averaged over 20 different spot positions



Power loss due to scattering

The **distorted light field** ψ_d can be described as

$$\psi_d(x, y) = d(x, y) \cdot \psi_{00}(x, y)$$

(incident beam assumed to be pure 00-mode).

The amplitude a_{00} contained in ψ_d is given by

$$a_{00} = \iint_{-\infty}^{+\infty} \psi_d \psi_{00} dx dy .$$

It depends on the beam radius w of the incident beam.

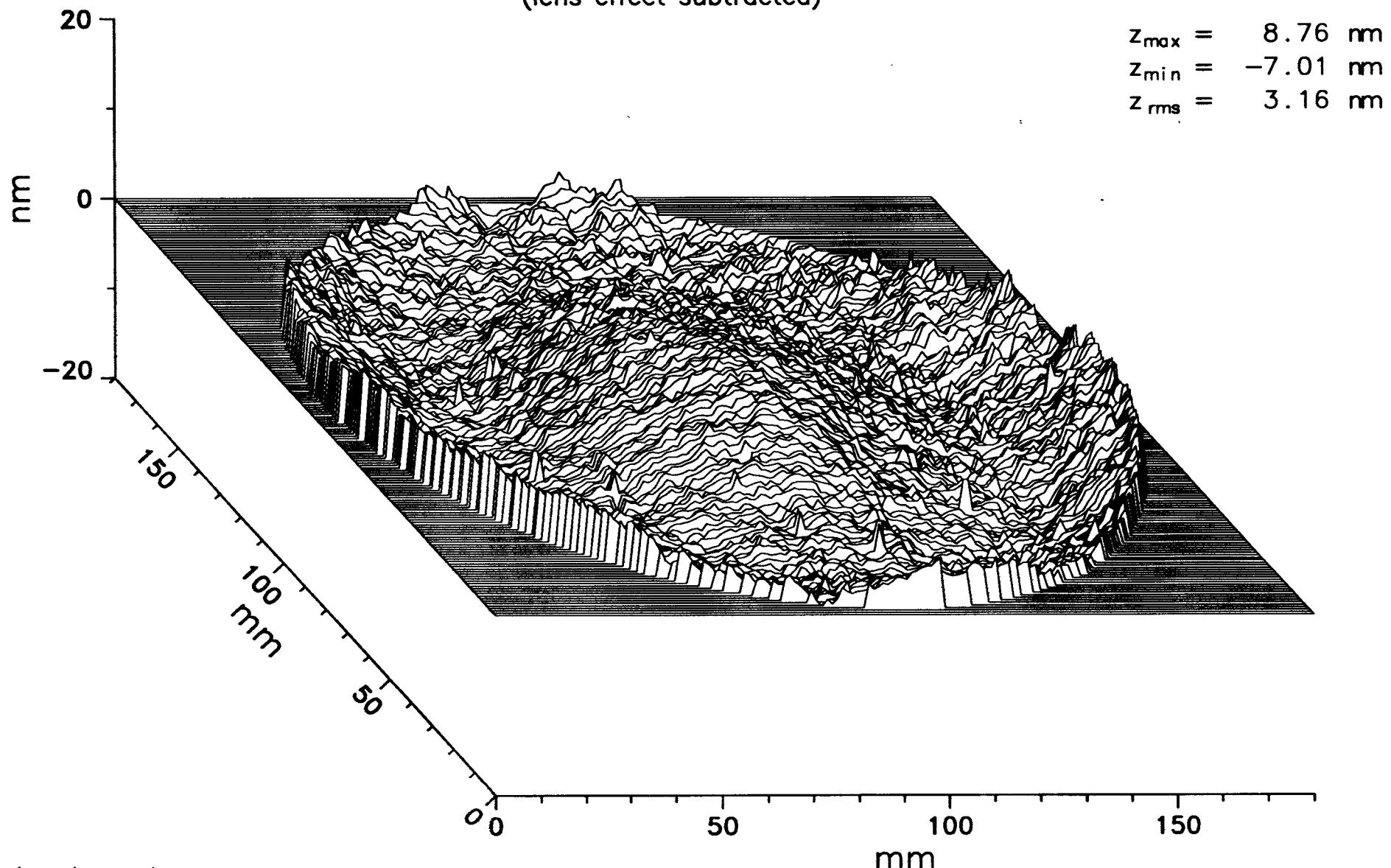
The power P_{scat} lost from the fundamental mode is

$$P_{\text{scat}} = 1 - a_{00}^2 .$$

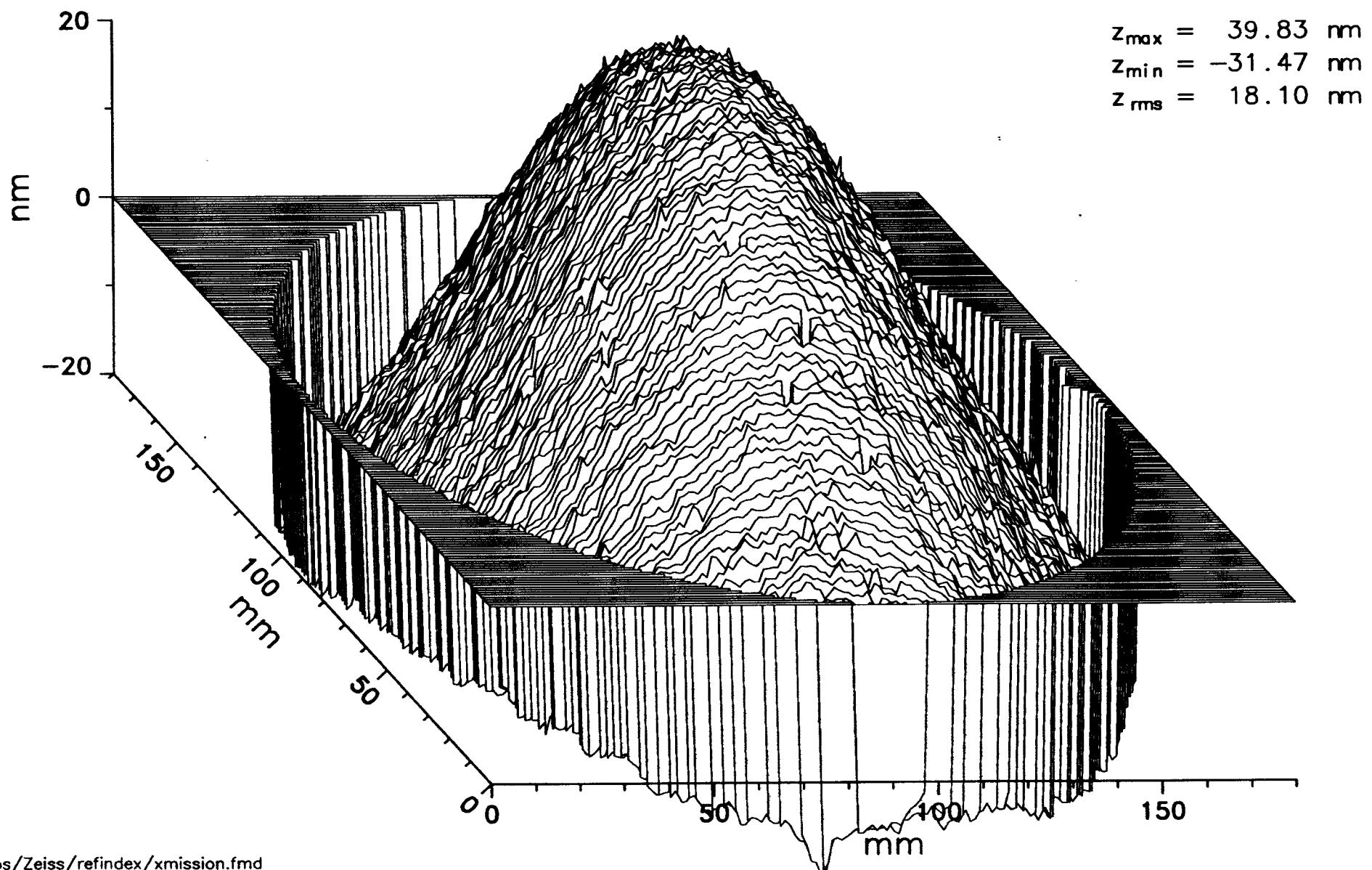
Conversion into **higher order modes**:

$$a_{mn} = \iint_{-\infty}^{+\infty} \psi_d \psi_{mn} dx dy .$$

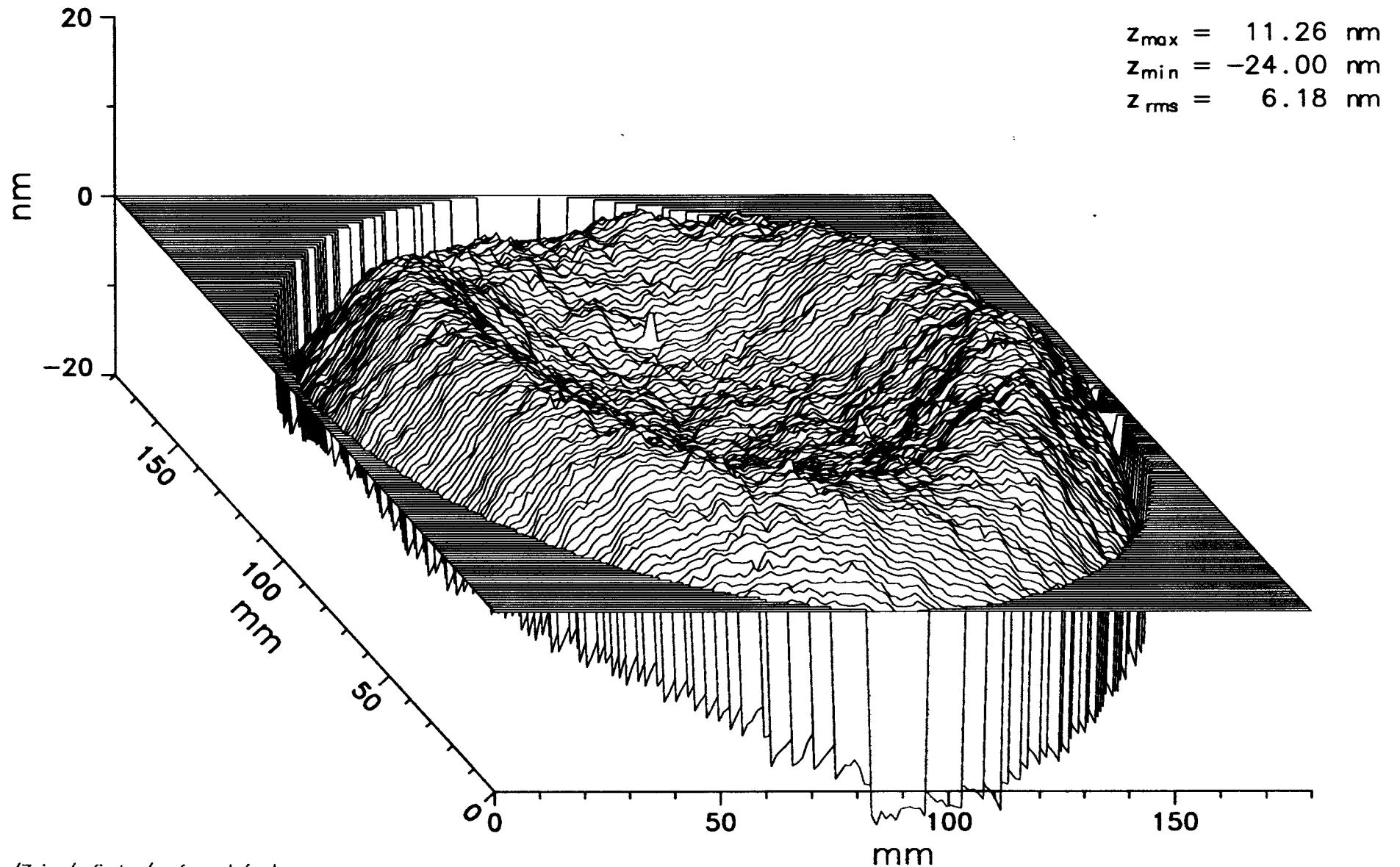
Suprasil substrate: refractive index variations
(lens effect subtracted)



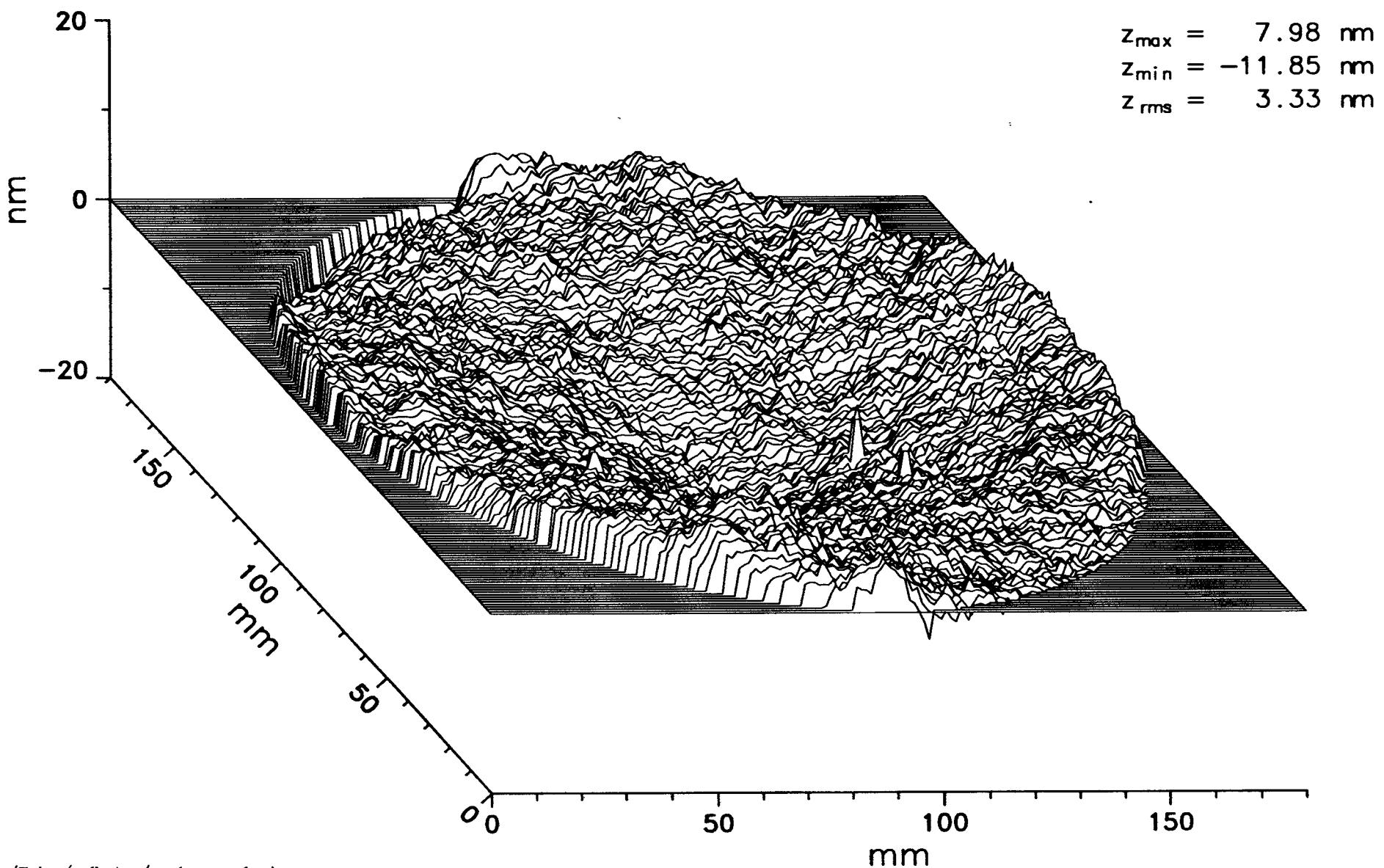
Suprasil substrate: refractive index variations



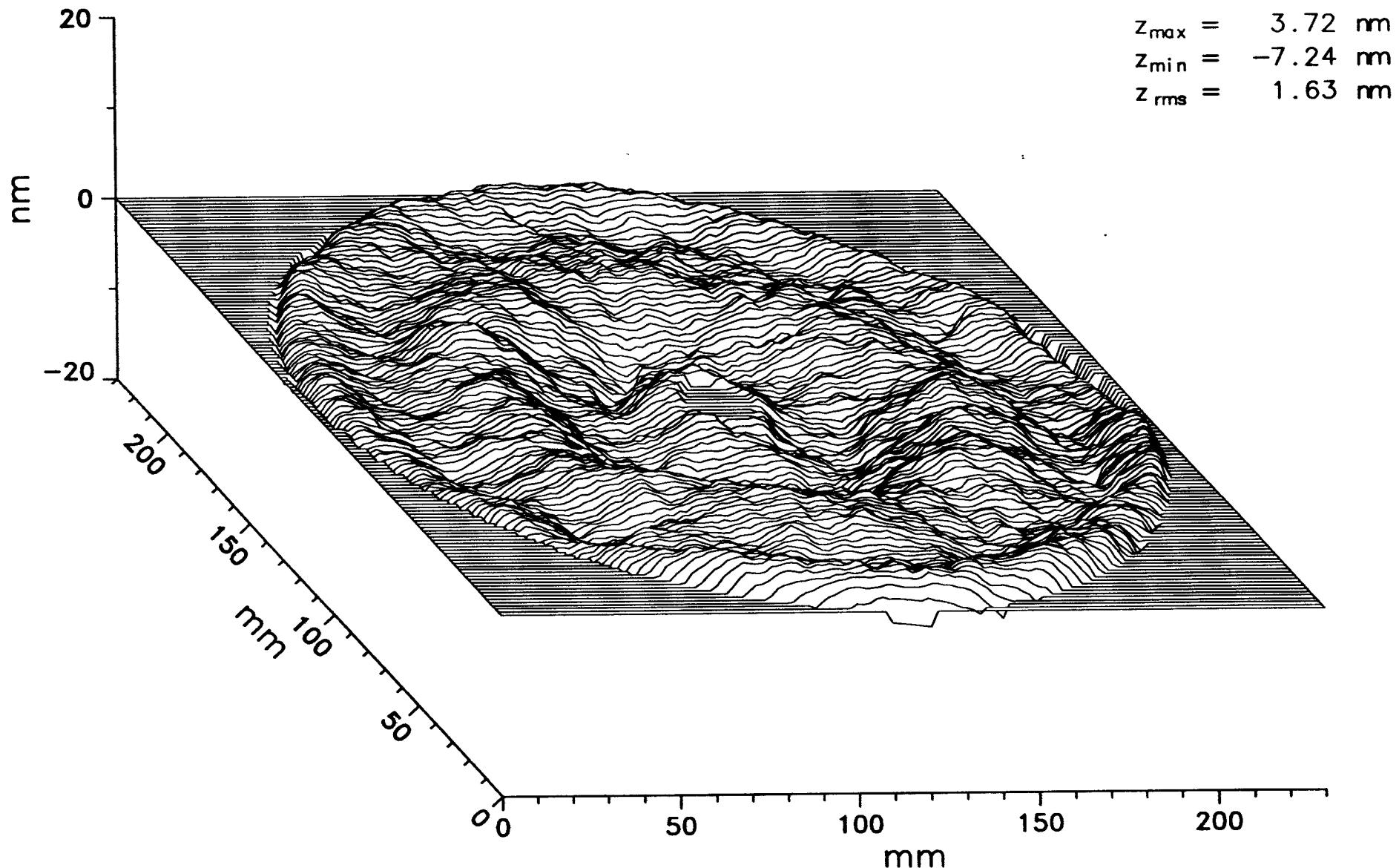
Suprasil substrate: surface B



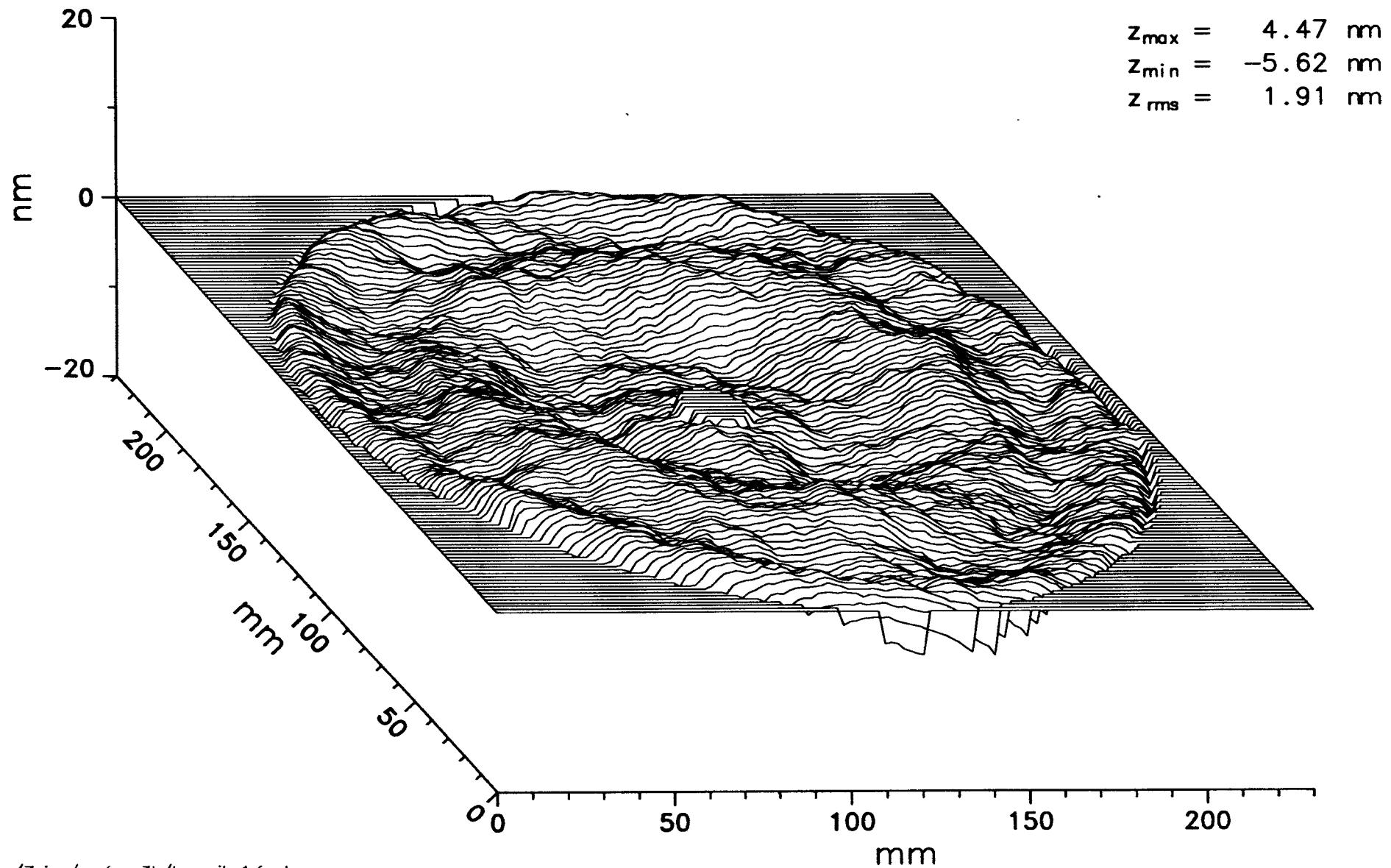
Suprasil substrate: surface A



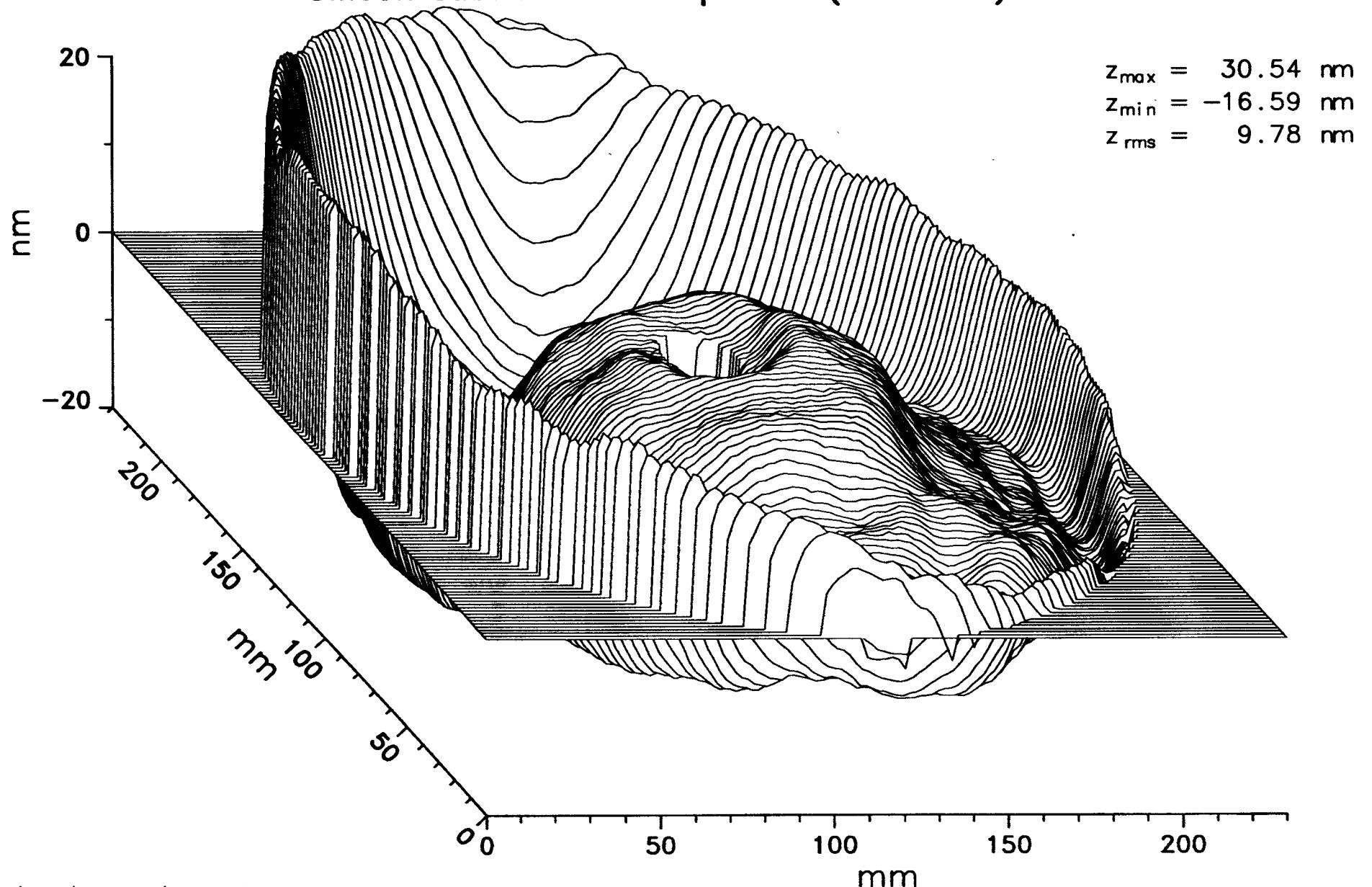
Herasil substrate: sample 2 ($R = 3\text{km}$)



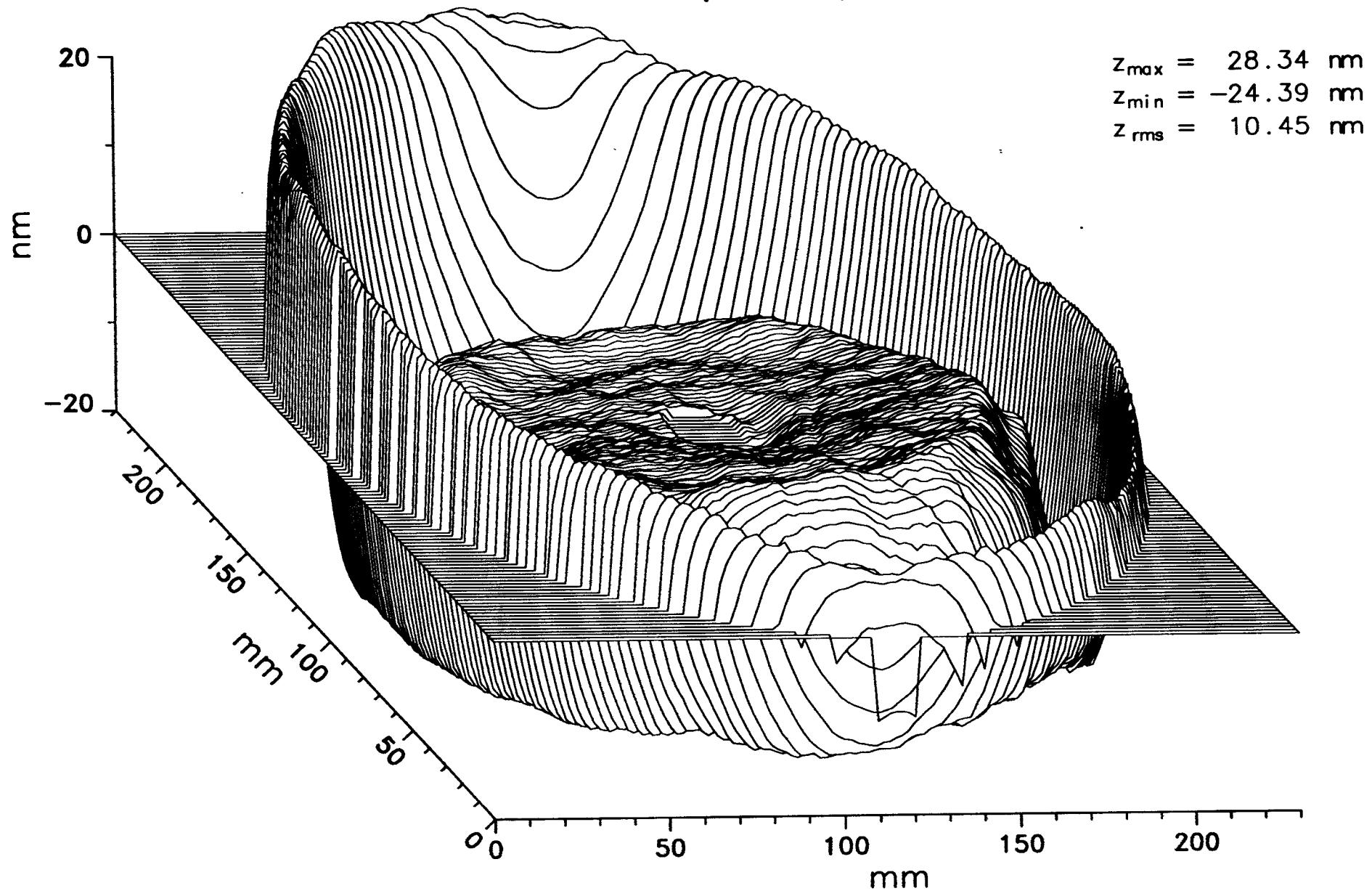
Herasil substrate: sample 1 (R = 3km)



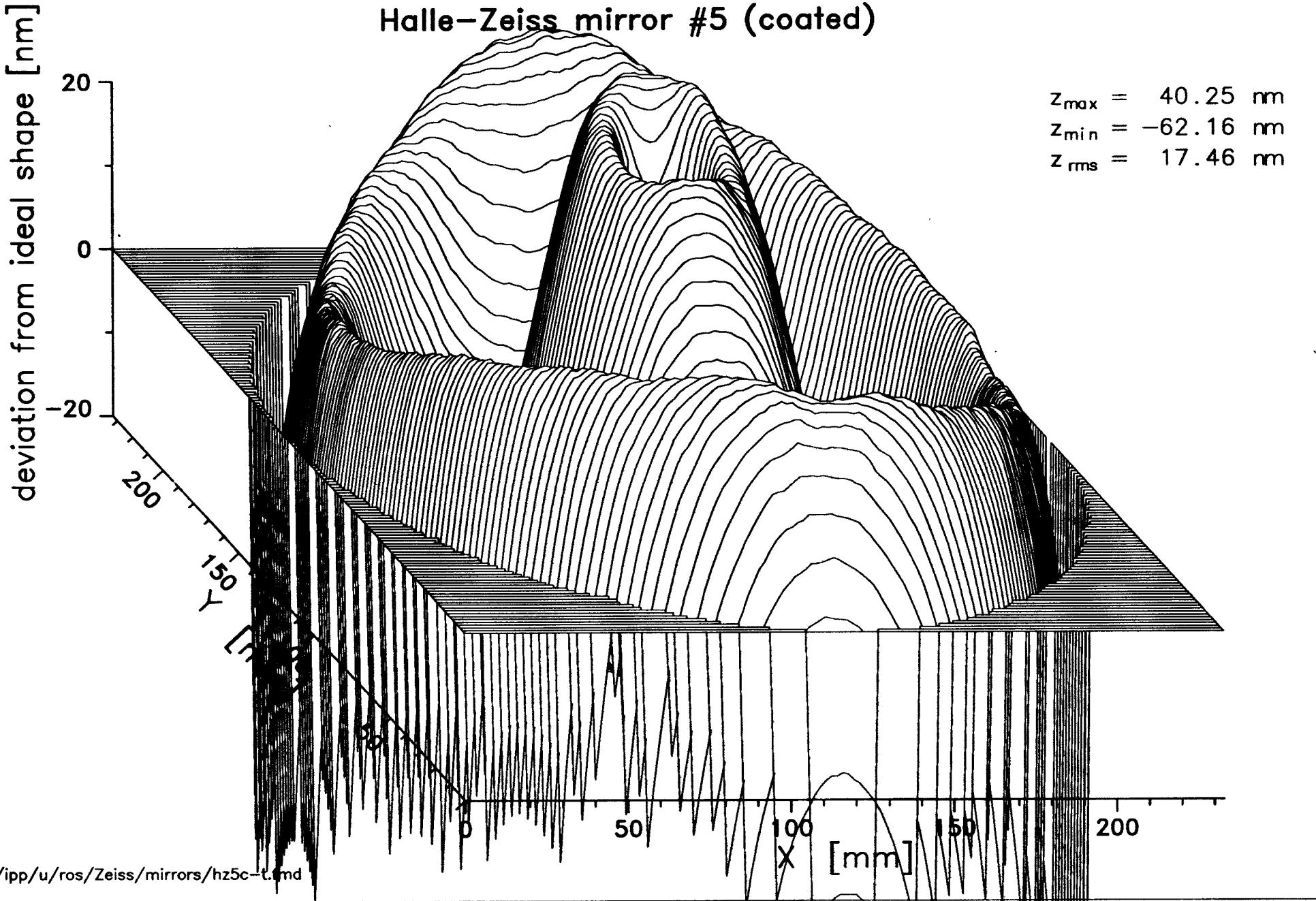
Silicon substrate: sample 2i ($R = 3\text{km}$)



Silicon substrate: sample 1i ($R = 3\text{km}$)

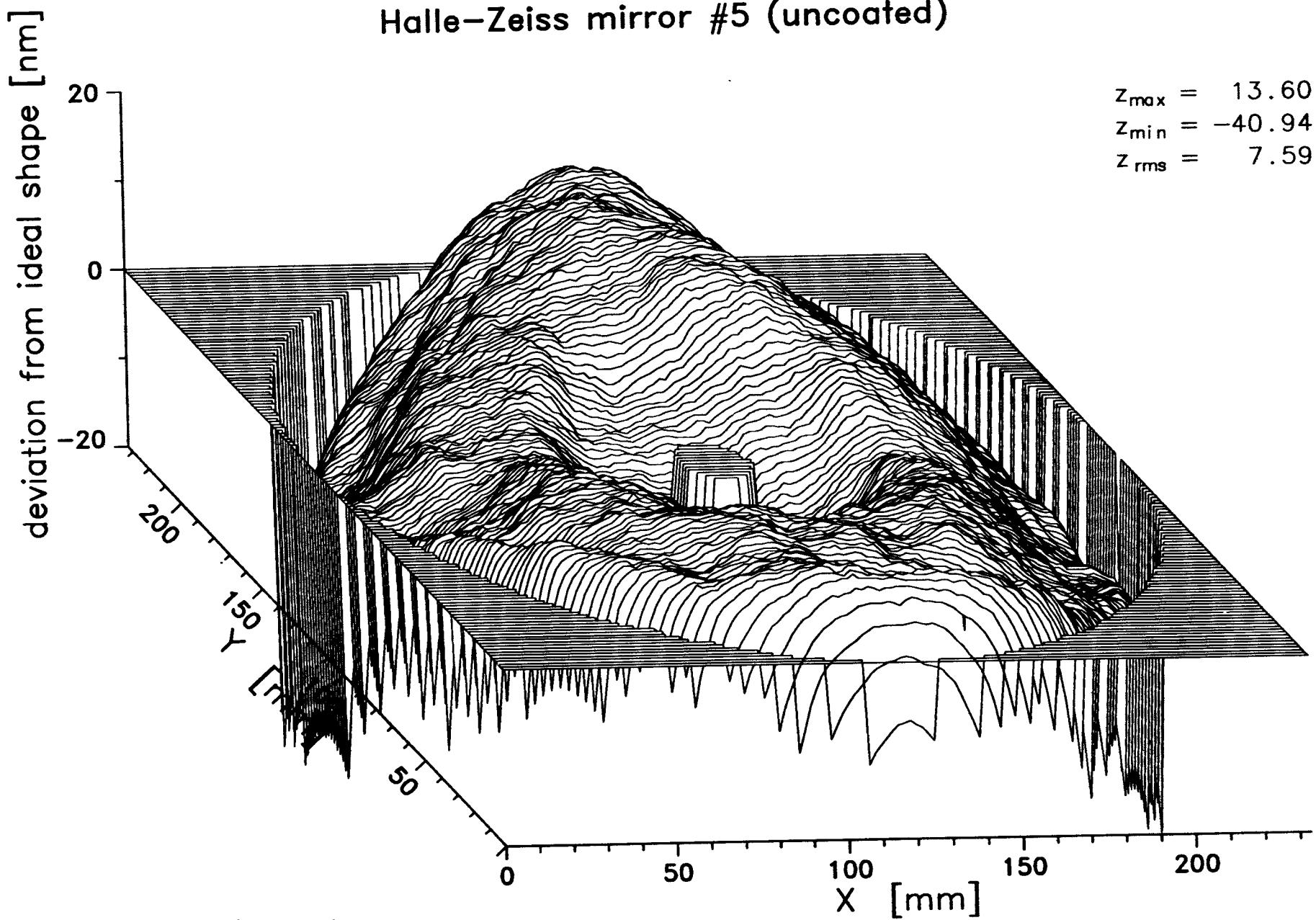


Halle-Zeiss mirror #5 (coated)



Halle-Zeiss mirror #5 (uncoated)

$Z_{\max} = 13.60 \text{ nm}$
 $Z_{\min} = -40.94 \text{ nm}$
 $Z_{\text{rms}} = 7.59 \text{ nm}$



Measurements

All measurements have been performed by **Zeiss**:

Two-dimensional arrays $d(x, y)$ of $N \times N$ data points describing the deviation from the ideal case,

$N = 341, 385$ or 414 (depending on the sample),

covering about **96%** of the full aperture of the samples (i.e. **230** or **180 mm**).

⇒ Limited spatial resolution of ≈ 0.5 mm

No direct measurements of scatter have been performed, only simulations of the scatter to be expected from such components (based on the Zeiss measurements).

We will see:

- 3-D plots of the distortion function $d(x, y)$
- Fourier spectra of the distortions
- Power loss due to scattering (for angles $\lesssim 1$ mrad)
- Distribution of scatter into higher-order modes
- Angular distribution of scattering
- The scattering function $f(\vartheta)$
- Scattered power outside a cone of half angle ϑ

Scattering of optical components for gravitational wave detectors

Mainly two effects:

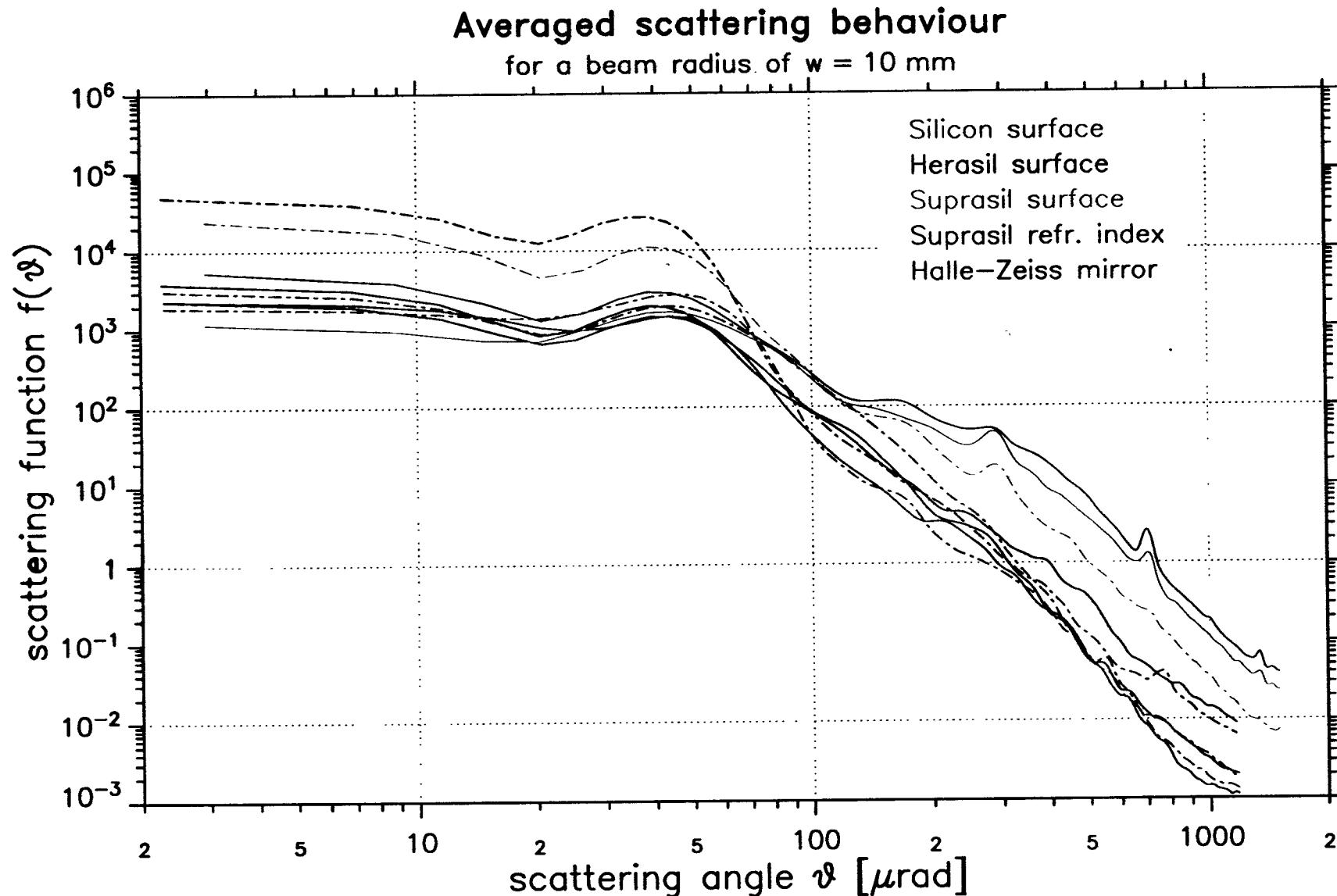
- limitation of power-recycling gain due to scattering losses
- reduction of sensitivity by spurious fake signals

Mainly two origins:

- roughness of optical surfaces
- inhomogeneity of substrates traversed by the light

We have evaluated data measured by Zeiss:

material	number of samples	diameter	thickness	radius of curvature	quality	measurement	possible use
fused silica	1	240 mm	75 mm	31 m / ∞	standard polishing	curved surface uncoated & coated	main mirror
silicon monocrystal	2	240 mm	75 mm	3 km / ∞	best effort polishing	curved surface	main mirror
fused silica Herasil 310	2	240 mm	75 mm	3 km / ∞	best effort polishing	curved surface	main mirror
fused silica Suprasil 311	1	187 mm	32 mm	∞ / ∞	top grade homogeneity	optical path, both surfaces	beam splitter, FP mirror



Power loss due to scattering

The **distorted light field** ψ_d can be described as

$$\psi_d(x, y) = d(x, y) \cdot \psi_{00}(x, y)$$

(incident beam assumed to be pure 00-mode).

The amplitude a_{00} contained in ψ_d is given by

$$a_{00} = \iint_{-\infty}^{+\infty} \psi_d \psi_{00} dx dy .$$

It depends on the beam radius w of the incident beam.

The power P_{scat} lost from the fundamental mode is

$$P_{\text{scat}} = 1 - a_{00}^2 .$$

Conversion into **higher order modes**:

$$a_{mn} = \iint_{-\infty}^{+\infty} \psi_d \psi_{mn} dx dy .$$

Measurements

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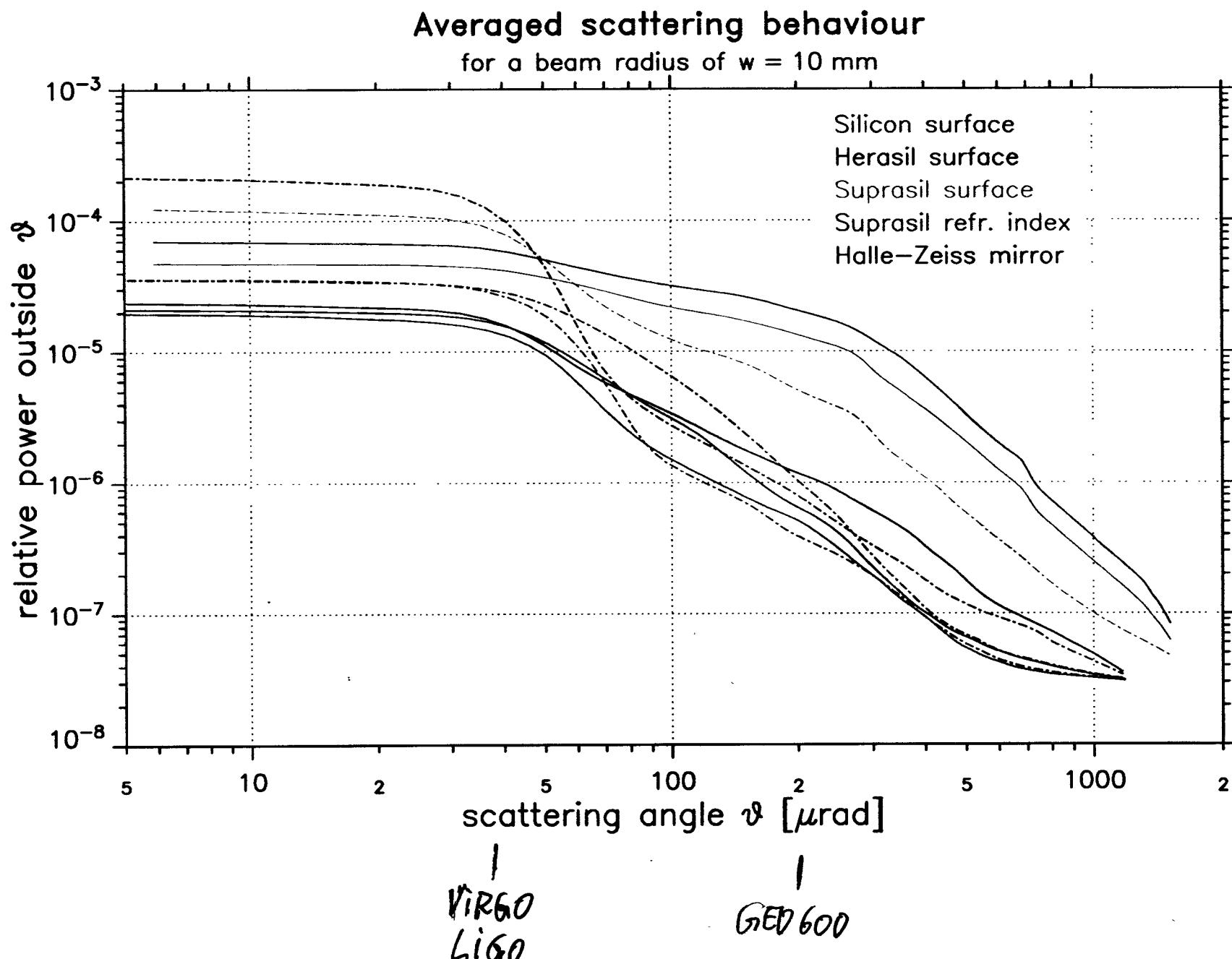
covering about **96%** of the full aperture of the samples (i.e. **230** or **180 mm**).

⇒ Limited spatial resolution of ≈ 0.5 mm

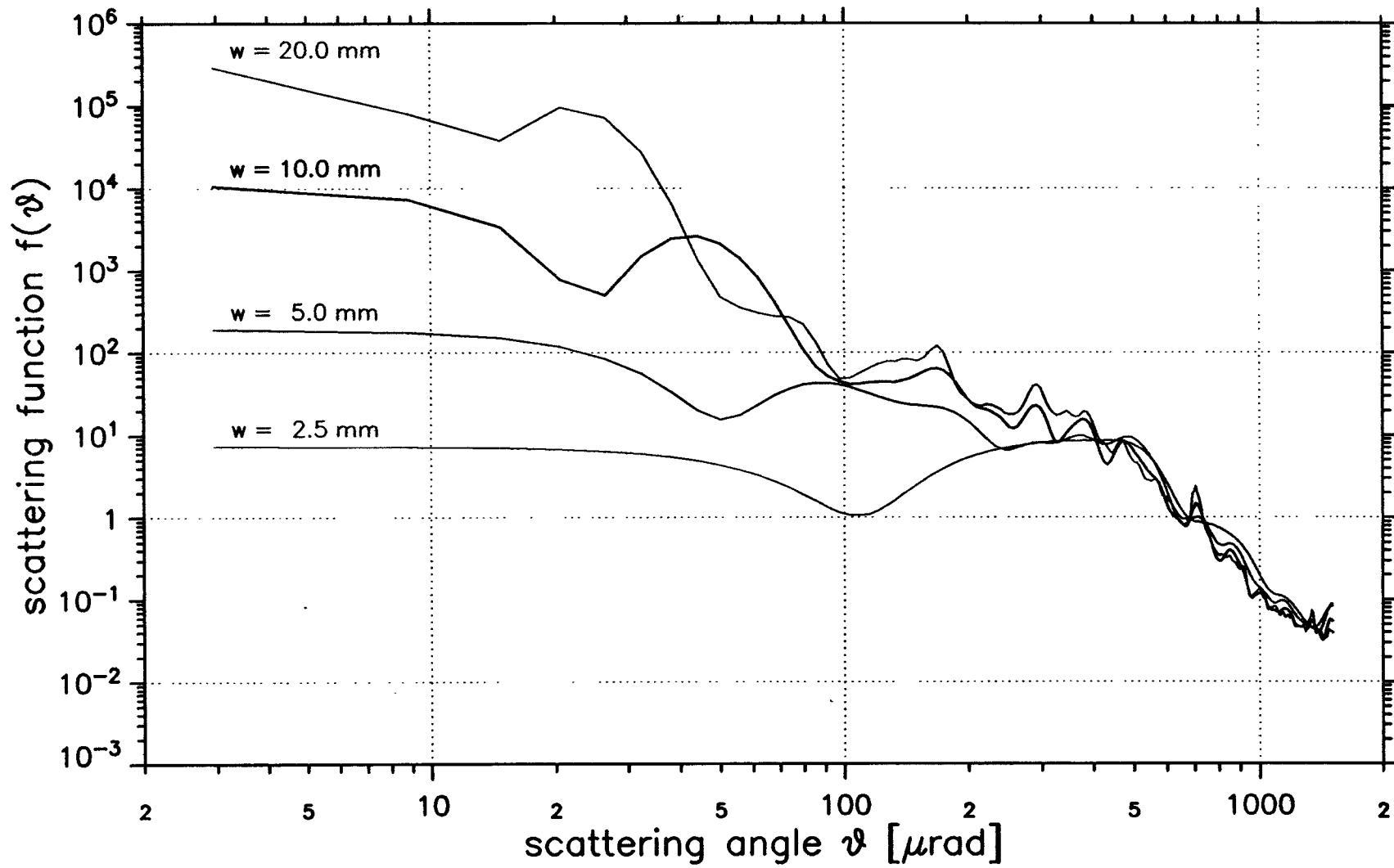
No direct measurements of scatter have been performed, only simulations of the scatter to be expected from such components (based on the Zeiss measurements).

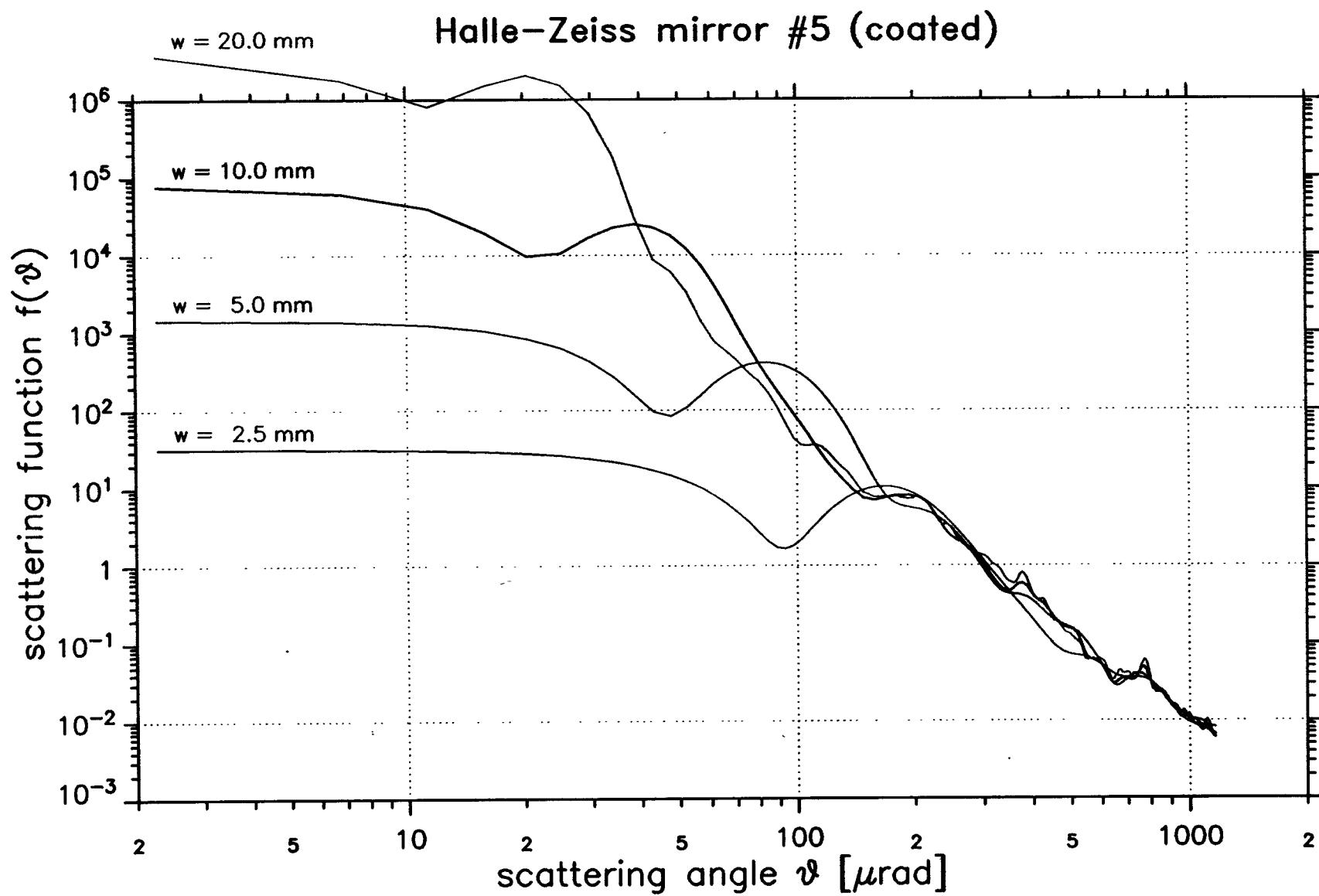
We will see:

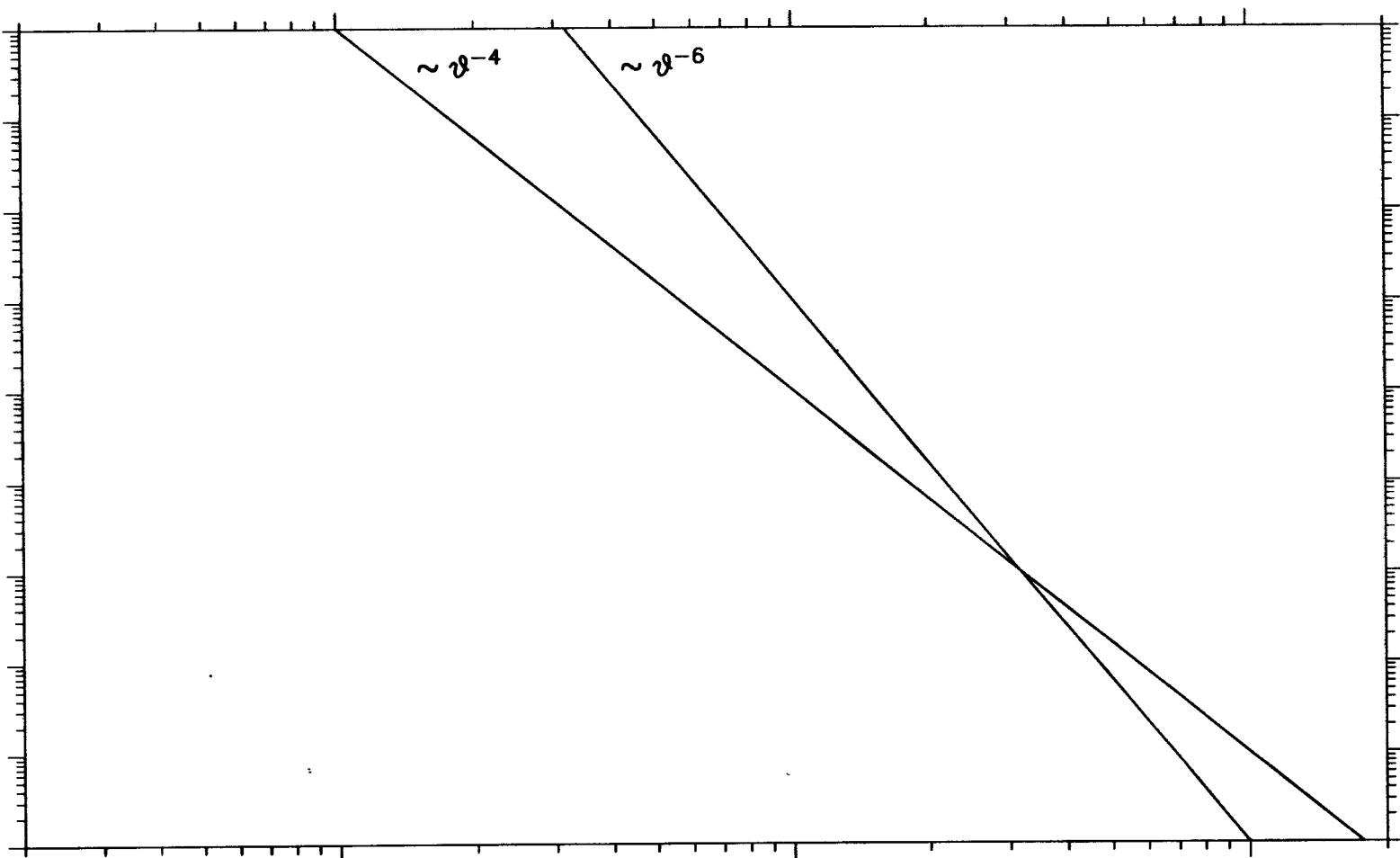
- 3-D plots of the distortion function $d(x, y)$
- Fourier spectra of the distortions
- Power loss due to scattering (for angles $\lesssim 1$ mrad)
- Distribution of scatter into higher-order modes
- Angular distribution of scattering
- The scattering function $f(\vartheta)$
- Scattered power outside a cone of half angle ϑ



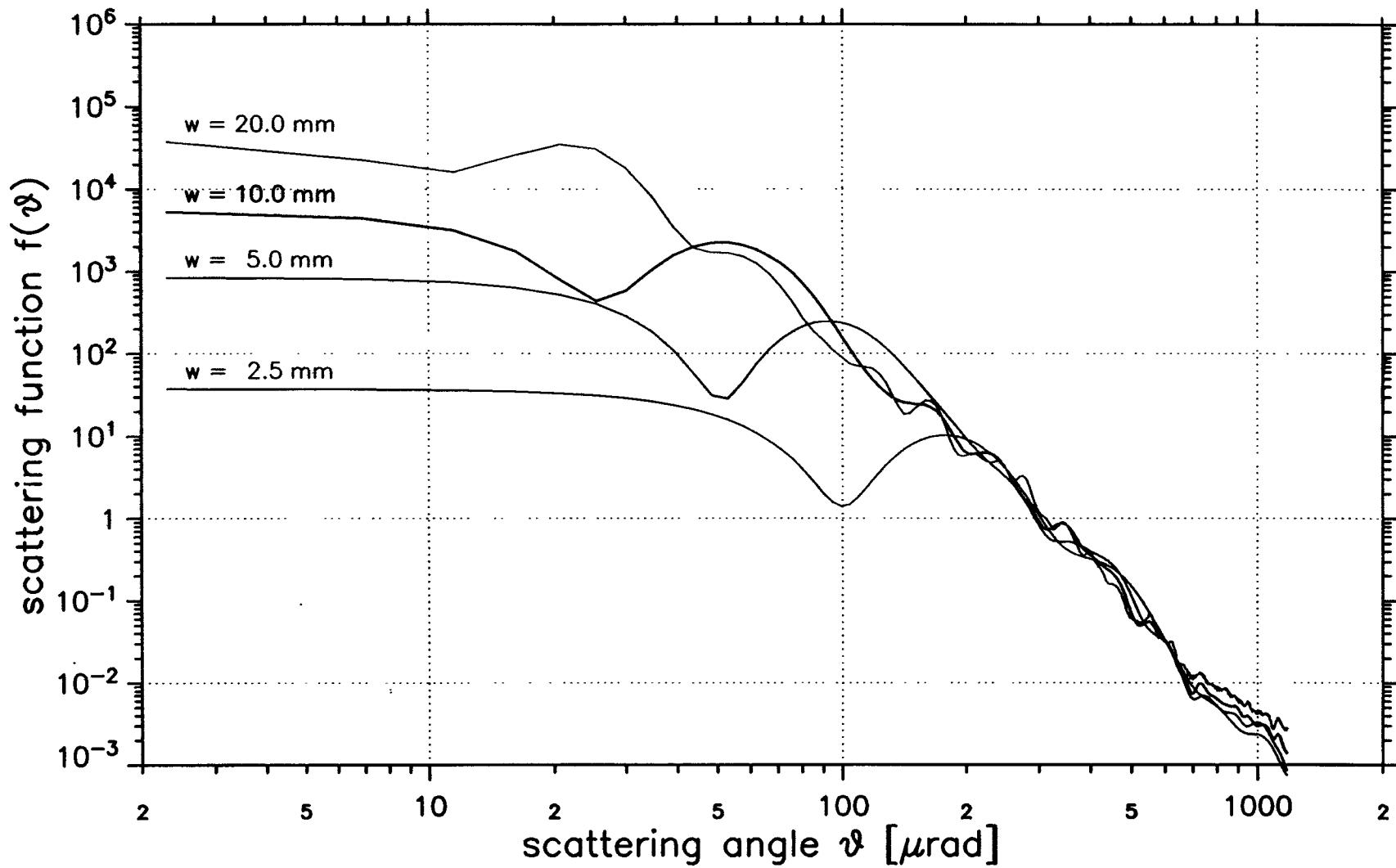
Suprasil substrate: refractive index







Herasil surface: sample 2 ($R = 3\text{km}$)



Angular distribution of scatter

The **scattered field** ψ_{scat} (w/o the 00-mode) can be calculated as

$$\psi_{\text{scat}} = \psi_d - a_{00}\psi_{00}.$$

Conversion to **angular space** by 2-dim Fourier transformation:

$$\psi^a(\vartheta_x, \vartheta_y) = \text{FT}(\psi_{\text{scat}}(x, y)).$$

The **scattering function** $f(\vartheta)$ is given by averaging over the azimuthal dependence of ψ^a :

$$f(\vartheta) = \frac{1}{2\pi} \int_0^{2\pi} \psi^a(\vartheta, \varphi) d\varphi.$$

Relation between scattering angle ϑ and spatial wavelength Λ , depending on the light wavelength λ , is given by $\vartheta = \lambda/\Lambda$.

$$\implies \text{Minimum angle } \vartheta_{\min} = \frac{\lambda}{D} \approx 5 \mu\text{rad},$$

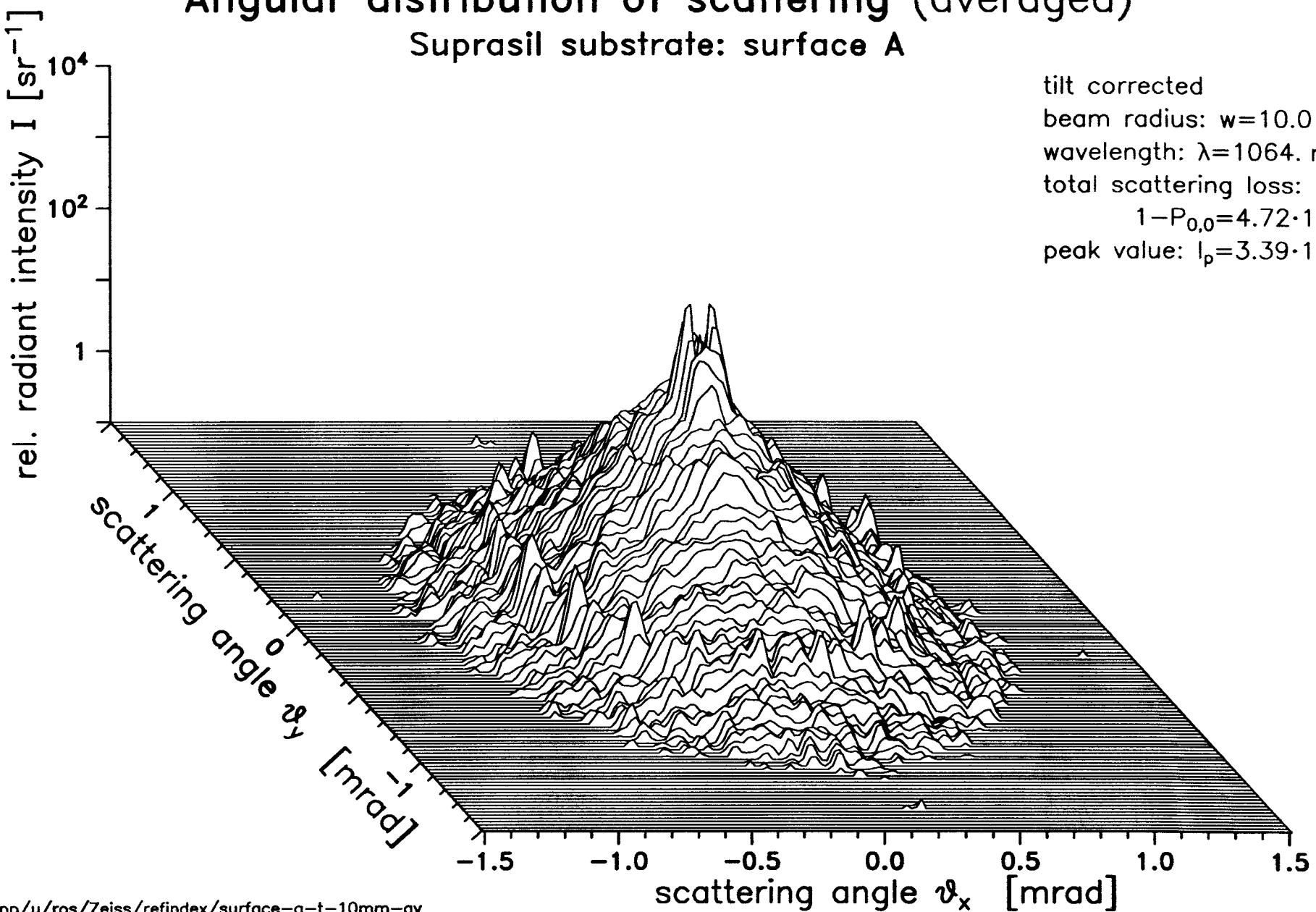
$$\text{maximum angle } \vartheta_{\max} = \vartheta_{\min} \frac{N}{2} \approx 1 \text{ mrad},$$

with D = diameter of the component and N = number of data points in one dimension.

Angular distribution of scattering (averaged)

Suprasil substrate: surface A

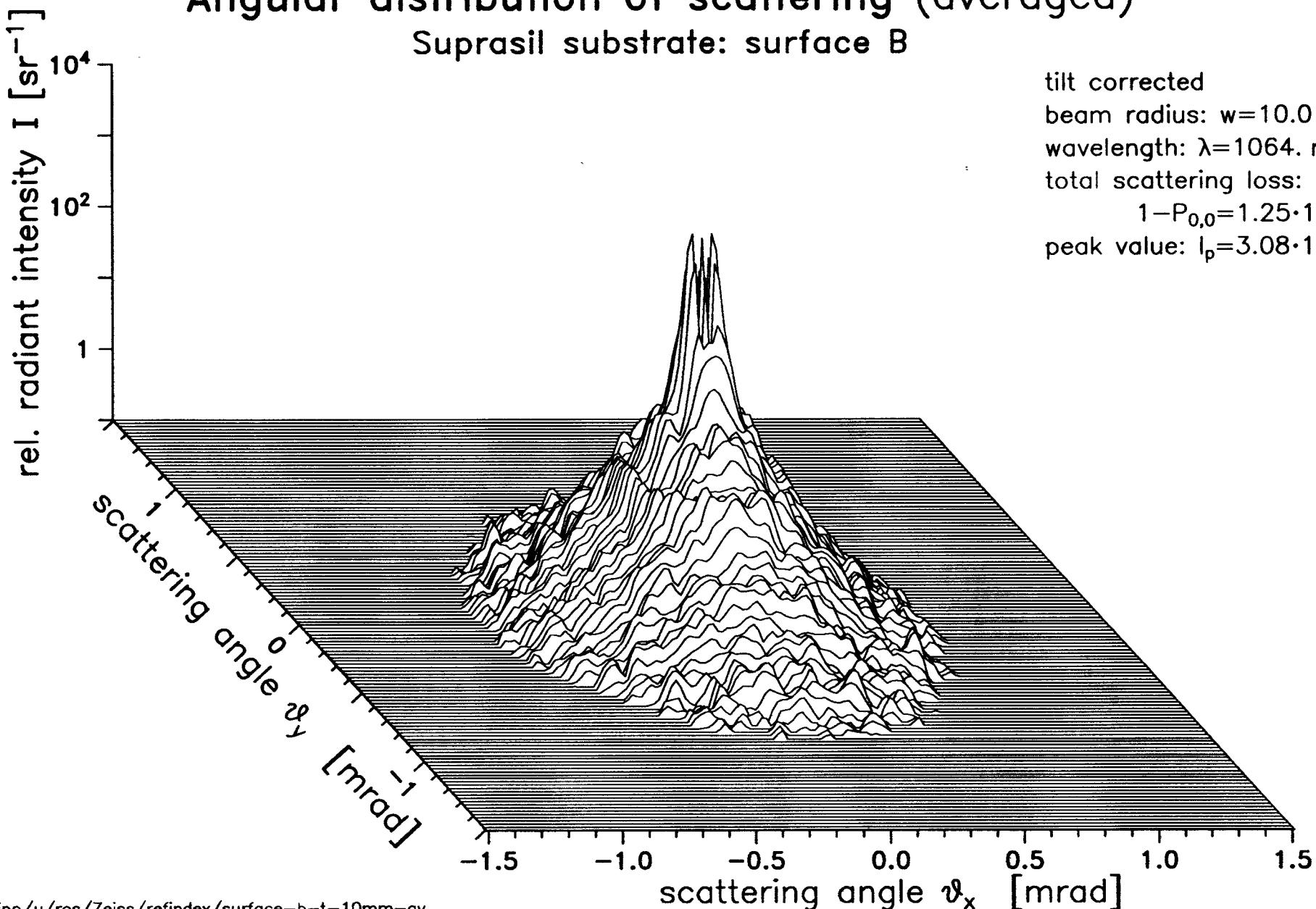
tilt corrected
beam radius: $w=10.0$ mm
wavelength: $\lambda=1064.$ nm
total scattering loss:
 $1-P_{0,0}=4.72 \cdot 10^{-5}$
peak value: $I_p=3.39 \cdot 10^3$



Angular distribution of scattering (averaged)

Suprasil substrate: surface B

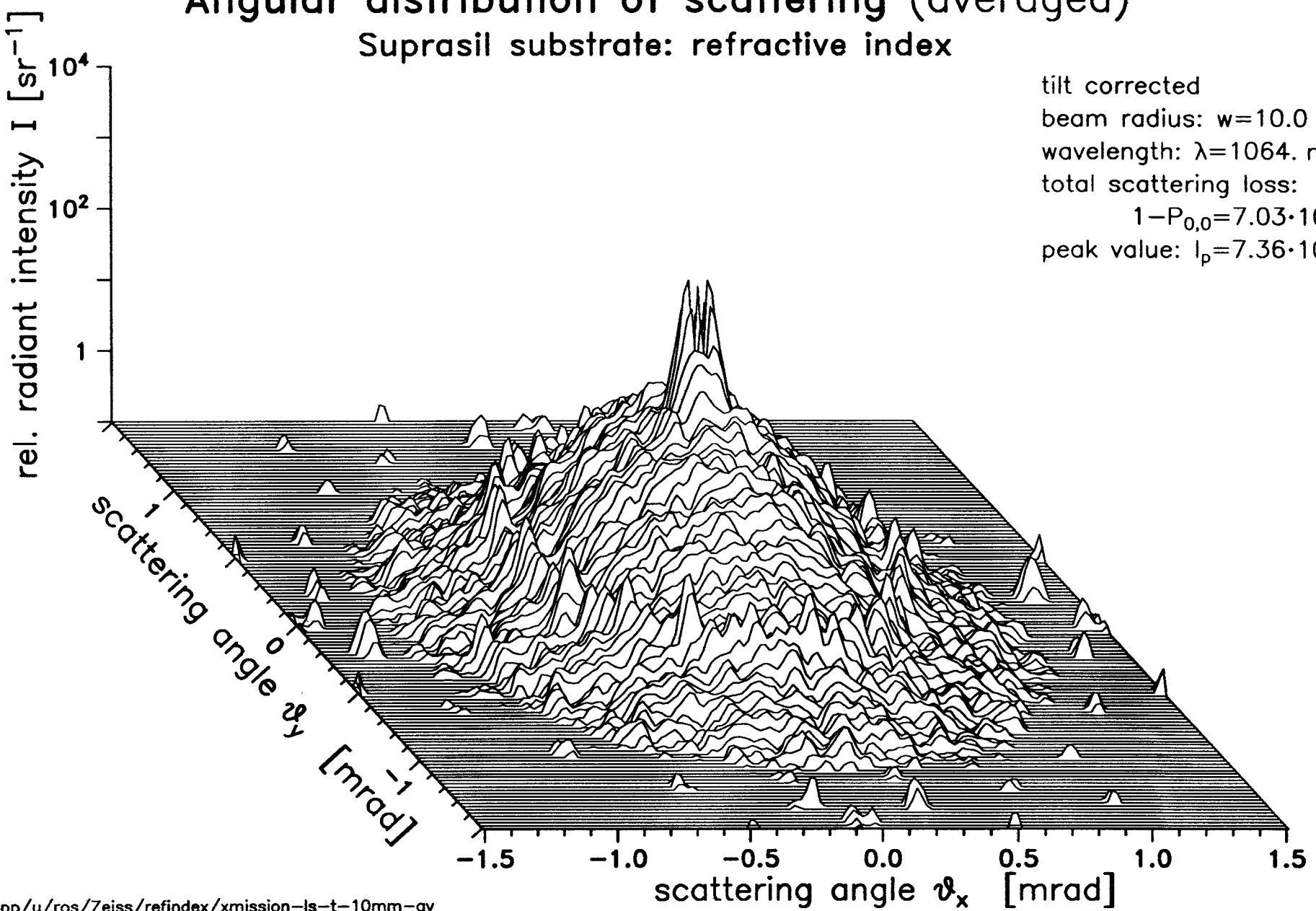
tilt corrected
beam radius: $w=10.0$ mm
wavelength: $\lambda=1064.$ nm
total scattering loss:
 $1-P_{0,0}=1.25 \cdot 10^{-4}$
peak value: $I_p=3.08 \cdot 10^4$



Angular distribution of scattering (averaged)

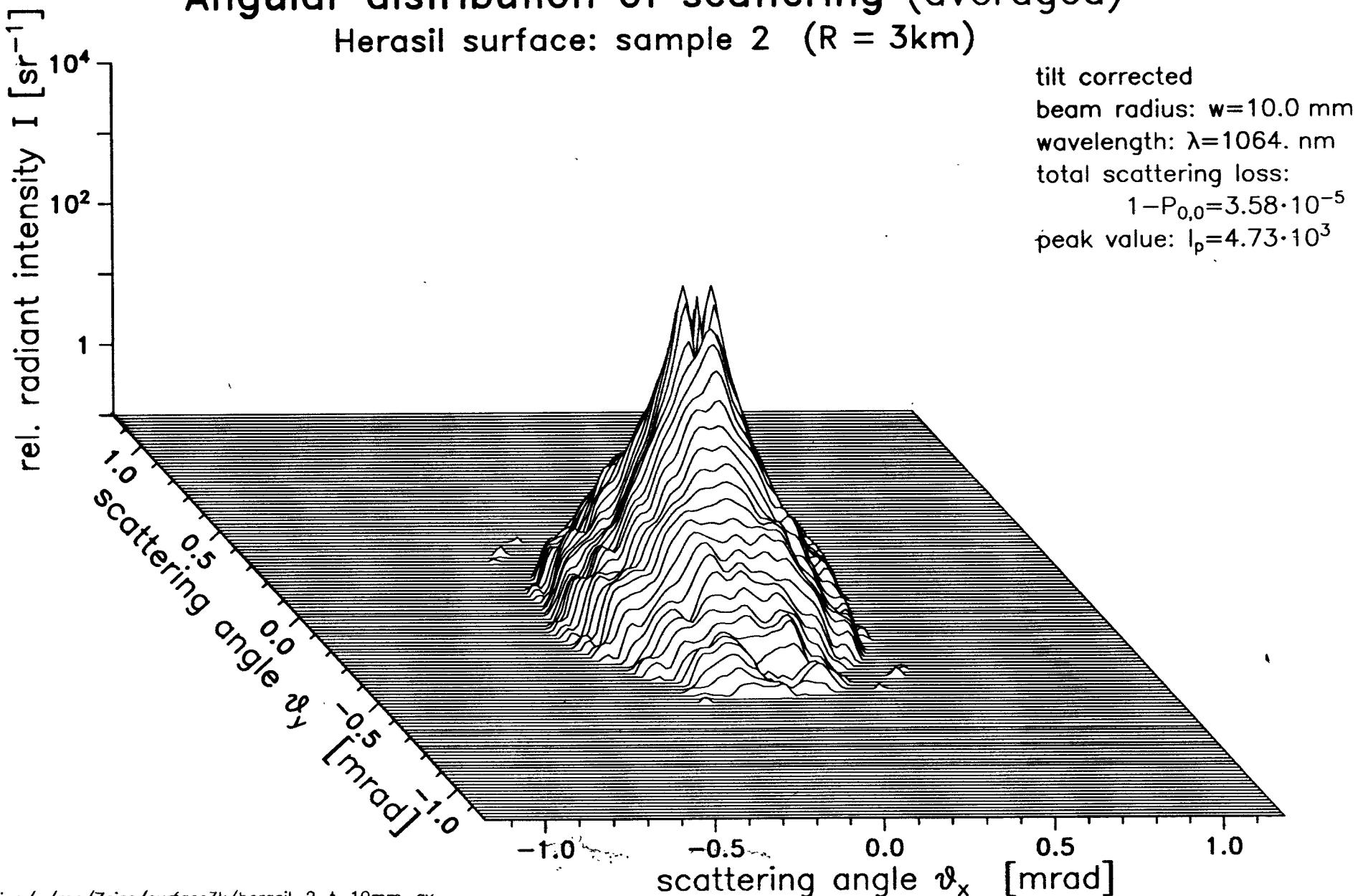
Suprasil substrate: refractive index

tilt corrected
beam radius: $w=10.0$ mm
wavelength: $\lambda=1064.$ nm
total scattering loss:
 $1-P_{0,0}=7.03 \cdot 10^{-5}$
peak value: $I_p=7.36 \cdot 10^3$

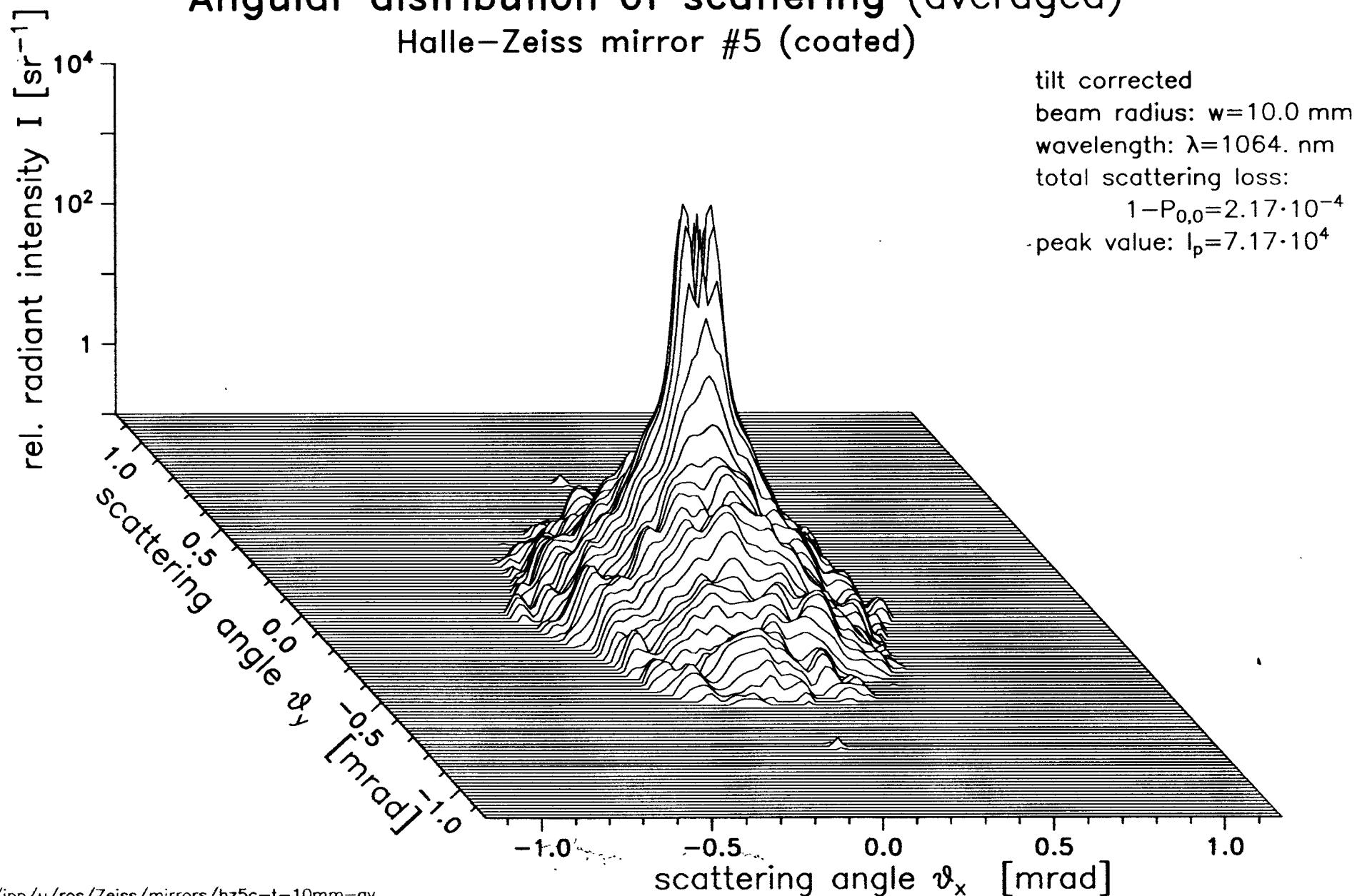


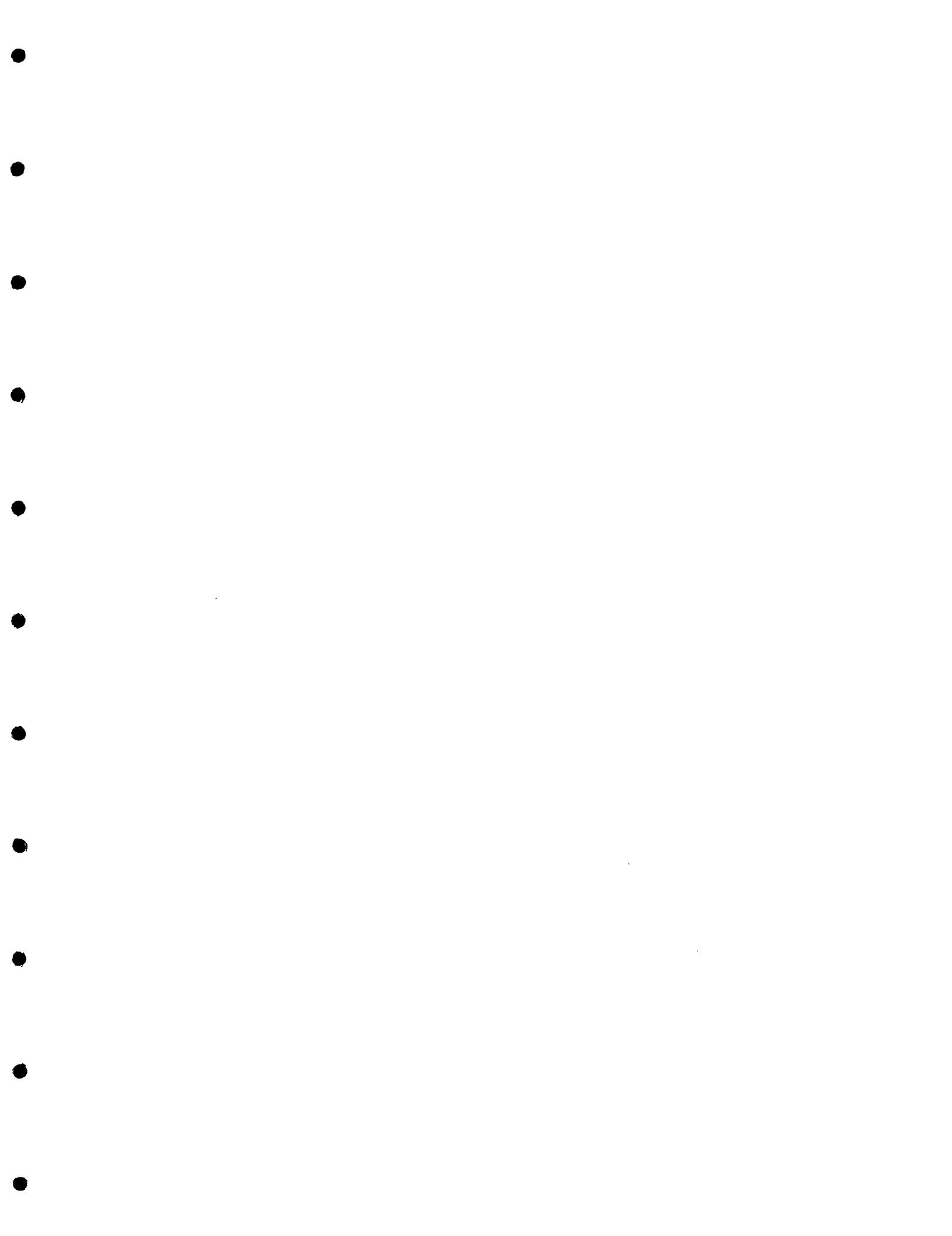
Angular distribution of scattering (averaged)

Herasil surface: sample 2 ($R = 3\text{km}$)



Angular distribution of scattering (averaged) Halle-Zeiss mirror #5 (coated)



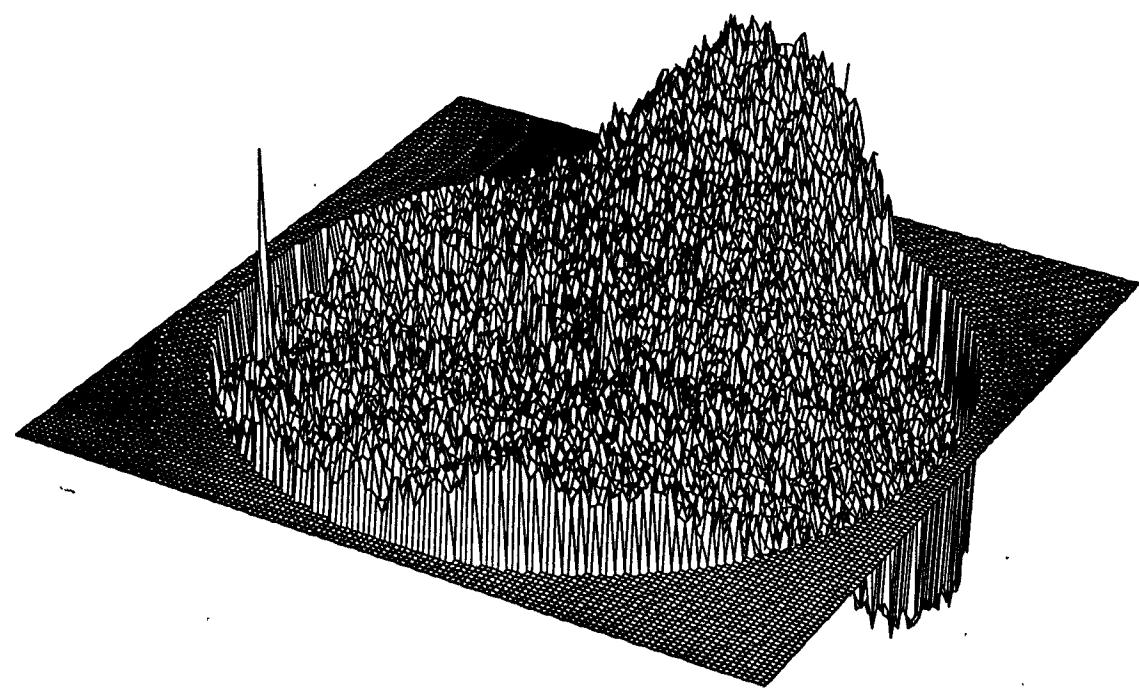


CALFLAT Mirror Measurements

Rai Weiss

zmin = -7.377E-03 zmax = 8.223E-03

amp 6328A

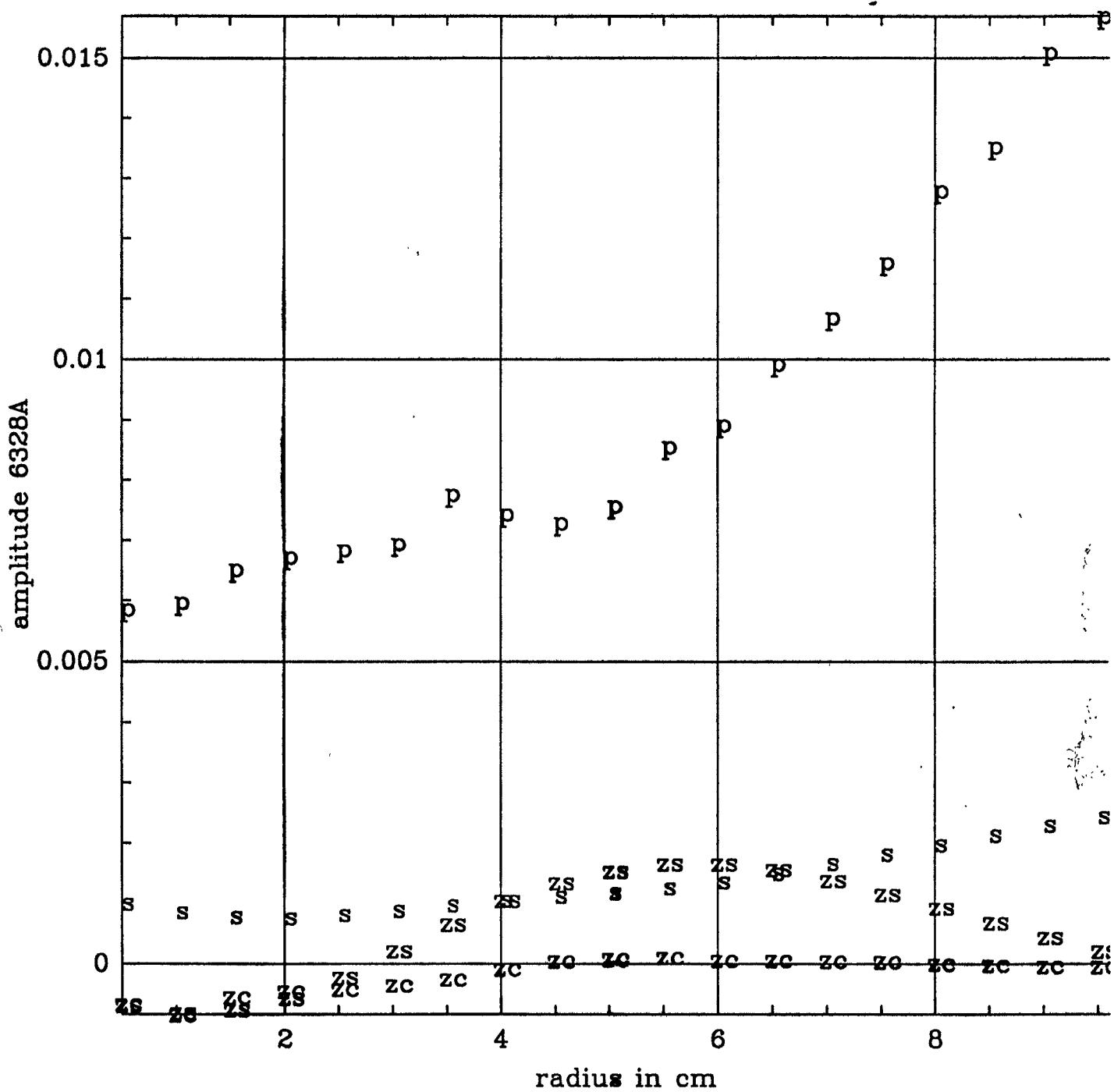


x/y

xmin = 0.000E+00 xmax = 2.000E+02 ymin = 0.000E+00 ymax = 2.000E+02

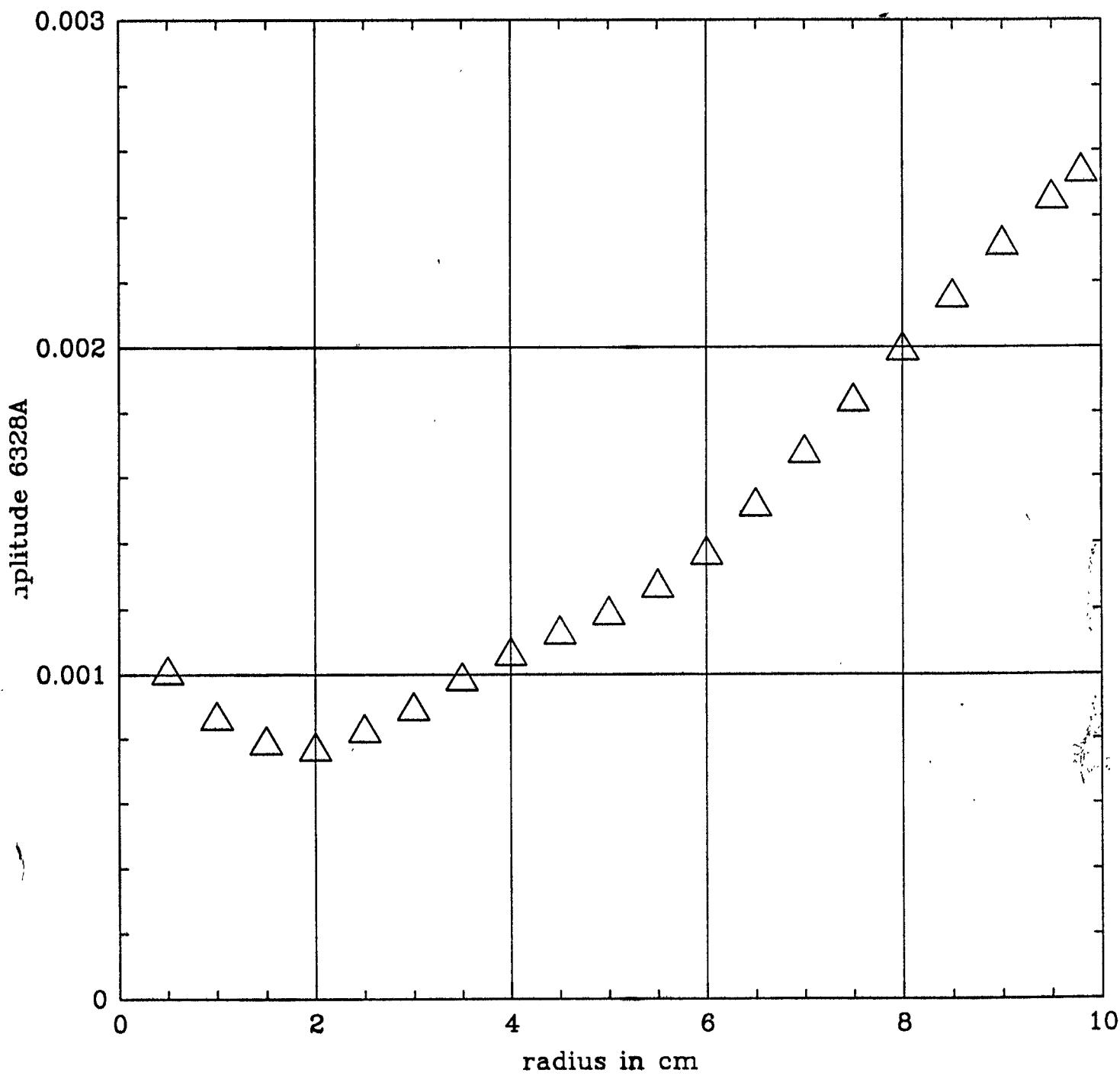
Cellflat3are.dat

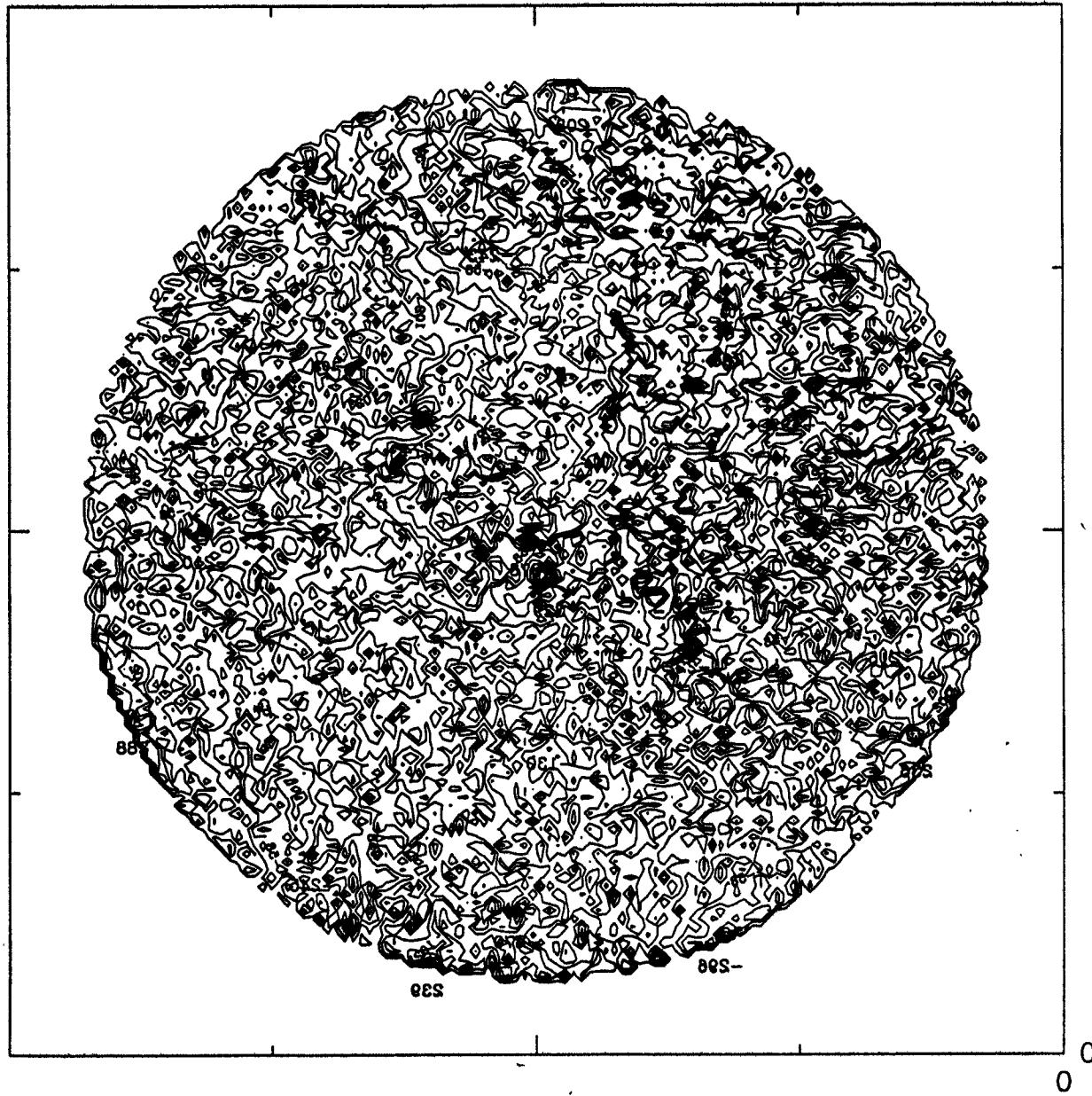
calflatr.dat: radius vs sigma (s), p-p (p), Z1is (zs), Z1ic (zc) : Tue Jun 14 22:38:32 11



Calflat

calflatr1.dat : sigma vs radius : Tue Oct 4 11:59:49 1994





Time End : 08:47:20

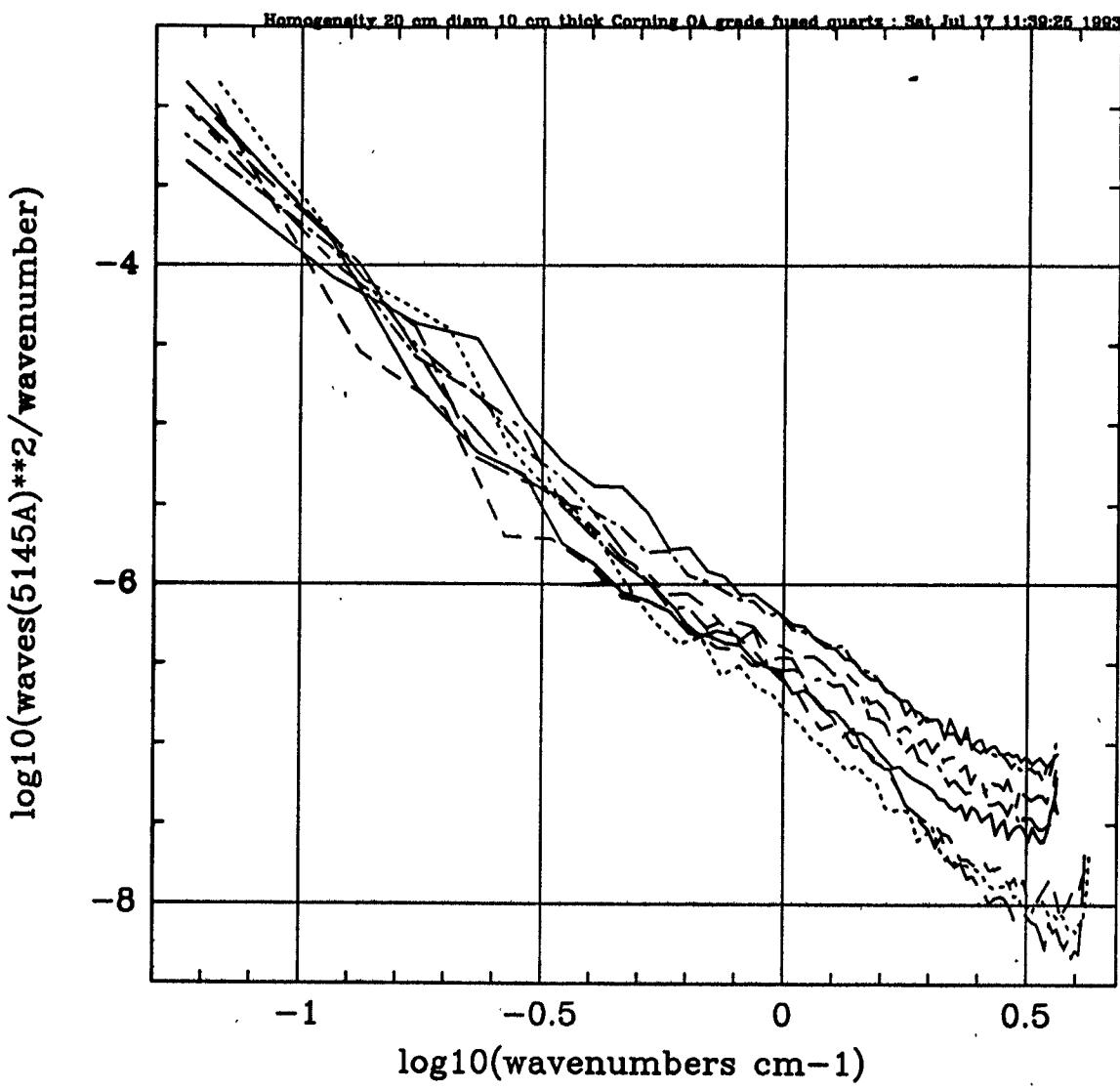
$$(85 \text{ deg})^2 \times 0.01 \text{ km}^2 = 7225 \text{ km}^2$$

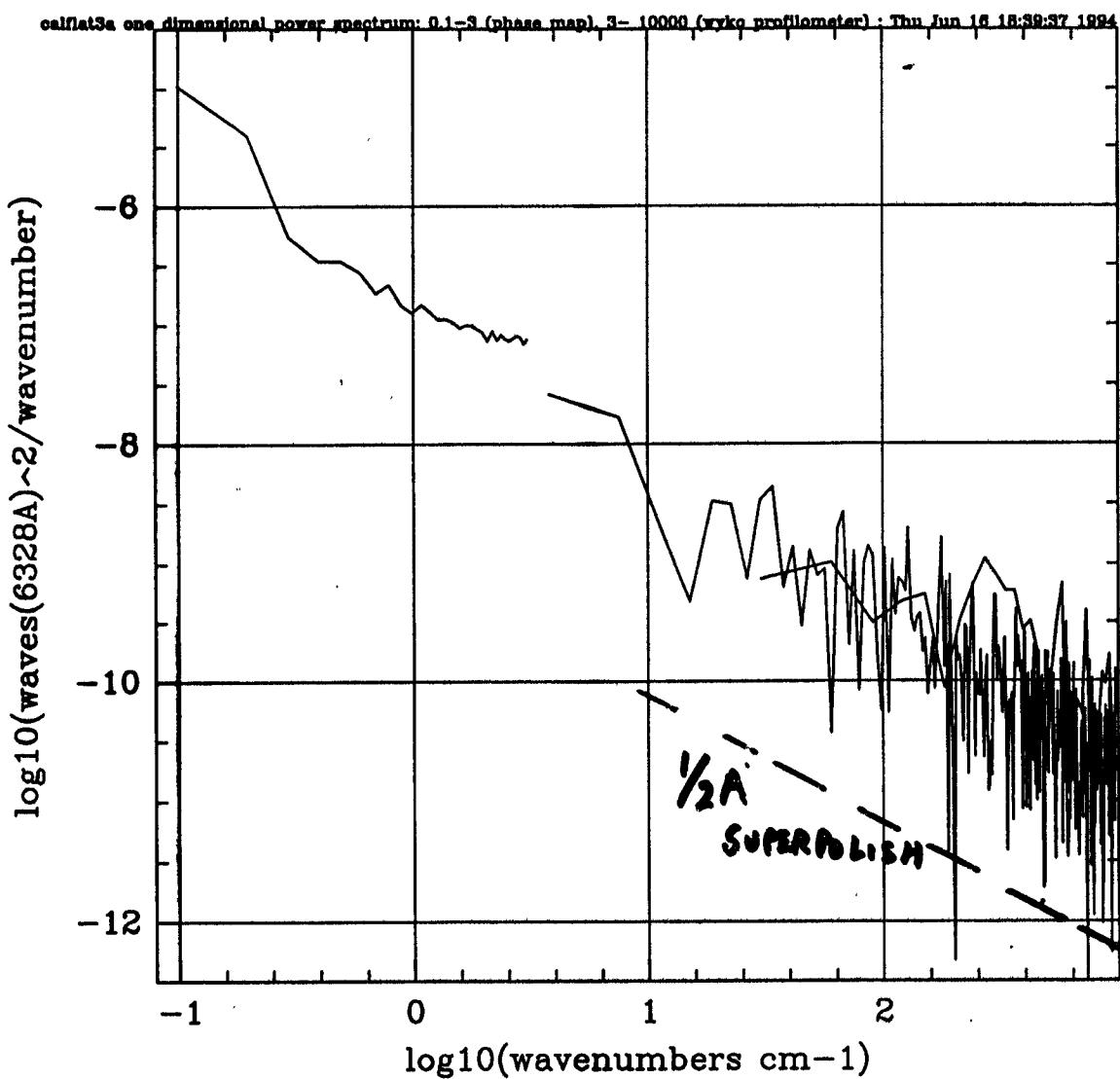
$$7225 \times 8.8 = 63000 \text{ m}$$

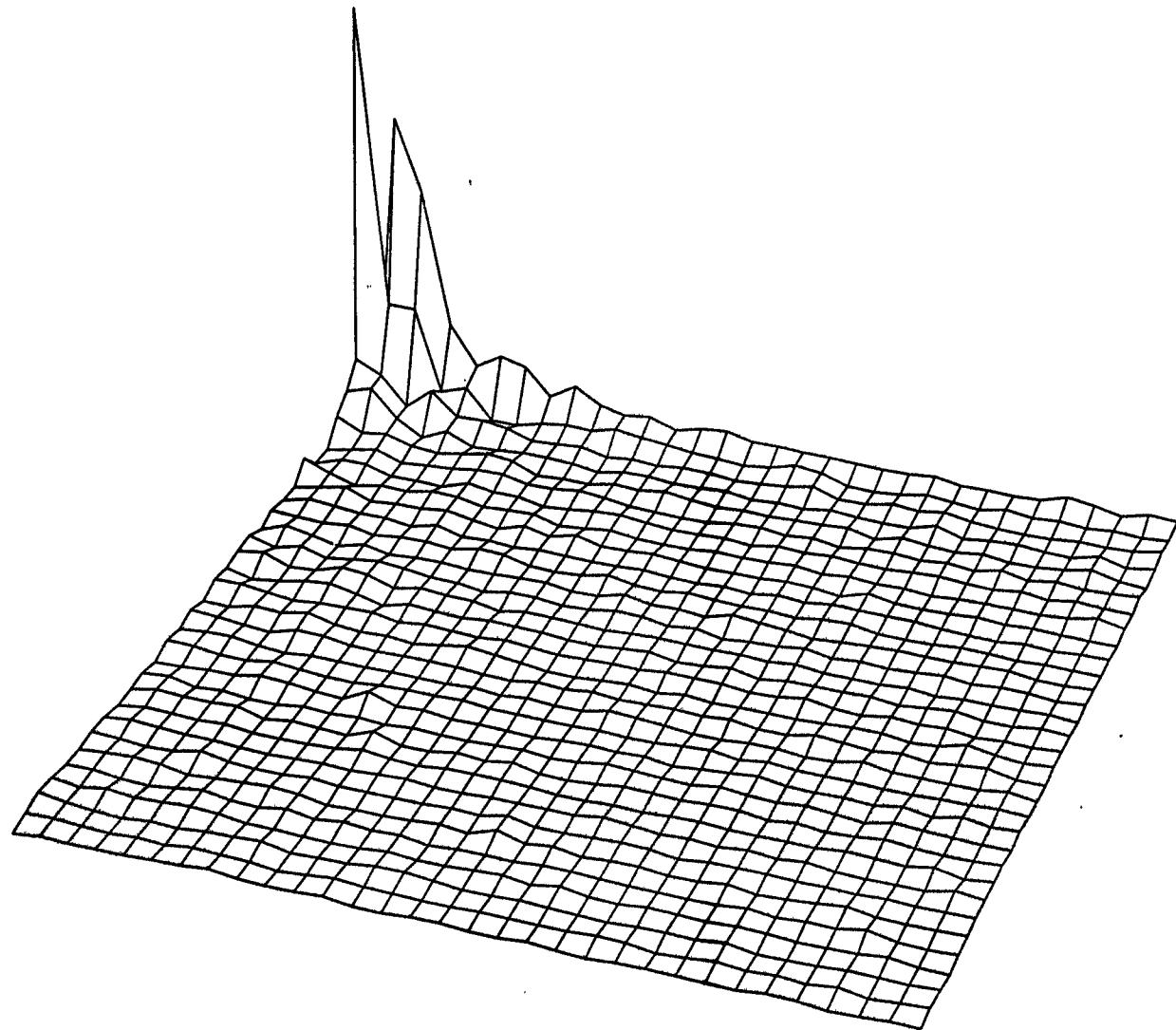
Area = 7225 km² : 63000
K-01 x 8.8

Length

5.0
4.5
4.0
3.5
3.0
2.5
2.0
1.5
1.0
0.5
0.0

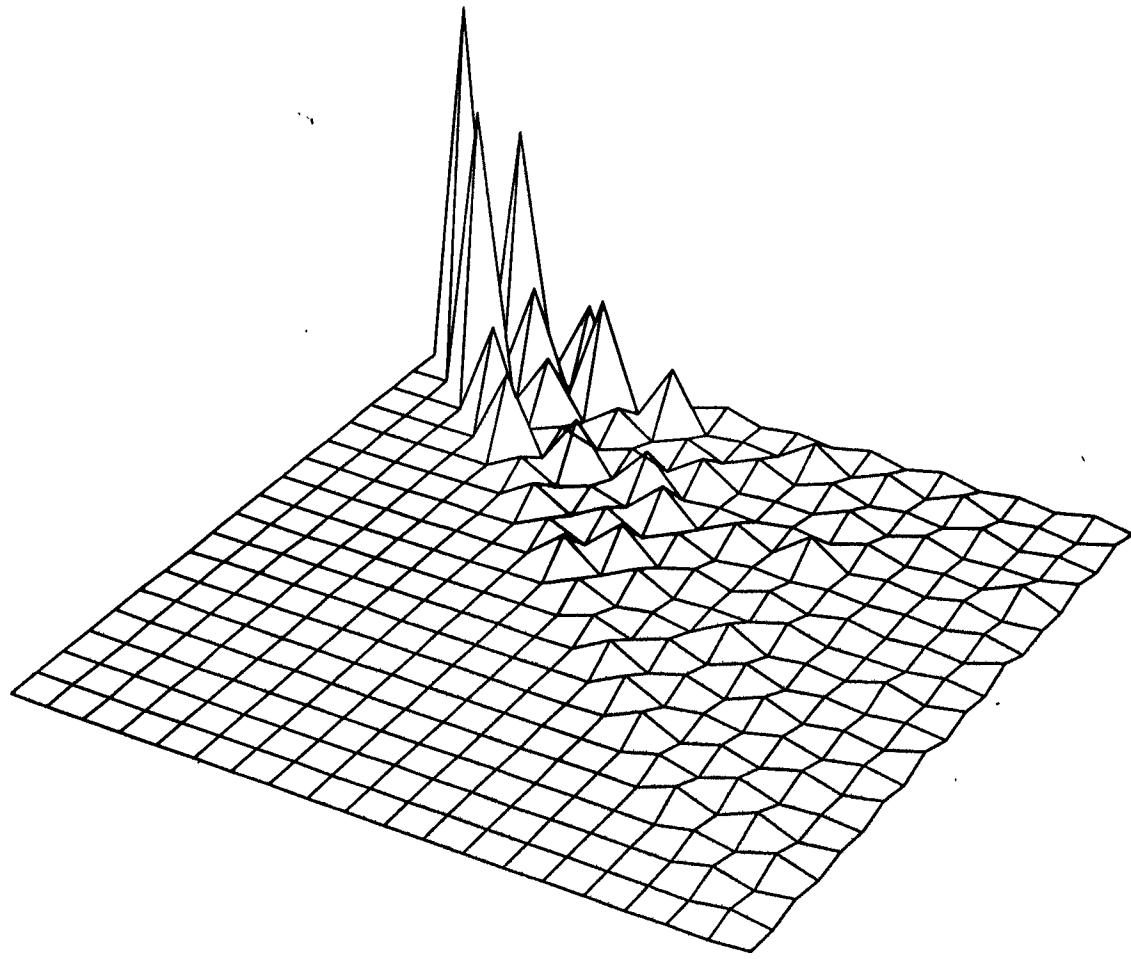






kx,ky xmin = 9.830E-02 xmax = 3.146E+00 ymin = 9.830E-02 ymax = 3.146E+00

zmin = 0.000E+00 zmax = 1.566E-03
amp 6328A

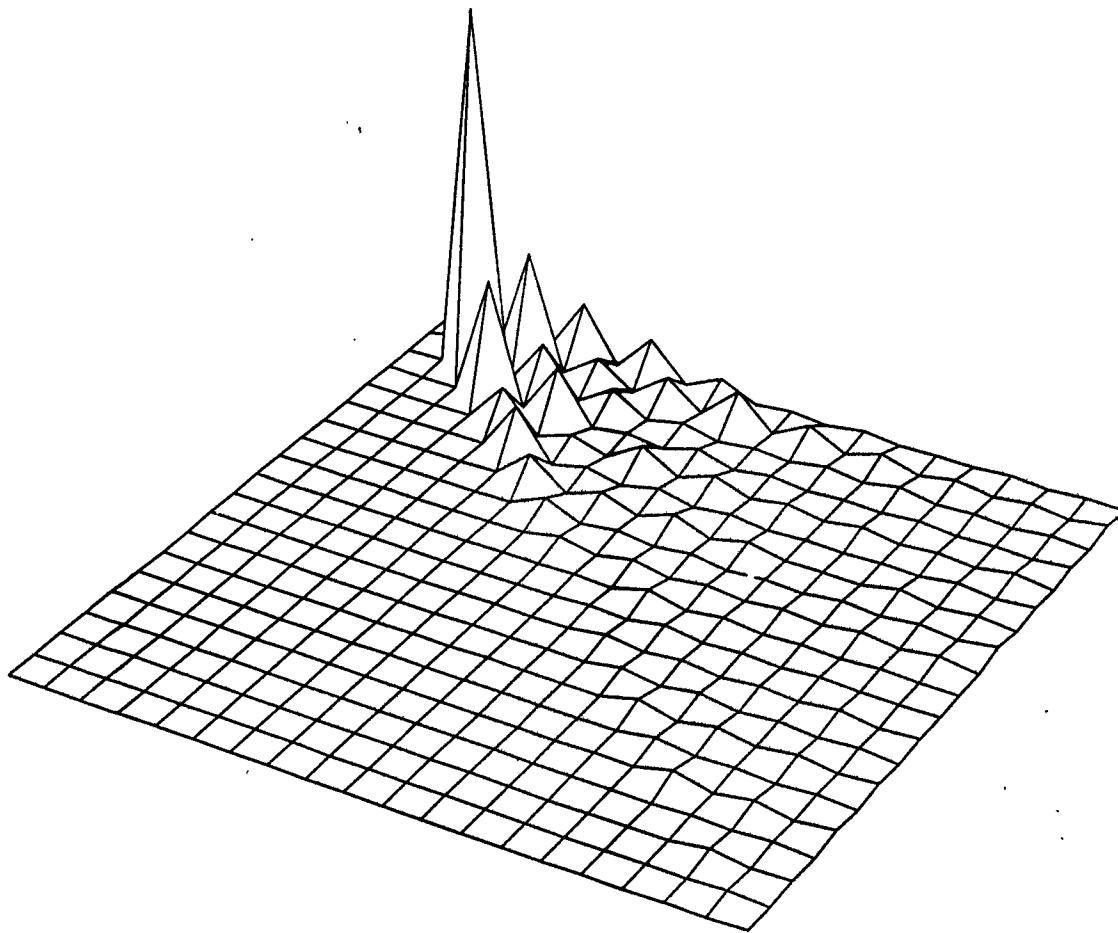


n/l

xmin = 0.000E+00 xmax = 2.000E+01 ymin = 0.000E+00 ymax = 2.000E+01

calflat zern 3d

amp 6328A
zmin = 0.000E+00 zmax = 3.766E-03

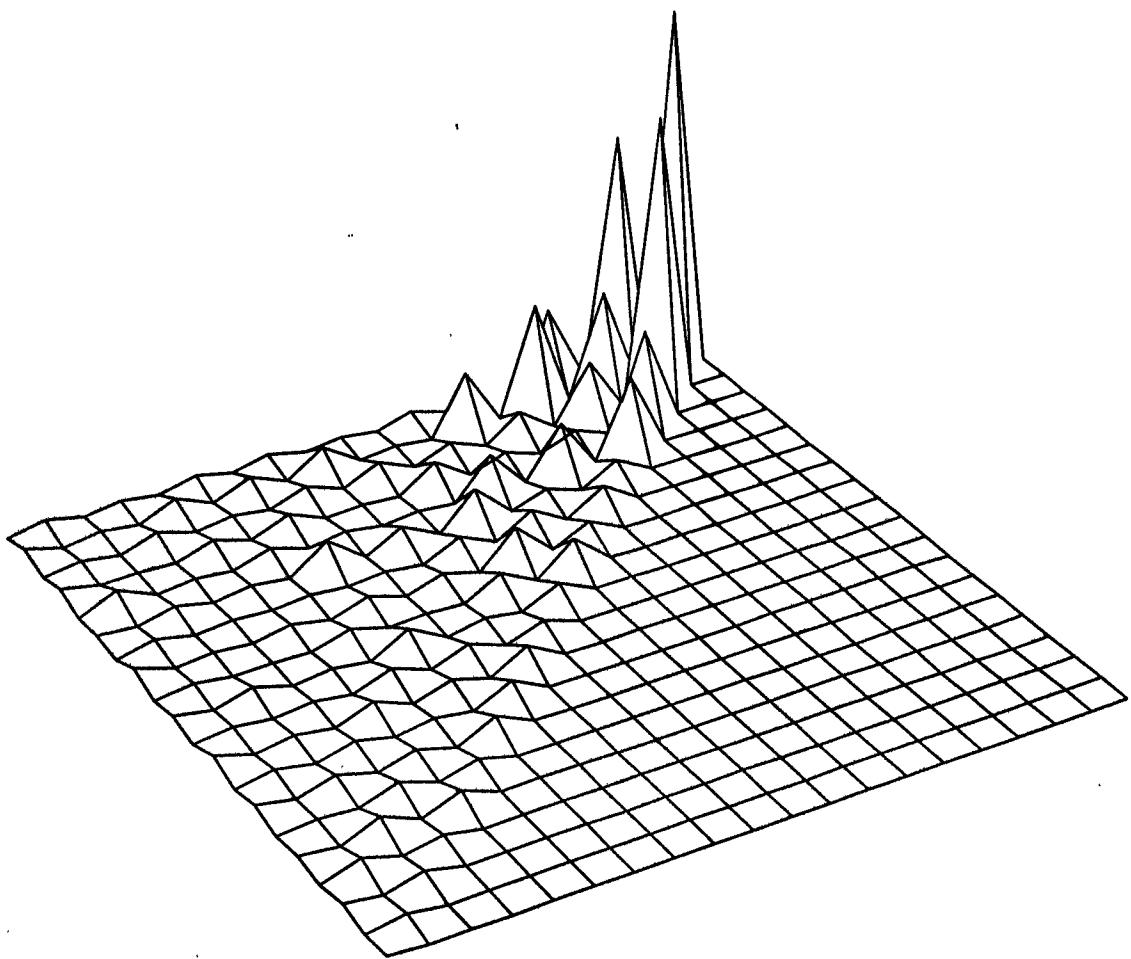


n/l

xmin = 0.000E+00 xmax = 2.000E+01 ymin = 0.000E+00 ymax = 2.000E+01

Cutflat2ern3d9.8.ps

2008 01:02:12 01: mat matT : author: sno0.8 REGULATORY ENZYME with 0.96GrowthRate



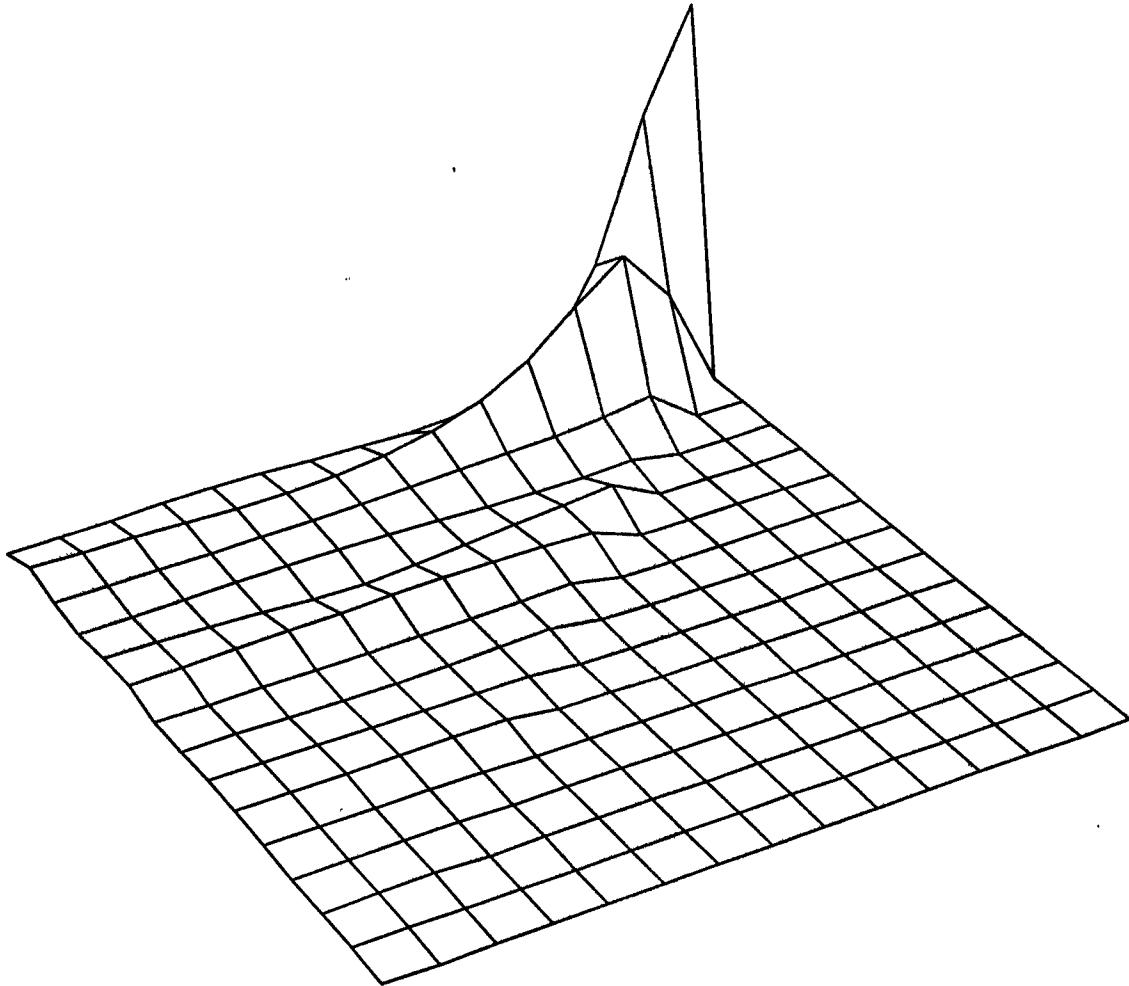
$$= \text{sum} 00+\text{E}000.0 = \text{sum}$$

A8S69 quis

10+E000.S = xamq 00+E000.0 = pimq 10+E000.S = xamx 00+E000.0 = pimx

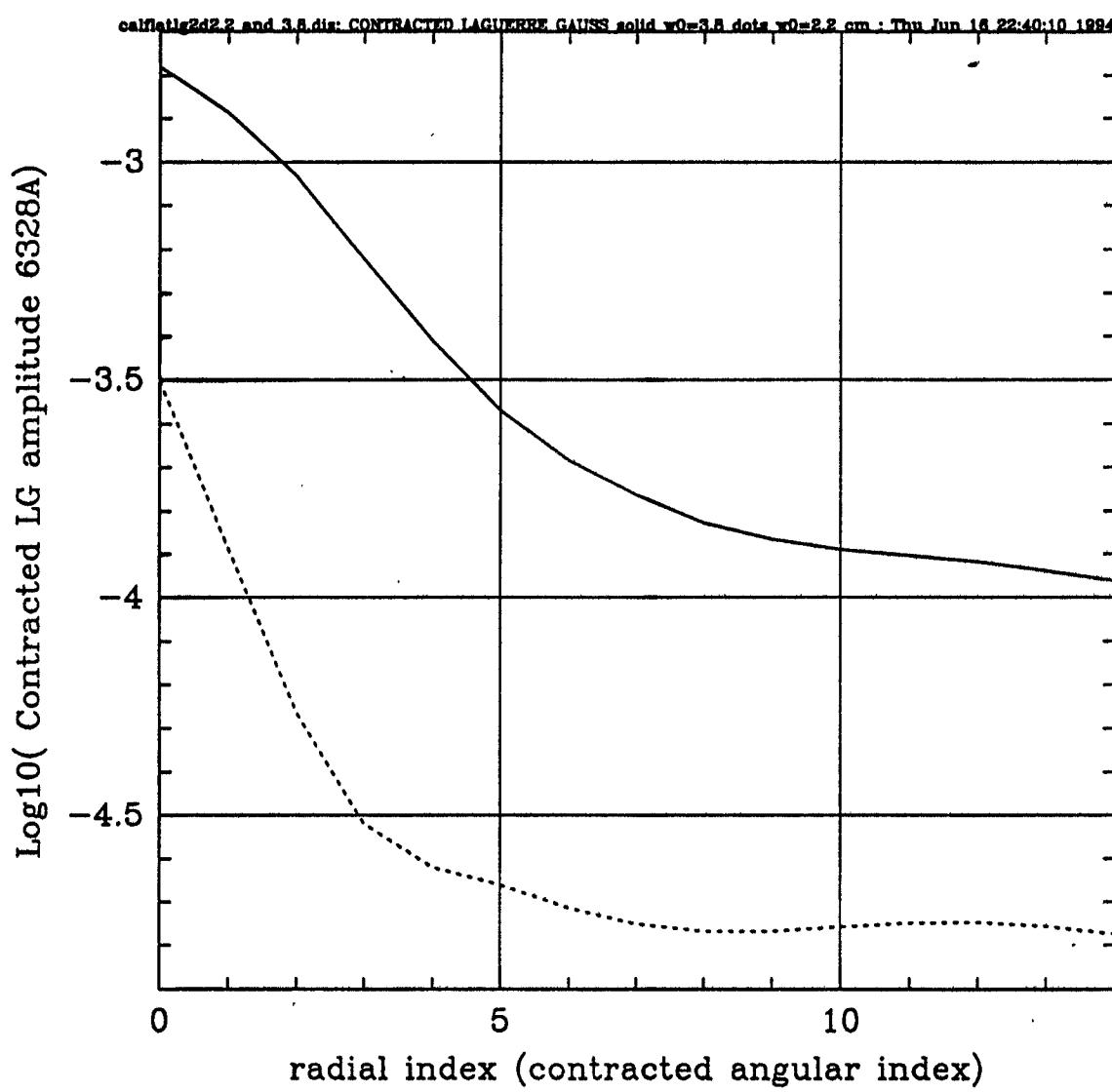
11

- he must have



0+000E+0 = xsmq 00+0000.0 = nlmq 10+000E+0 = 1.500E+0 = xsmx 00+0000.0 = nlmx q\m

29.8, ε to ε of hultus



calcfile 2,2,3,8 log 10

Quantity	Values					
RMS in central Surfaces	Zero	Zero	1/900	1/600	1/400	1/200
Imperfect Substrates (y/n)?	no	yes	yes	yes	yes	yes
A	100 ppm	100 ppm	100 ppm	100 ppm	100 ppm	100 ppm
T_Rec_best	2.65%	2.64%	3.25%	4.03%	5.83%	15.9%
T_input	2.99%	2.99%	2.99%	2.99%	2.99%	2.99%
L_asymm_best	.104675 m	.12 m	.122111 m	.135 m	.161555 m	.265838 m
P_laser	2.5 watts	2.5 watts	2.5 watts	2.5 watts	2.5 watts	2.5 watts
Detector Efficiency	.8	.8	.8	.8	.8	.8
P_carr_recyc_cav_00	37.88	37.85	30.758	24.805	17.158	6.305
P_carr_bright_00	36.88	36.86	29.762	23.808	16.149	5.306
P_carr_arm_on_00	2462.5	2460.8	1992.8	1600.2	1095.0	379.60
P_carr_arm_off_00	2462.5	2460.9	1993.7	1601.8	1097.8	383.53
P_carr_dark_00	2.884*10^-25	3.197*10^-12	1.447*10^-6	6.970*10^-6	3.112*10^-5	1.785*10^-4
P_carr_dark_total	2.613*10^-12	2.980*10^-6	1.933*10^-2	3.753*10^-2	6.462*10^-2	0.1122
Carr Contrast Defect	1.42*10^-13	1.62*10^-7	1.298*10^-3	3.146*10^-3	7.957*10^-3	4.109*10^-2
P_sb_bright_00	35.73	23.58	23.85	19.66	13.71	4.827
P_sb_dark_00	0.9846	0.8581	0.9017	0.9137	0.9242	0.9508
P_sb_dark_total	0.9846	0.9082	0.9405	0.9486	0.9560	0.9727
Gamma_best	.00106	.05433	.4671	.5432	.6126	.6882
Absolute Carr Asymm Pow	6.5*10^-9 mW	.0074 mW	43.3 mW	80.7 mW	133 mW	220 mW
Absolute Two-SB Asymm Pow	.00138mW	3.34 mW	242.9 mW	324.9 mW	408 mW	511 mW
h(DC)	4.86*10^-24	5.01*10^-24	6.18*10^-24	7.18*10^-24	9.09*10^-24	1.66*10^-23
h(100Hz)	7.25*10^-24	7.48*10^-24	9.21*10^-24	1.07*10^-23	1.35*10^-23	2.43*10^-23

EQUIV ADDITIONAL LOSS DUE TO MIRROR ROUGHNESS (nm) 1.12 25.7 56.8 131 565

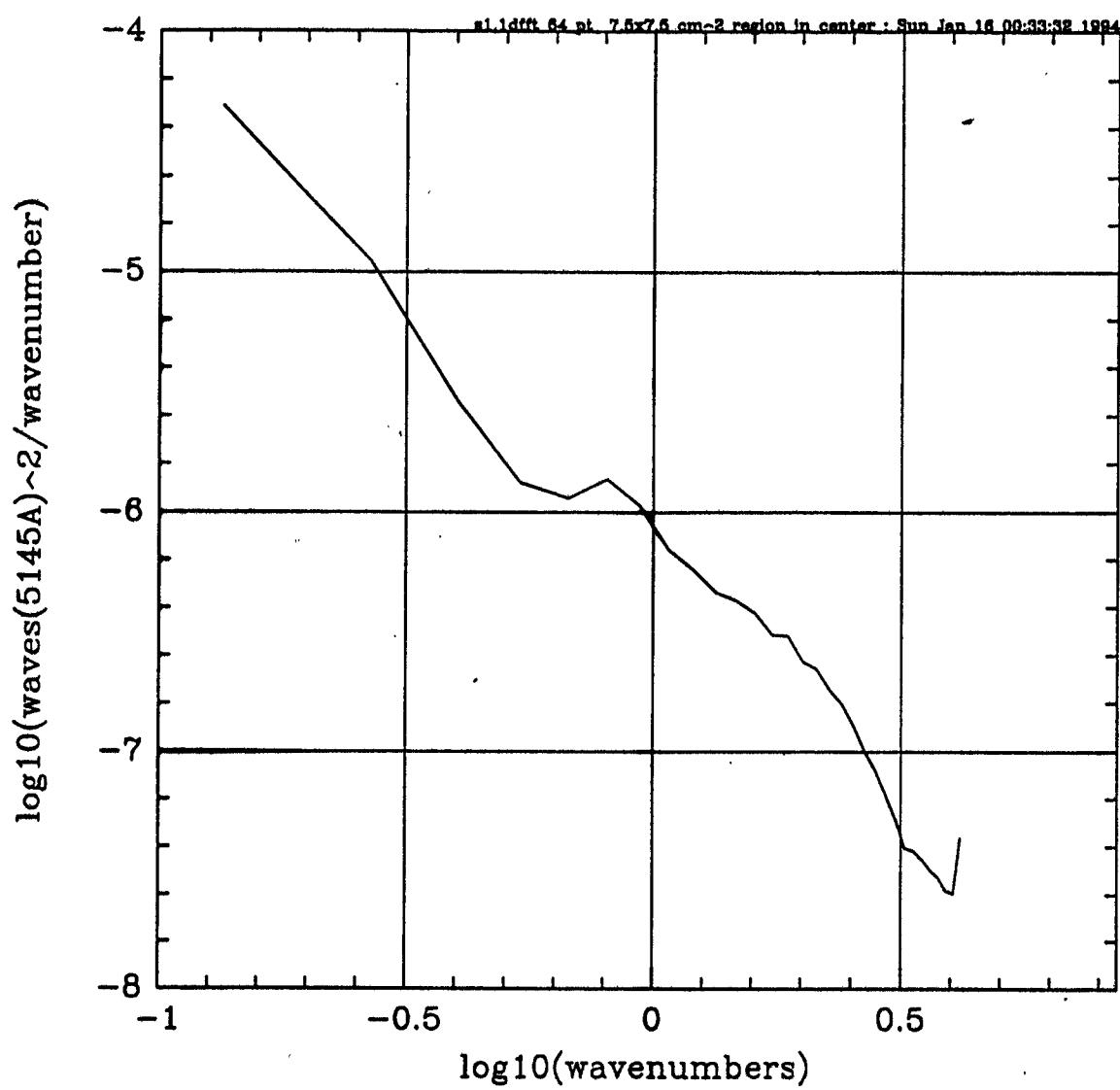
Repeat the h(f) calculations, now using an Output Mode Cleaner (i.e. all non-TEM00 modes at the asymmetric beamsplitter port are eliminated):

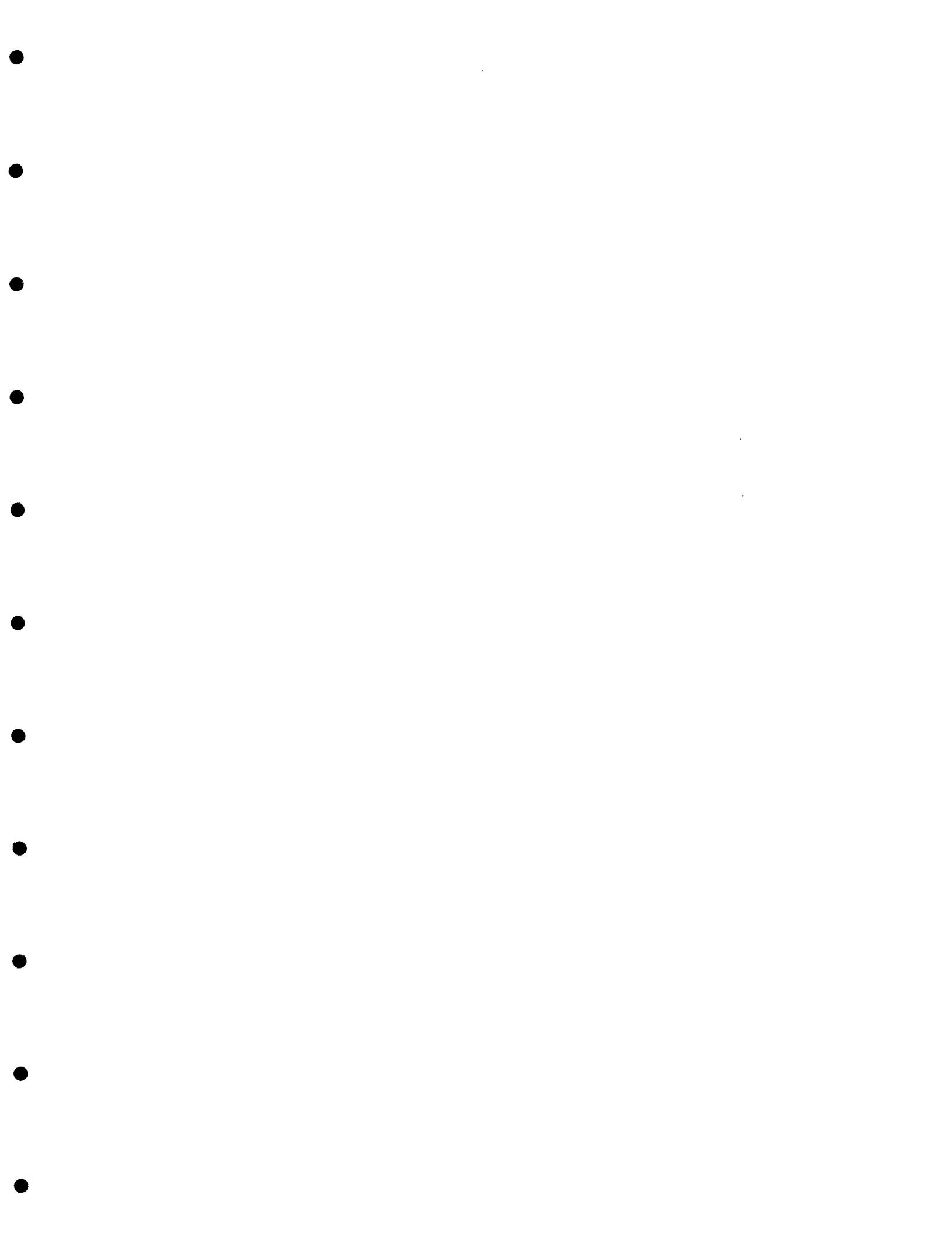
Gamma_best_MC	.00062	.00142	.0454	.0671	.0972	.1491
Absolute Carr Asymm Pow (only TEM00 remains)	7.2*10^-22 mW	8.0*10^-9 mW	.0036 mW	.017 mW	.077 mW	.441 mW
Absolute Two-SB Asymm Pow (only TEM00 remains)	.00047 mW	.0021 mW	2.33 mW	5.14 mW	10.9 mW	26.3 mW
h_MC(DC)	4.86*10^-24	4.87*10^-24	5.42*10^-24	6.07*10^-24	7.39*10^-24	1.30*10^-23
h_MC(100Hz)	7.25*10^-24	7.26*10^-24	8.08*10^-24	9.03*10^-24	1.10*10^-23	1.90*10^-23

Input/Output Data For FFT Simulation Program:**Run Data and Results**

(All runs below are done with the lambda/400 Calflat surfaces, and the originally faked, non-rescaled substrates.)

Quantity	Values		
	50 ppm	100 ppm	150 ppm
A			
RMS in Central Surfaces	1/400	1/400	1/400
T_Rec_best	4.56%	5.83%	7.08%
T_input	2.99%	2.99%	2.99%
L_asymm_best	.145 m	.161555 m	.177 m
P_laser	2 watts	2 watts	2 watts
Gamma_best	.6494	.6126	.5844
P_carr_recyc_cav_00	21.924	17.158	14.113
P_carr_bright_00	20.926	16.149	13.116
P_carr_arm_on_00	1409.3	1095.0	895.32
P_carr_arm_off_00	1412.9	1097.8	897.58
P_carr_dark_00	4.035*10^-5	3.112*10^-5	2.527*10^-5
P_carr_dark_total	8.351*10^-2	6.462*10^-2	5.263*10^-2
Carr Contrast Defect	7.936*10^-3	7.957*10^-3	7.979*10^-3
P_sb_dark_00	0.9076	0.9242	0.9363
P_sb_dark_total	0.9472	0.9560	0.9623
h(DC)	9.193*10^-24	1.02*10^-23	1.103*10^-23
h(150Hz)	1.774*10^-23	1.96*10^-23	2.119*10^-23

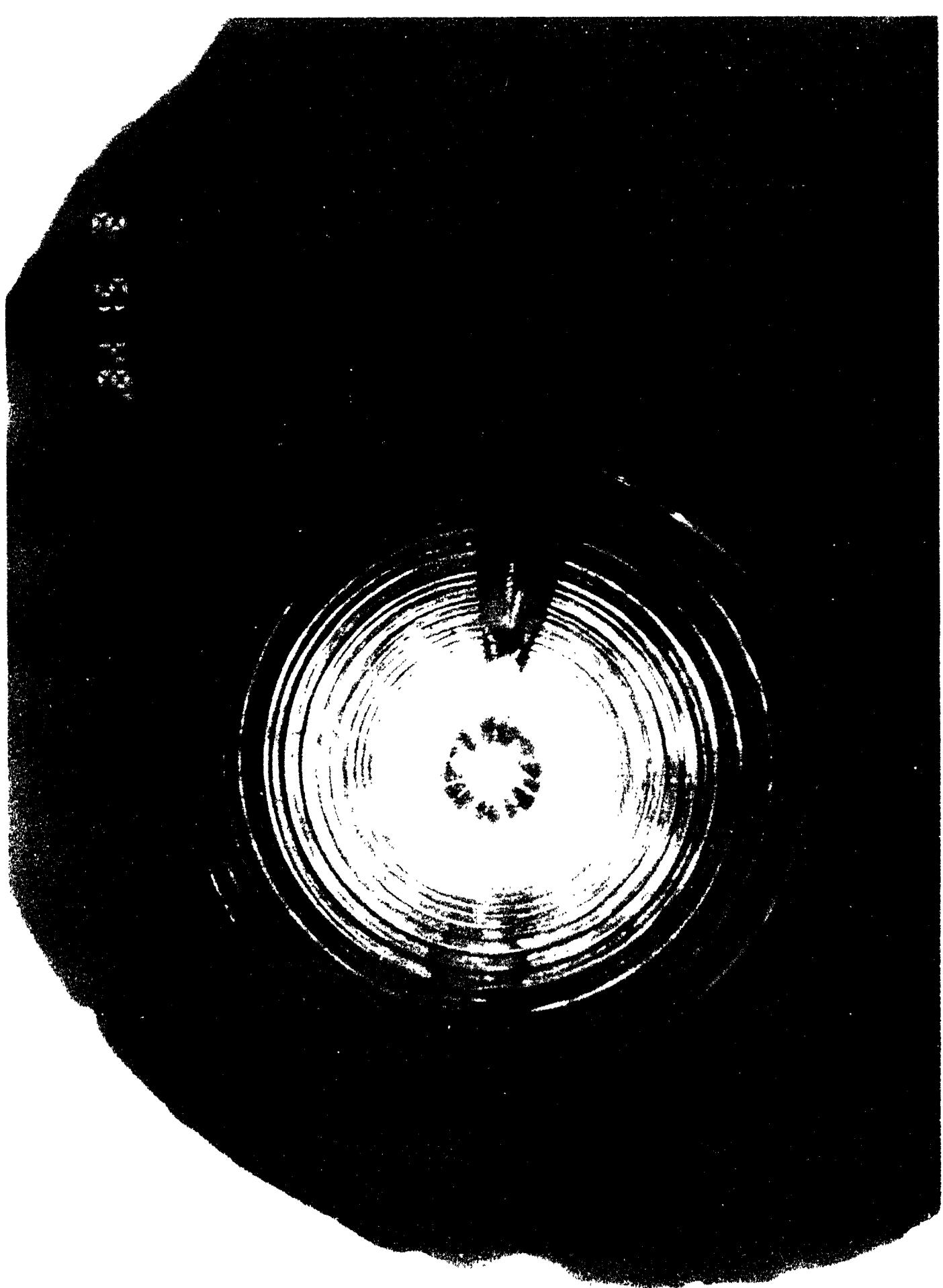




LIGO BEAM TUBE

MEASUREMENTS

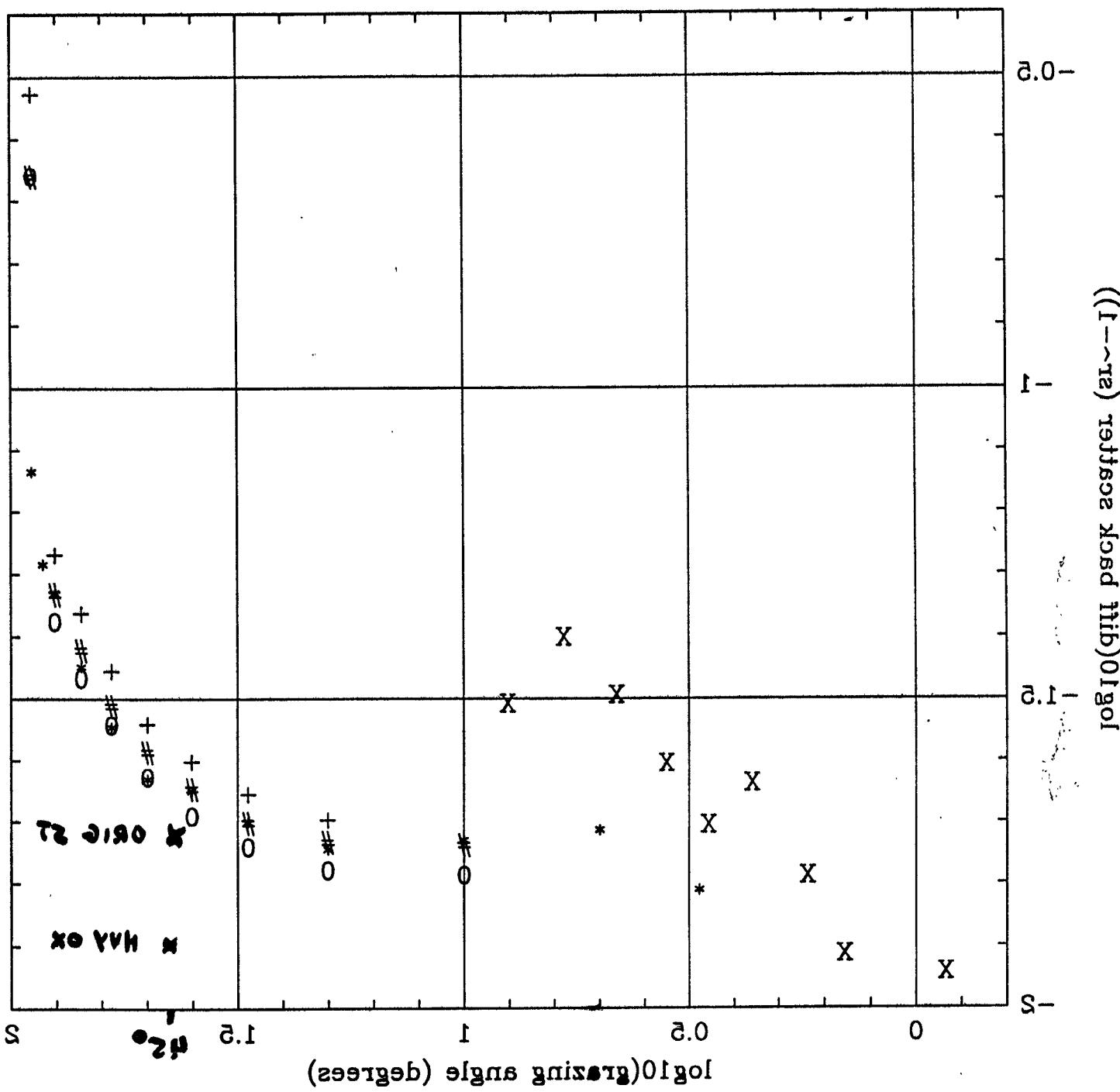
Rai Weiss



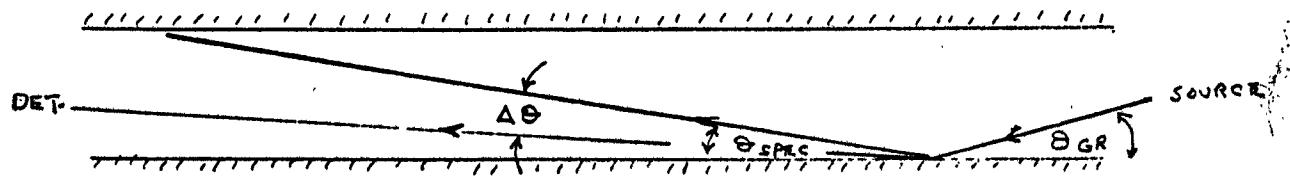
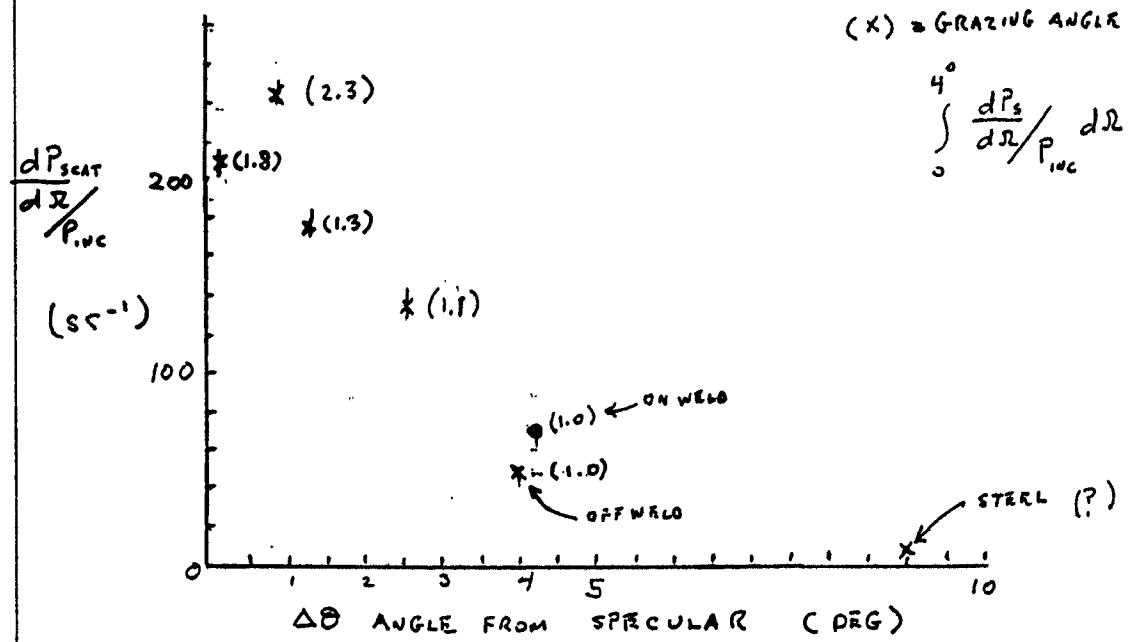




890 05:00:00 + last bew : X = 350.0=0.38m+-; Y= 30.0=0.38m+-; ZHEE: 1.5m



FORWARD SCATTERING FROM BEAM TUBE
PRELIMINARY DATA 1/04/95

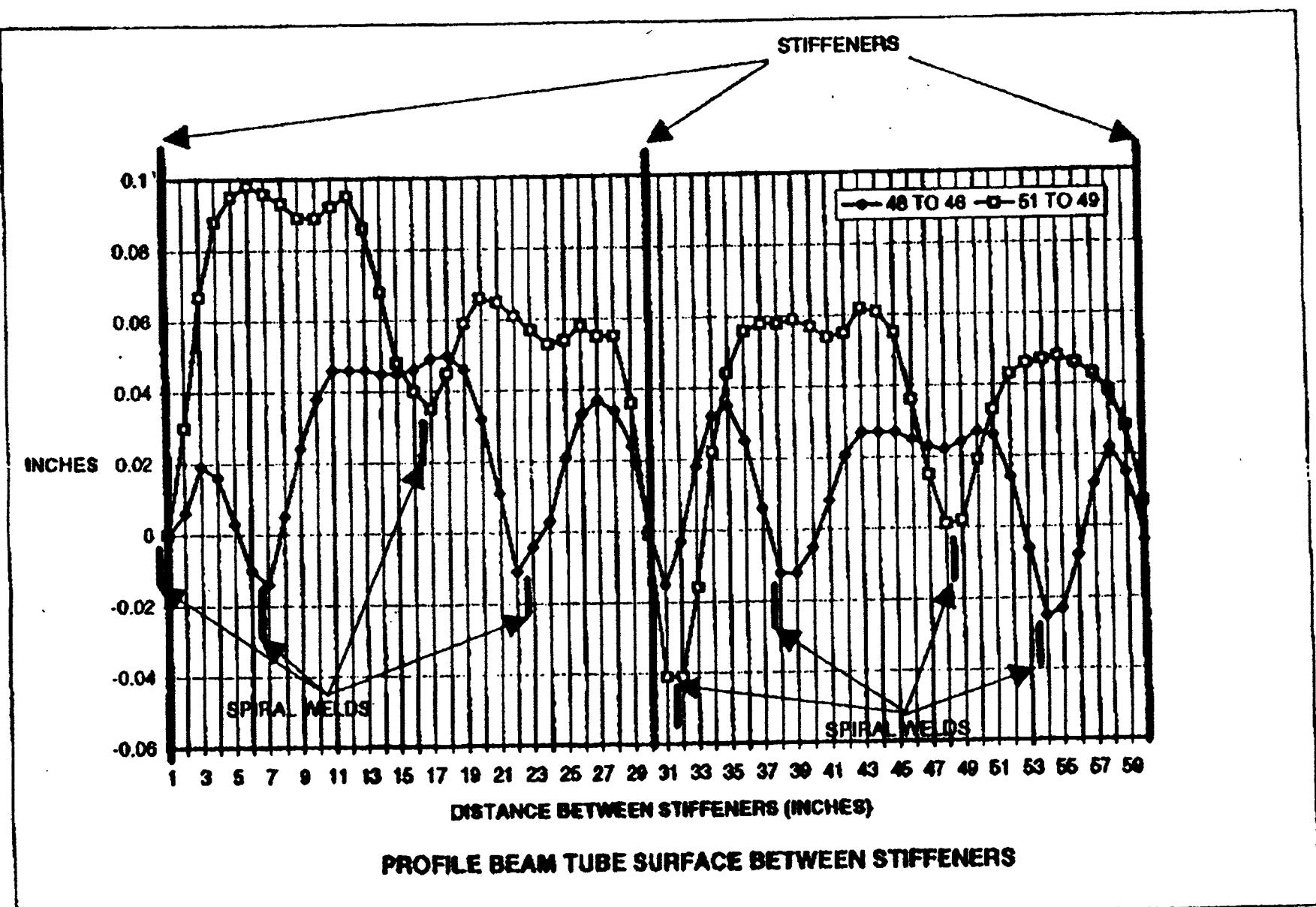


LASER
BEAM DIVERGENCE (FULL ANGLE) 1.65×10^{-3} RADIANS

NEED TO MAKE FURTHER MEASUREMENTS

- 1) $\Delta\theta > 5^\circ$ ON STEEL SAMPLE
- 2) TOTAL SCATTER
- 3) LOSS

DEC 22 1994 15:44 FR CBTSCU-PLHIII IELD 815 436 8345 10 18163304834



to: Vacuum group

from: D. Shoemaker and R. Weiss January 24, 1993

concerning: Backscatter measurements on steel and Martin black

BACKSCATTER APPROX
ITION) RRRCI

We have measured the backscatter of several surfaces at 5145 Angstroms for polarization perpendicular and parallel to the plane of incidence.

The surfaces are:

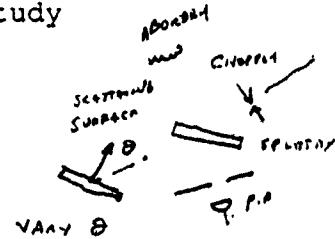
Martin black

"Original" steel used by BRO for stray light study

Heavy oxide steel blue/brown oxide surface

Bead blasted 7511C

Bead blasted 2311C



Material	5145 Angstroms		BRO MEASUREMENTS	6328 Angstroms MARTIN DATA
	BRDF 1/sr	theta inc polarization degrees		
Martin Bl	1.8e-3	0		
Martin Bl		45	unp	
Martin Bl	1.7e-3	45	45	
Martin Bl	2.2e-3	45	perp	
Martin Bl	1.5e-3	45	par	
Original	2->3e-1	0		1.0e-1 (adopted value)
Original	1.7->2e-2	45	45	1.0e-1 (adopted value)
Original	3->3.2e-2	45	perp	1.0e-1 (adopted value)
Original	1.6->1.8e-2	45	par	1.0e-1 (adopted value)
Heavy Ox	3.2->5.5e-2	0		2.7e-2
Heavy Ox	7.7->9.2e-3	45	45	
Heavy Ox	1.0->1.3e-2	45	perp	
Heavy Ox	1.1->1.4e-2	45	par	
Heavy Ox		45	unp	1.1e-2
Bead Bl 75	3.0->3.5e-1	0		4.8e-1
Bead Bl 75	2.1->2.2e-2	45	45	
Bead Bl 75	3.4->3.5e-2	45	perp	
Bead Bl 75	3.3->3.5e-2	45	par	
Bead Bl ?		45	unp	1->3e-2
Bead Bl 23	2.5->3.2e-1	0		
Bead Bl 23	1.9->2.3e-2	45	45	
Bead Bl 23	3.7->3.9e-2	45	perp	
Bead Bl 23	3.3->3.5e-2	45	par	

Measurement uncertainty in BRDF +- 1e-4 1/sr (due to fluctuation in background subtraction)

Conclusions: Assuming dominant contribution is back scatter.

Phase noise power derived from BRO stray light model to be corrected by multiplying by factor:

Original material	0.17	-	0.2
Heavy Oxide	0.10	-	0.14
Bead Blast 75	0.21	-	0.35
Bead Blast 23	0.19	-	0.39
Martin Black	0.015	-	0.022

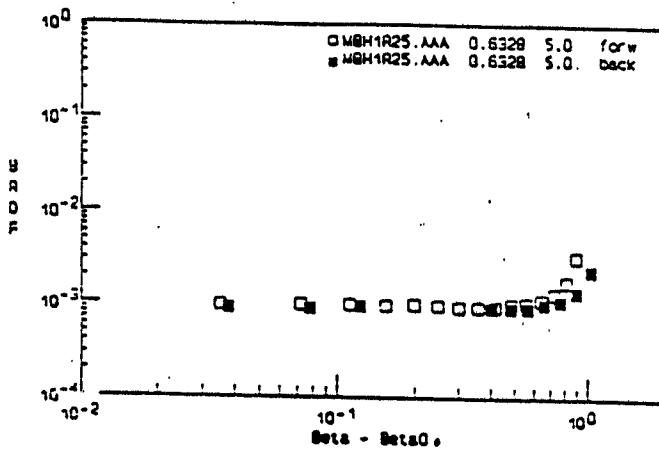


Figure 1. Visible BRDF of Martin Black (5°).

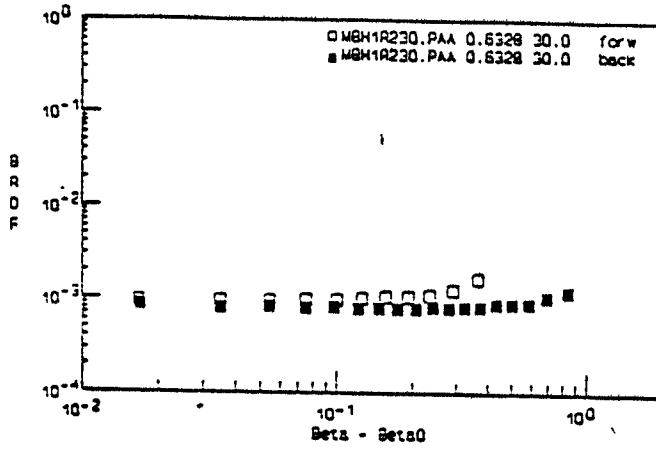


Figure 2. Visible BRDF of Martin Black (30°).

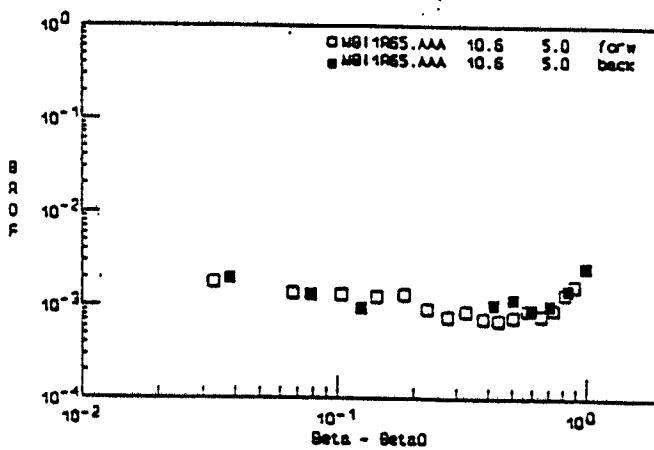


Figure 3. Infrared BRDF of Martin Black (5°).

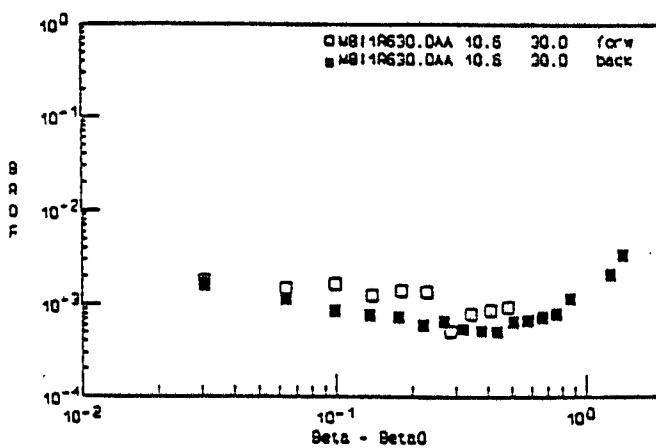
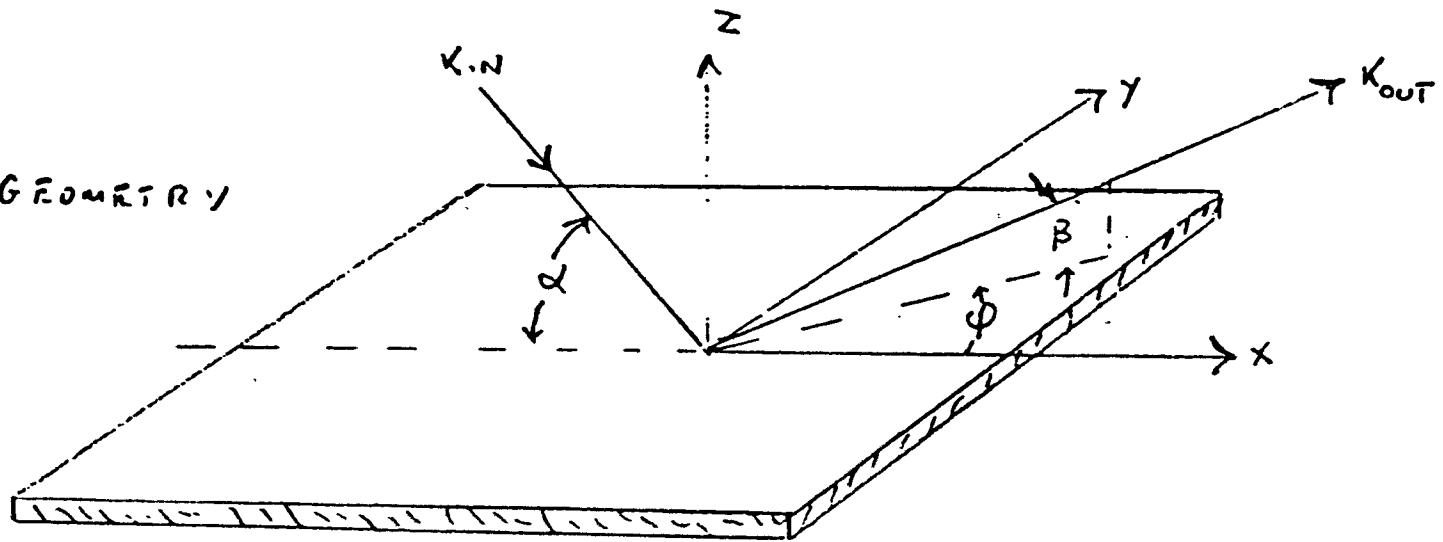


Figure 4. Infrared BRDF of Martin Black (30°).

SCATTERING GEOMETRY



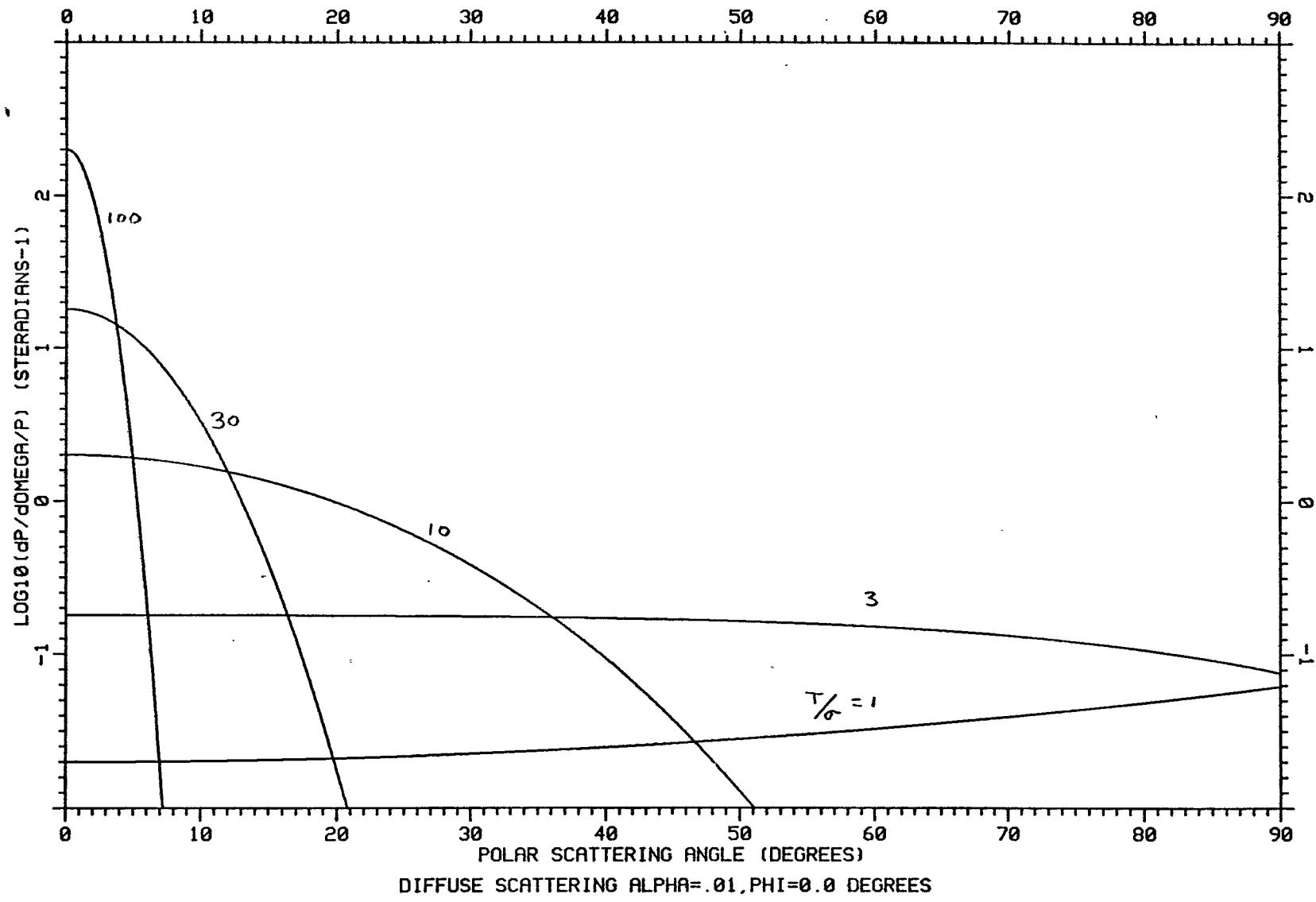


FIGURE 12

file:backscat010495.txt
to: file
from: R. Weiss January 4, 1995
concerning: Apparatus for scattering measurements from steel and beam tube

He-Ne laser with parameters:

lambda = 0.633 microns
power = 1.1 mW
w0 = 2.44×10^{-2} cm
z0 = 29.5 cm
Nominal beam divergence 1/2 angle = 8.3×10^{-4} radians

Back scattering collimating system:

mirror diameter = 8.57 cm
hole in mirror = 0.80 cm
focal length = 100 cm
detector distance = 100 cm
Si detector = 0.3×0.3 cm²
Band pass filter at 0.6328 micron
on resonance transmission = 0.85
bandwidth = 1.1×10^{-3} micron

Forward scattering system:

Angular acceptance of detecting system = 11 degrees
Defining aperture at detector = 0.746 cm
Photomultiplier detector RCA (Burle) 4903
aperture = 3.35 cm
spectral response = S20

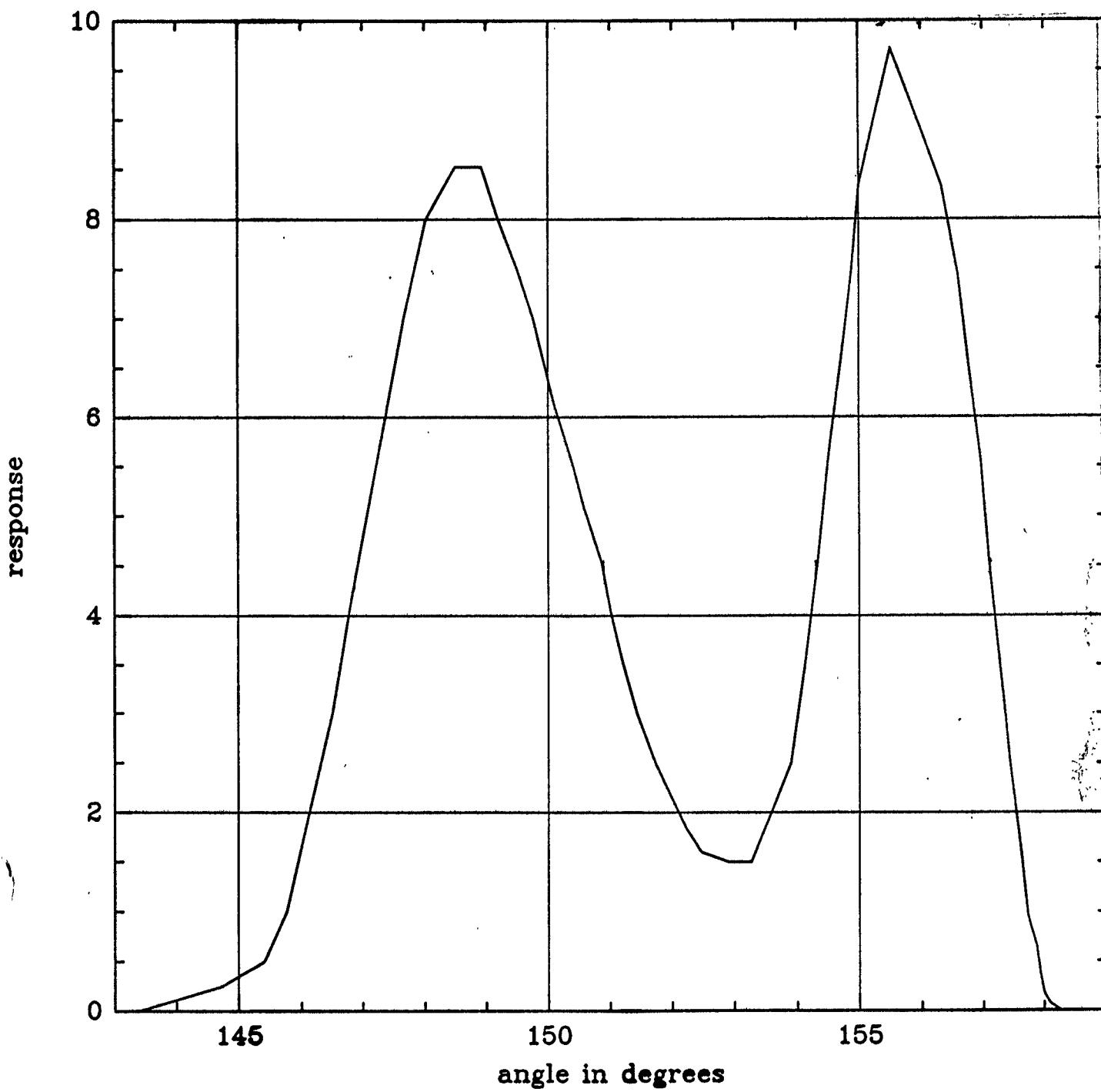
Electronics:

Mechanical chopper frequency = 343 Hz
Transimpedance amplifier: 1M, 10M, 100M (ohm); thermal noise limit on all
Detected noise equivalent power (100M) = 4.6×10^{-14} watts/sqrt(Hz)
Detection bandwidth = 5.3×10^{-1} Hz

Calibration:

Martin Black: $dP/d(\omega)/P_{inc}$ normal incidence = 2×10^{-3} sr⁻¹

Photomultiplier angular response : Mon Jan 2 22:36:50 1998



pmtangresp.ps