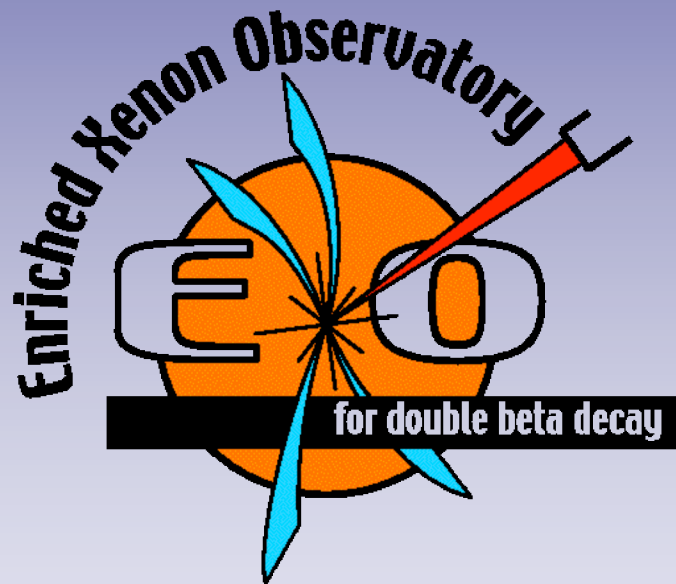
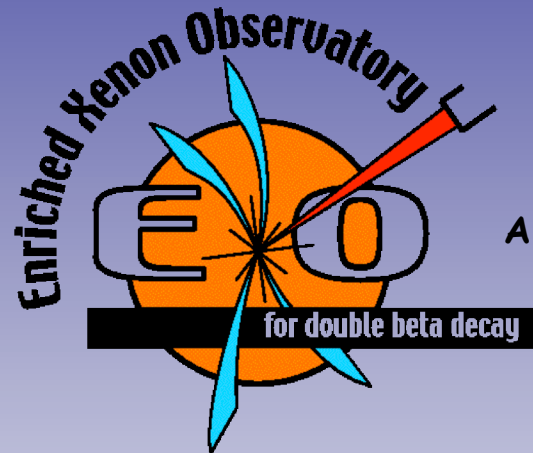


Weighing neutrinos: EXO & double beta decay



Sam Waldman
Stanford University

Enriched Xenon Observatory for double beta decay



Z. Djurcic, D. Leonard, A. Piepke

Physics Dept, University of Alabama, Tuscaloosa AL

P. Vogel

Physics Dept Caltech, Pasadena CA

A. Bellerive, M. Dixit, C. Hargrove, D. Sinclair

Carleton University, Ottawa, Canada

W. Fairbank Jr., S. Jeng, K. Hall

Colorado State University, Fort Collins CO

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D. Akimov, A. Burenkov, M. Danilov, A. Dolgolenko, A. Kovalenko, D. Kovalenko, G. Smirnov,

V. Stekhanov

ITEP Moscow, Russia

J. Farine, D. Hallman, C. Virtue

Laurentian University, Canada

M. Hauger, F. Juget, L. Ounalli, D. Schenker, J-L. Vuilleumier, J-M. Vuilleumier, P. Weber

Physics Dept University of Neuchatel, Neuchatel Switzerland

M. Breidenbach, R. Conley, C. Hall, A. Odian, C. Prescott, P. Rowson, J. Sevilla, K. Skarpaas,

K. Wamba,

SLAC, Menlo Park CA

E. Conti, R. DeVoe, G. Gratta, M. Green, T. Koffas, R. Leon, F. LePort, R. Neilson, Y. Uchida,

S. Waldman, J. Wodin

Physics Dept Stanford University, Stanford CA

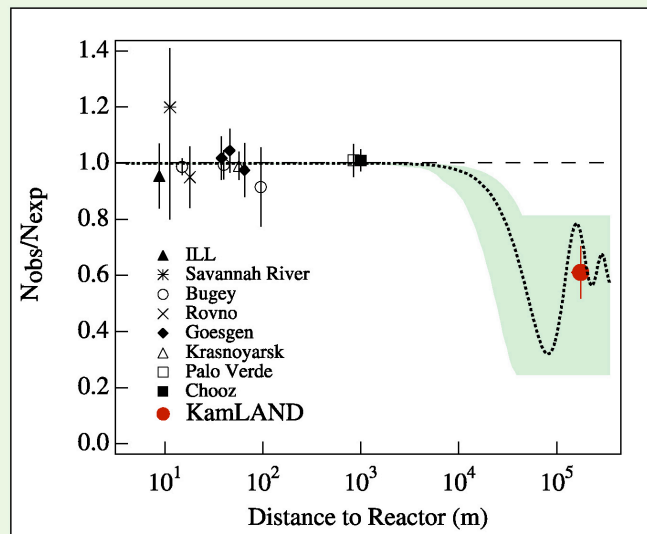
General Outline

- **Massive neutrinos**
 - Neutrino oscillations
 - Double beta decay
 - State of the art
- The EXO experiment
 - Proposal
 - Expected sensitivities
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 - Ion spectroscopy
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PHYSICAL REVIEW LETTERS

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Published by The American Physical Society

Oscillations observed in reactor $\bar{\nu}$'s

KamLAND antineutrino
spectrum rate *and* shape

Confirms LMA solar
neutrino solution in anti-
neutrino sector

K.Eguchi et al.,

Phys. Rev. Lett. 90 (2003) 021802

.Waldman

4

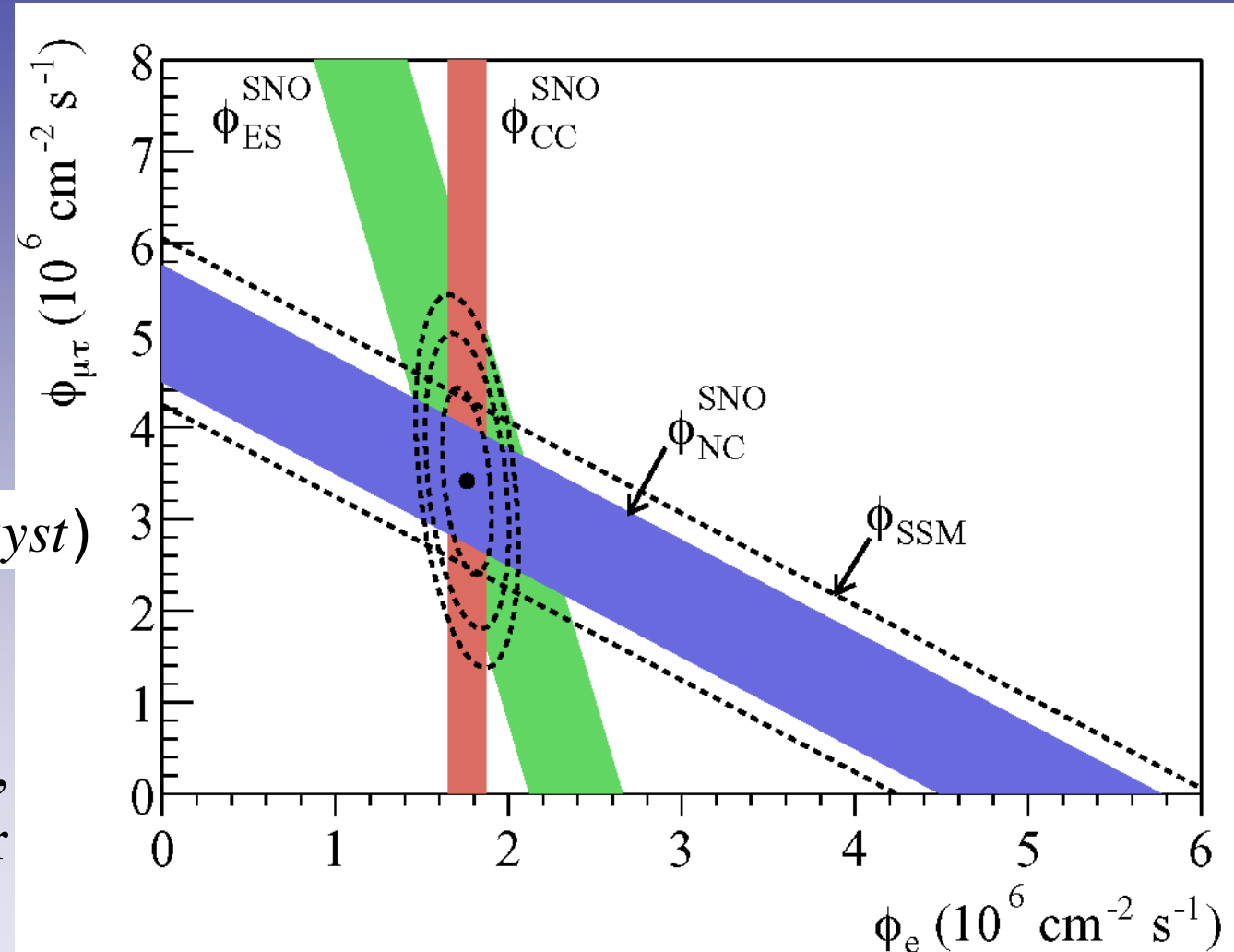
SNO measurement of ν flux

ν flux =

$$5.21^{+0.27}_{-0.27}(\text{stat})^{+0.36}_{-0.38}(\text{syst})$$

(nucl-ex/0309004)

solar ν_e are missing,
but the total number
of neutrinos is right



Phys. Rev.Lett. 89 (2002) 011301

Two-flavor ν oscillations

If flavor eigenstates are not mass eigenstates,
oscillations are possible:

$$P(\nu_e \rightarrow \nu_\mu, L) = \sin^2 2\theta \sin^2 \frac{1.3 \Delta m^2 L}{E}$$

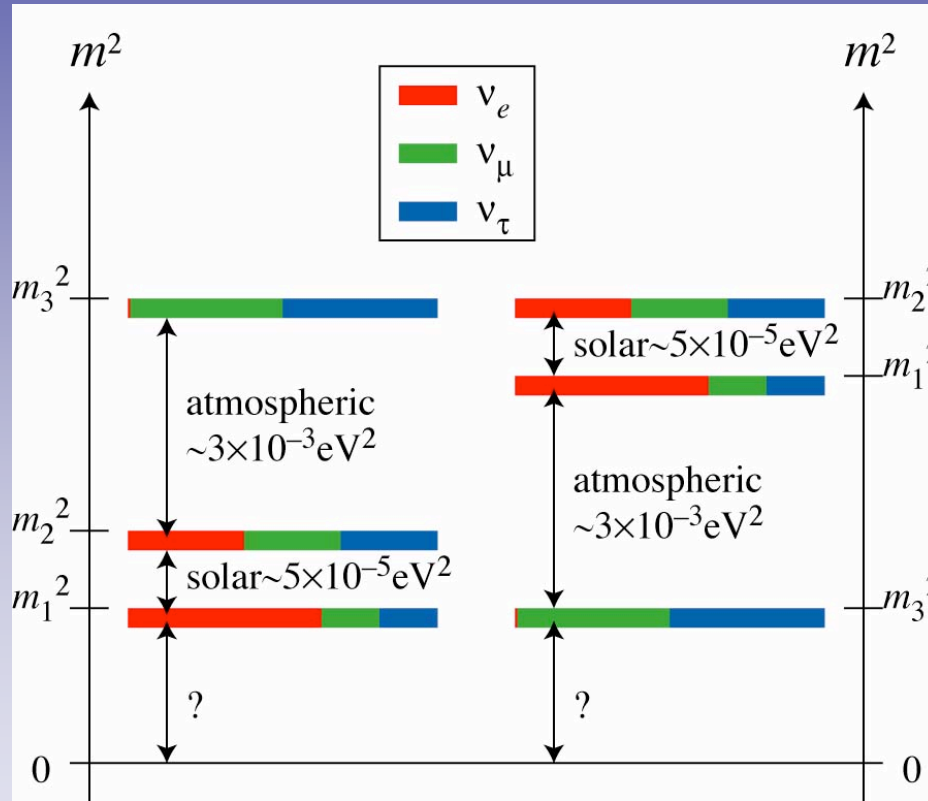
where $\Delta m^2 = m_1^2 - m_2^2$ [eV²]

L, ν propagation distance [km]

E, ν energy [MeV]

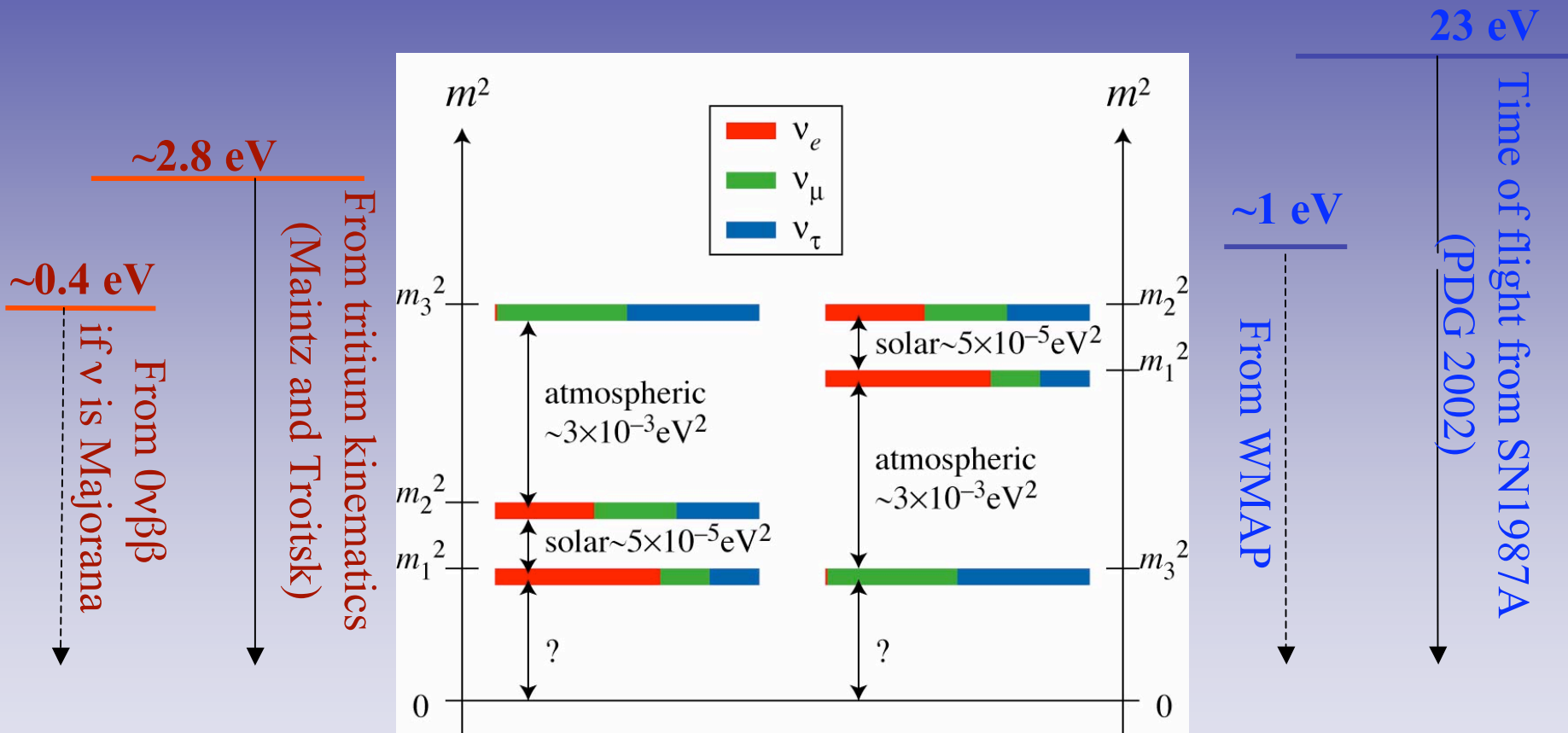
θ , ν mixing angle

ν oscillations are incomplete



No information about the absolute value of ν mass

ν oscillations are incomplete



No information about the absolute value of ν mass

Massive neutrinos: MNS matrix

Maki-Nakagawa-Sakata matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\alpha/2} & 0 \\ 0 & 0 & e^{-i\alpha/2+i\beta} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Massive neutrinos: MNS matrix

Maki-Nakagawa-Sakata matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{matrix} \text{Atmospheric } \nu \\ \text{K2K/Minos} \\ \theta_{23} \sim 45^\circ \end{matrix}$$

CP violation \rightarrow $\begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{matrix} \text{Chooz/Palo Verde} \\ \text{Superbeams, reactors} \\ \theta_{13} < 10^\circ @ 90\% \text{CL} \end{matrix}$

$$\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{matrix} \text{Solar } \nu \\ \text{KamLAND} \\ \theta_{12} \sim 30^\circ \end{matrix}$$

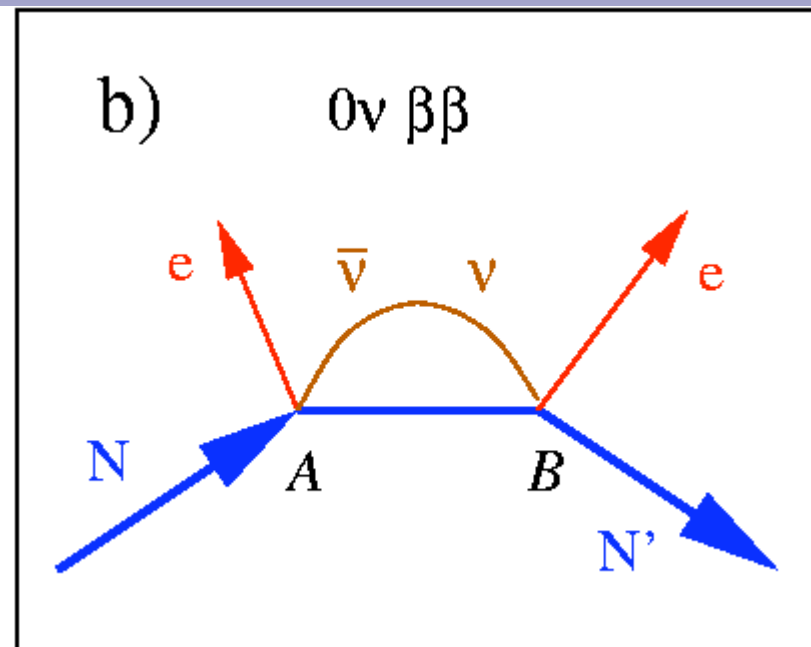
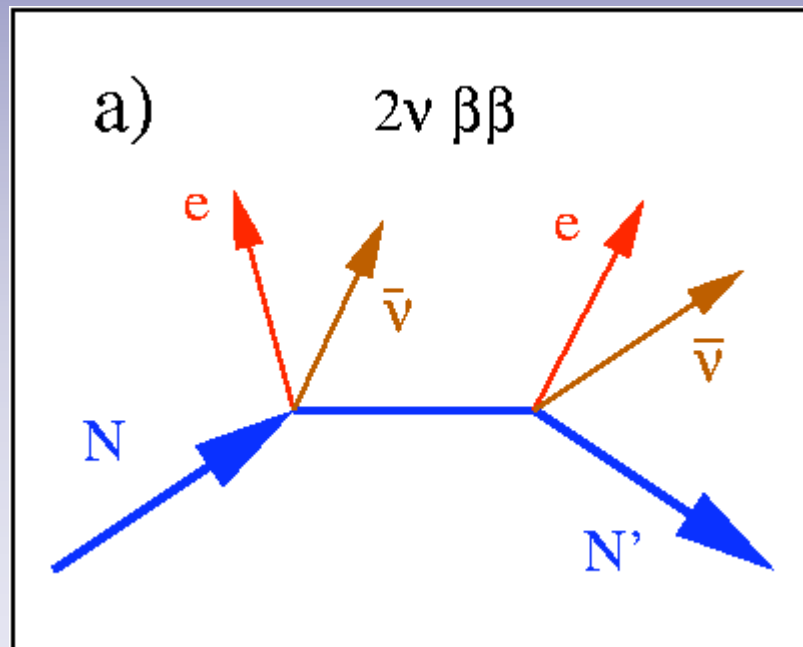
*assuming miniBoone
gives a negative
result...*

neutrinoless
double beta
decay $\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\alpha/2} & 0 \\ 0 & 0 & e^{-i\alpha/2+i\beta} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$

Double beta decay

The possibility of neutrinoless decay was first discussed in 1937

- *G. Racah, Nuovo Cimento 14 (1937) 322*
- *E. Majorana, Nuovo Cimento 14 (1937) 171*



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Requires massive
majorana ν 's

11

$0\nu\beta\beta$ half life probes m_ν

$$\langle m_\nu \rangle^2 = \left(T_{1/2}^{0\nu\beta\beta} G^{0\nu\beta\beta}(E_0, Z) \left| M_{GT}^{0\nu\beta\beta} - \frac{g_V^2}{g_A^2} M_F^{0\nu\beta\beta} \right|^2 \right)^{-1}$$

$$M_F^{0\nu\beta\beta} \text{ and } M_{GT}^{0\nu\beta\beta}$$

can be calculated within particular nuclear models

$$G^{0\nu\beta\beta}$$

a known phase space factor

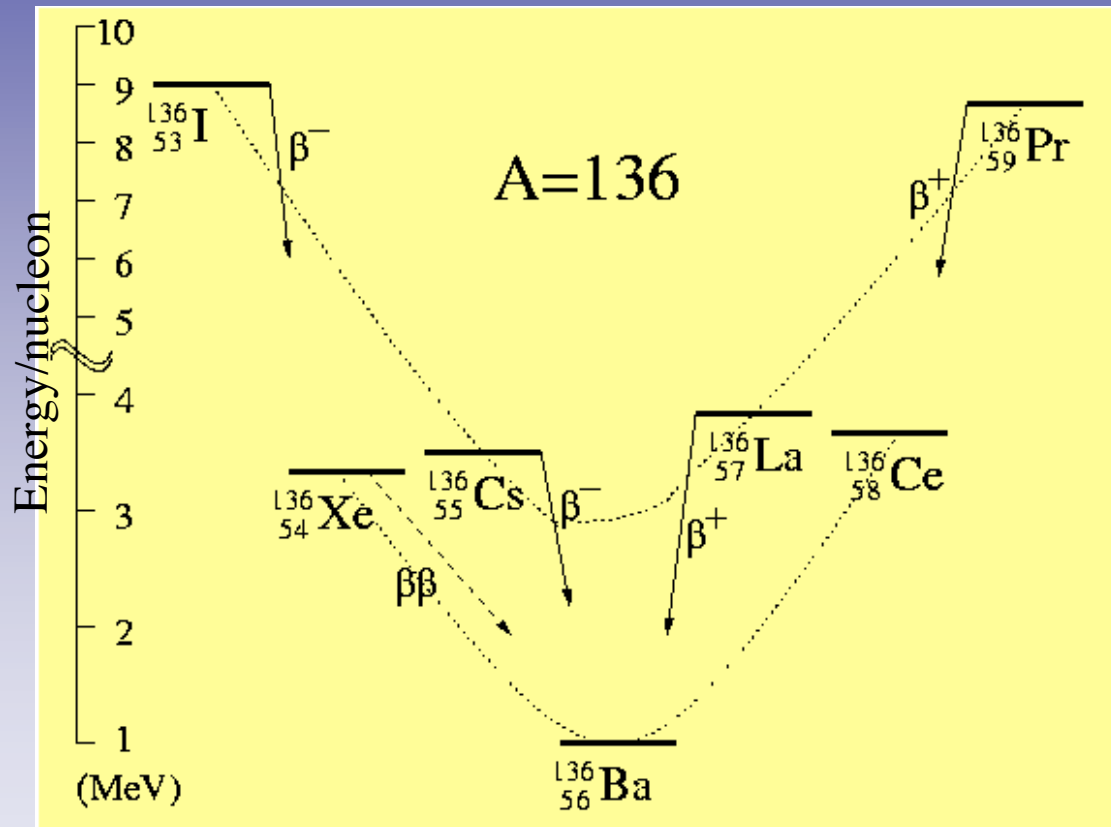
$$T_{1/2}^{0\nu\beta\beta}$$

is the quantity measured

$$\langle m_{\nu_e} \rangle = \left| \sum_{i=1}^3 U_{e,i}^2 m_i \epsilon_i \right|$$

effective Majorana ν mass
($\epsilon_i = \pm 1$ if CP is conserved)

Conditions for $\beta\beta$ decay



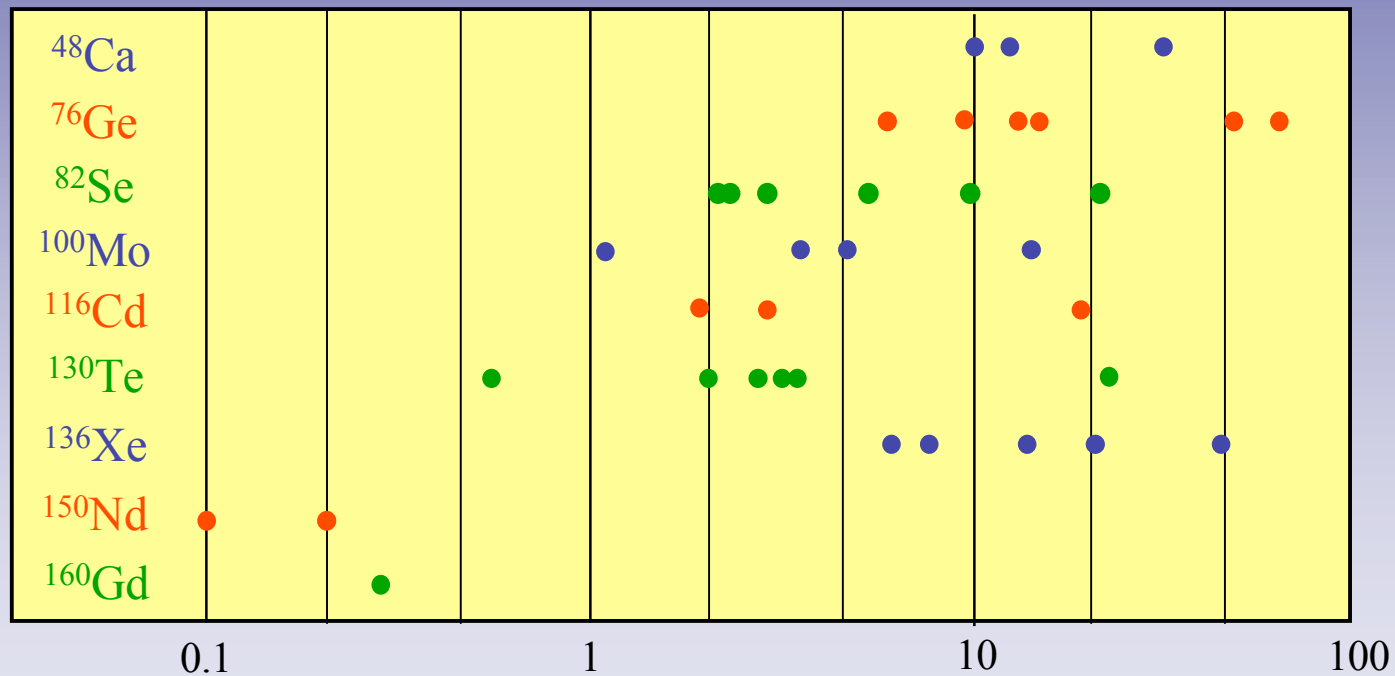
From Table of Isotopes

For $\beta\beta$ decay to be observed, β decay must be prohibited.

Limits available nuclei

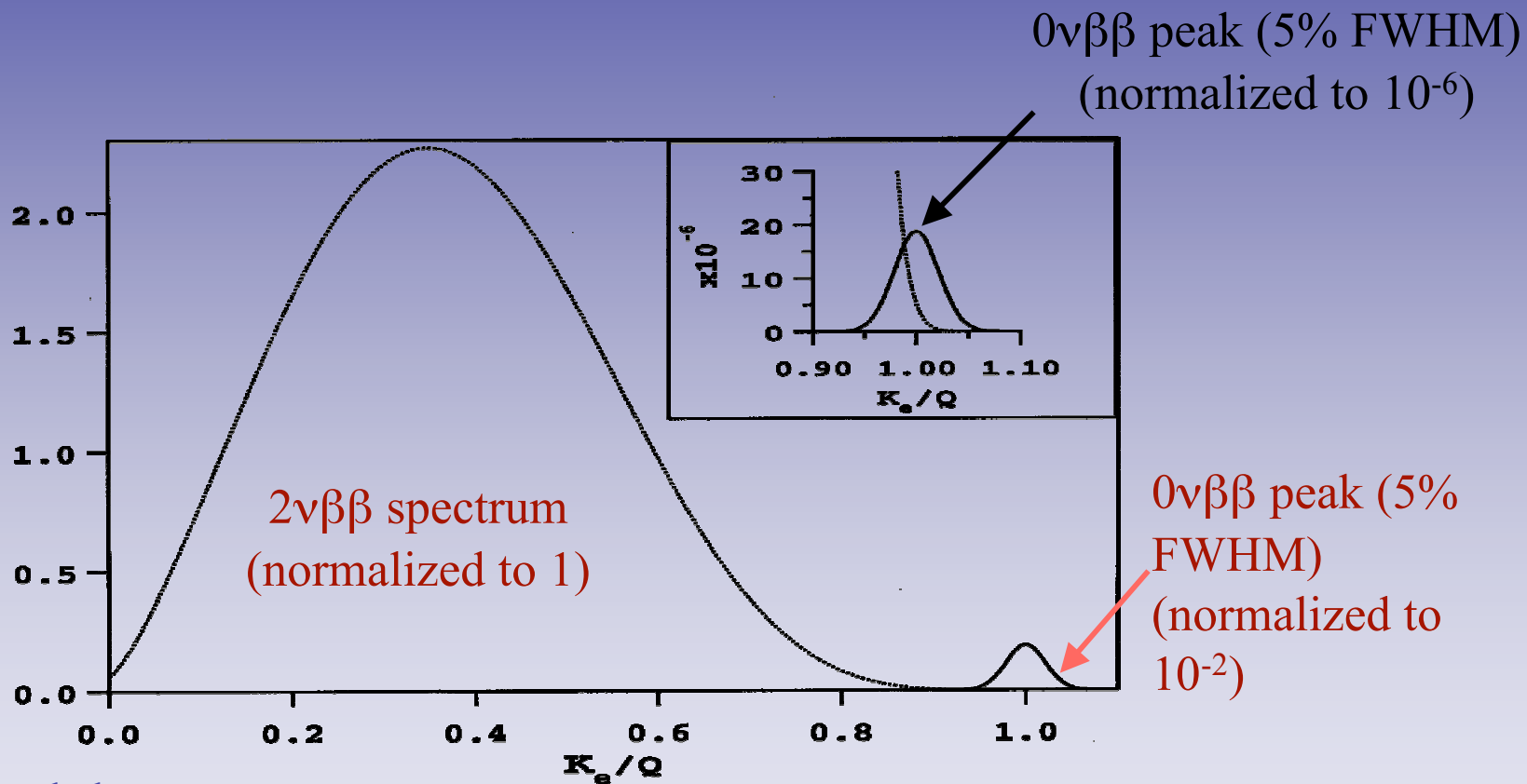
$0\nu\beta\beta$ matrix elements

Calculated $0\nu\beta\beta$ decay half lives in
 10^{26} yr units for $\langle m_\nu \rangle = 50$ meV



[adapted from S.R.Elliott & P.Vogel
Ann. Rev. Nucl. Part. Sci. 52 (2002) 115]

Two-neutrino background



Summed electron energy
in units of the kinematic endpoint (Q)

from S.R. Elliott and P. Vogel,
Ann.Rev.Nucl.Part.Sci. **52** (2002) 115.

Stochastic background

With no background, ν mass sensitivity only depends on the source mass and exposure time

$$T_{1/2}^{0\nu\beta\beta} \propto Nt \rightarrow \langle m_\nu \rangle \propto \frac{1}{\sqrt{Nt}}$$

Stochastic background

With no background, ν mass sensitivity only depends on the source mass and exposure time

$$T_{1/2}^{0\nu\beta\beta} \propto Nt \rightarrow \langle m_\nu \rangle \propto \frac{1}{\sqrt{Nt}}$$

For backgrounds that scale with detector size,
half life sensitivity depends on the Poisson statistics of
the background events:

$$T_{1/2}^{0\nu\beta\beta} \propto \sqrt{Nt} \rightarrow \langle m_\nu \rangle \propto \frac{1}{(Nt)^{1/4}}$$

Current $0\nu\beta\beta$ results

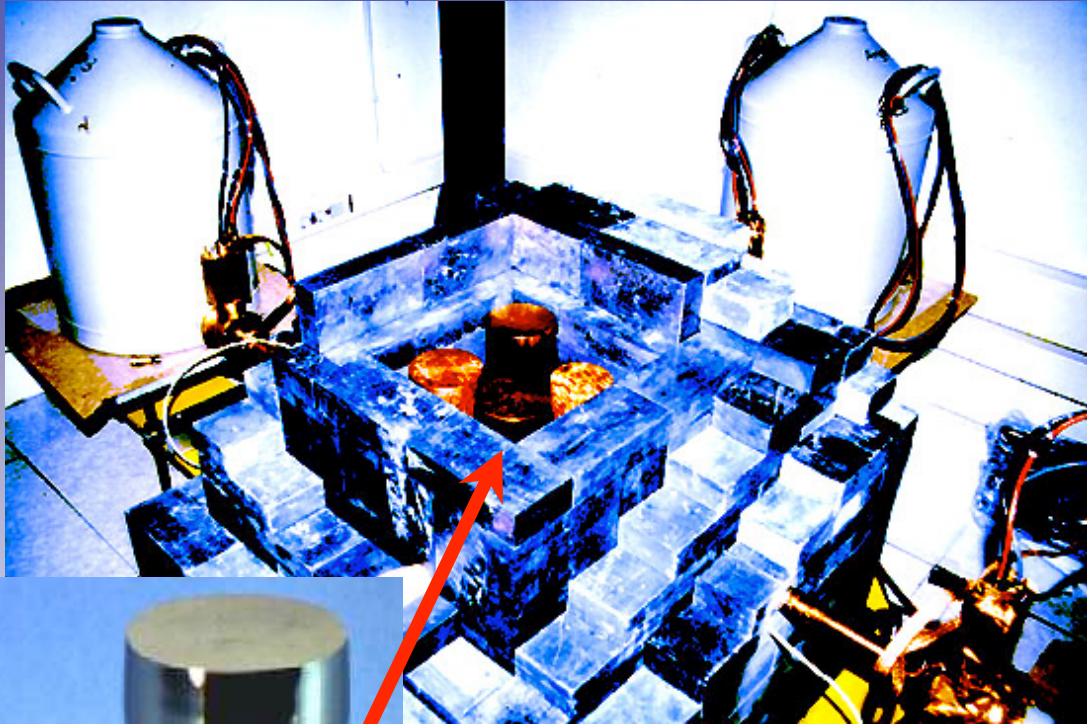
Nucleus	Detector	(kg yr)	Present $T_{1/2}^{0\nu\beta\beta}$ (yr)	$\langle m \rangle$ (eV)
^{48}Ca			$>9.5 \cdot 10^{21}$ (76%CL)	
$^{76}\text{Ge}^\dagger$	Ge diode	~ 30	$>1.9 \cdot 10^{25}$ (90%CL)	$< 0.39^{+0.17}_{-0.28}$
^{82}Se			$>9.5 \cdot 10^{21}$ (90%CL)	
^{100}Mo			$>5.5 \cdot 10^{22}$ (90%CL)	
^{116}Cd			$>7.0 \cdot 10^{22}$ (90%CL)	
^{128}Te	TeO ₂ cryo	~ 3	$>1.1 \cdot 10^{23}$ (90%CL)	
^{130}Te	TeO ₂ cryo	~ 3	$>2.1 \cdot 10^{23}$ (90%CL)	$< 1.1 - 2.6$
^{136}Xe	Xe TPC	~ 10	$>1.2 \cdot 10^{24}$ (90%CL)	< 2.9
^{150}Nd			$>1.2 \cdot 10^{21}$ (90%CL)	
^{160}Gd			$>1.3 \cdot 10^{21}$ (90%CL)	

- Adapted from the Particle Data Group 2003

† Controversial claim of positive 0ν signal with $m = .39$ eV

c.f. Klapdor-Kleingrothaus *Mod. Phys Lett. A27 (2001) 2409*

Heidelberg-Moscow experiment



- 10.96 kG enriched, shielded HPGe detectors
- $Q = 2039 \text{ keV}$
- $\sim .1\%$ energy resolution
- Gran Sasso: 3500 mwe muon shield
- Use pulse-shape to remove multi-site events
- Remove backgrounds by modeling observed lines

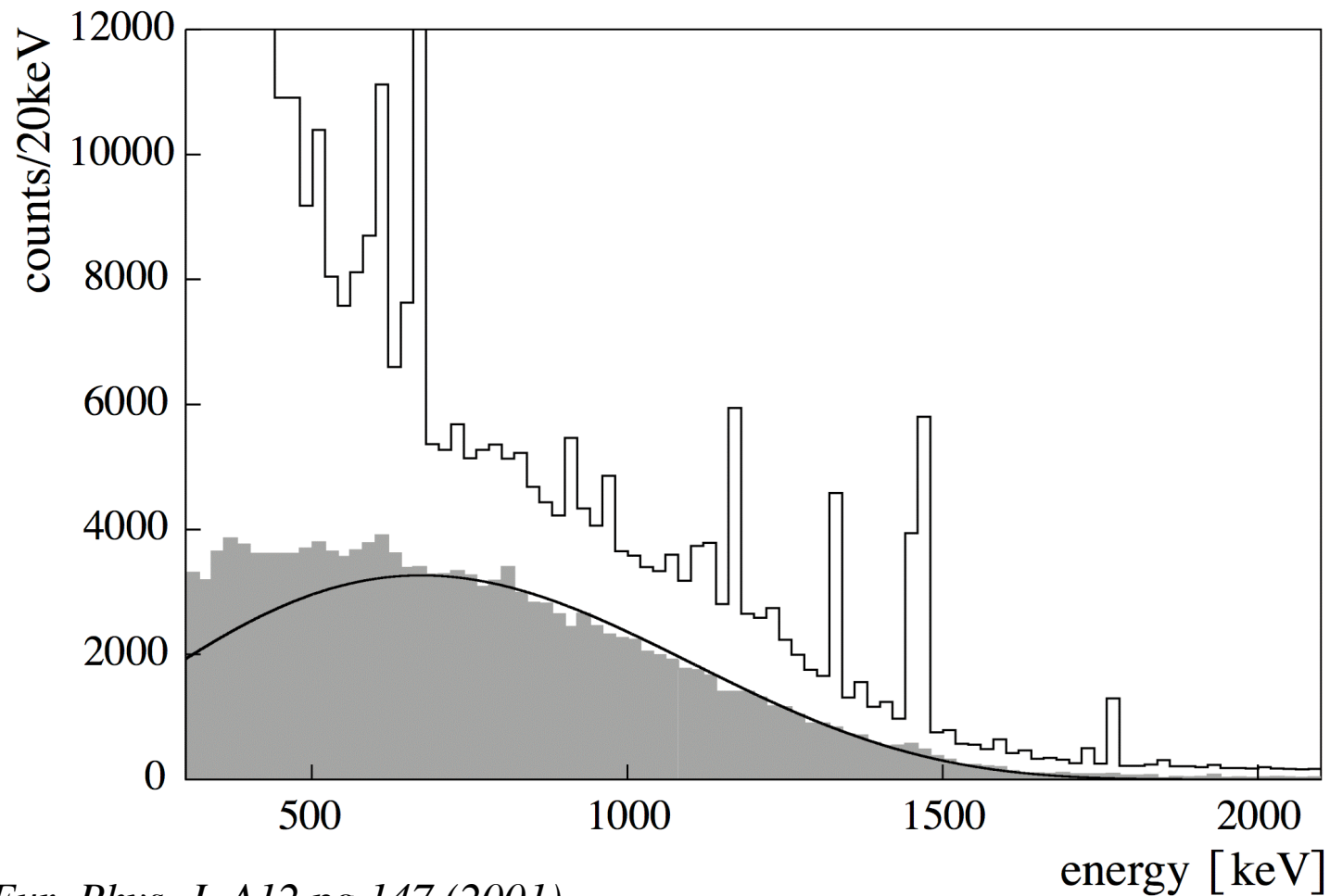
Eur. Phys. J. A12 pg 147 (2001)



www.mpi-hd.mpg.de/non_acc/bigpict.html

Heidelberg-Moscow results

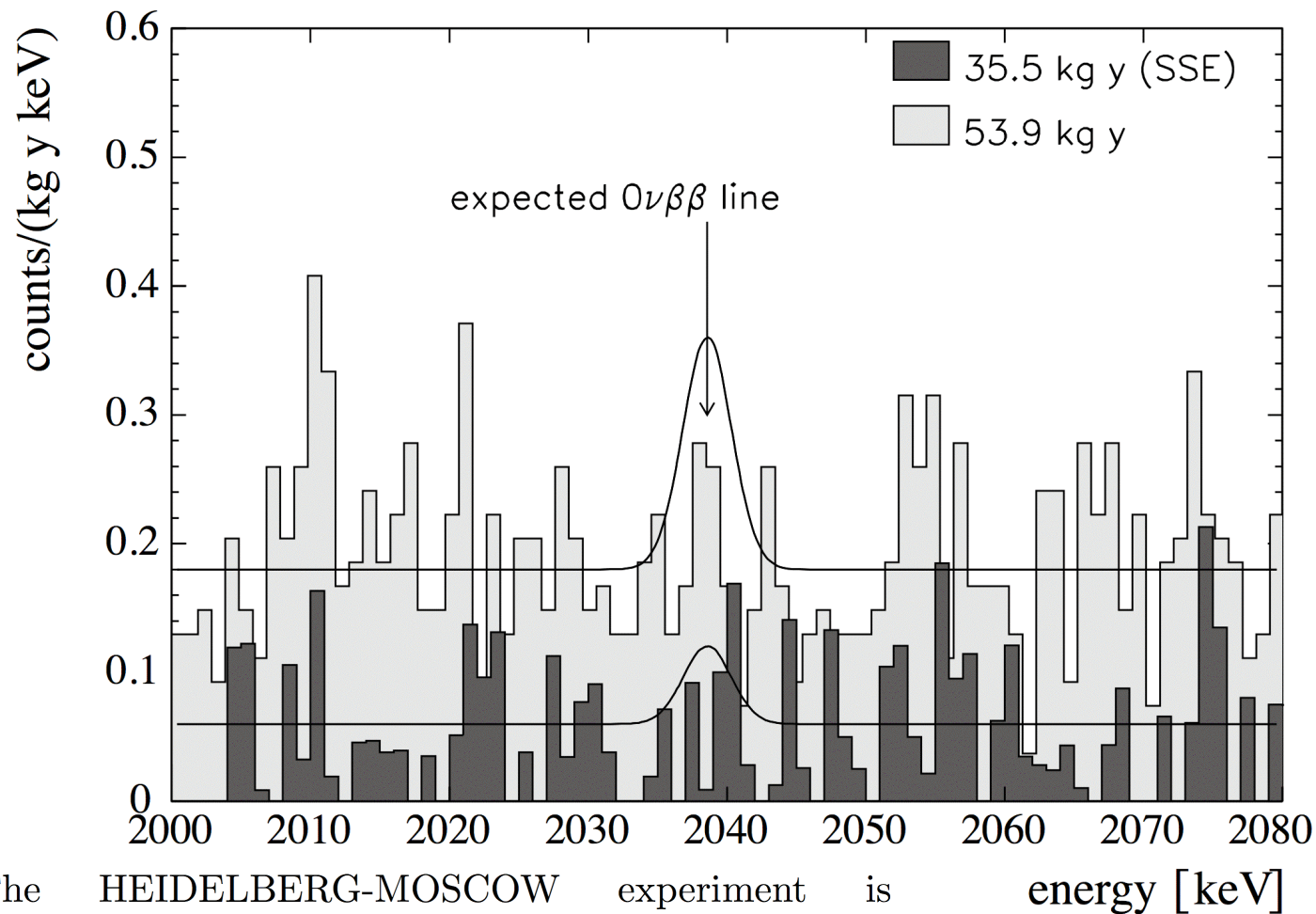
Two-neutrino



Eur. Phys. J. A12 pg 147 (2001)

Heidelberg-Moscow results

Zero-neutrino



The HEIDELBERG-MOSCOW experiment is presently giving the most stringent upper limit on the Majorana-neutrino mass, of 0.35 eV at 90% C.L. (0.27 eV at 68% C.L.).

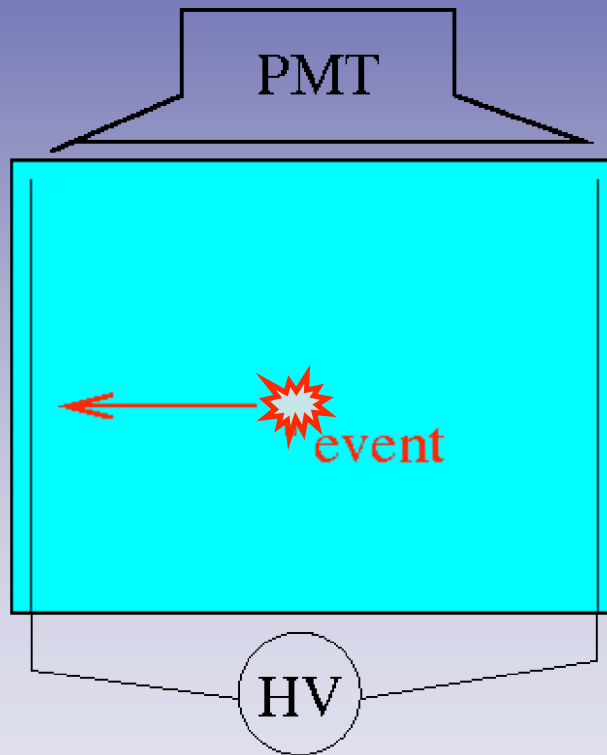
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 - Prototype design and construction

Xenon's attractive properties

- Xenon is a noble gas
 - No crystals
 - Continuous purification
 - Easy(er) purification
- No long lived cosmogenic isotopes
- Efficient ultracentrifuge enrichment

Homogenous Detector



- Source = detector
minimize backgrounds,
maximize source mass
- Xenon Time Projection Chamber (TPC)
- Can read out ionization,
scintillation, or both
- Liquid or gas phase

Xe offers a qualitatively new tool against background: $^{136}\text{Xe} \rightarrow ^{136}\text{Ba}^{++} + 2e^-$ final state can be identified using optical spectroscopy.

(M.Moe PRC44 (1991) 931)

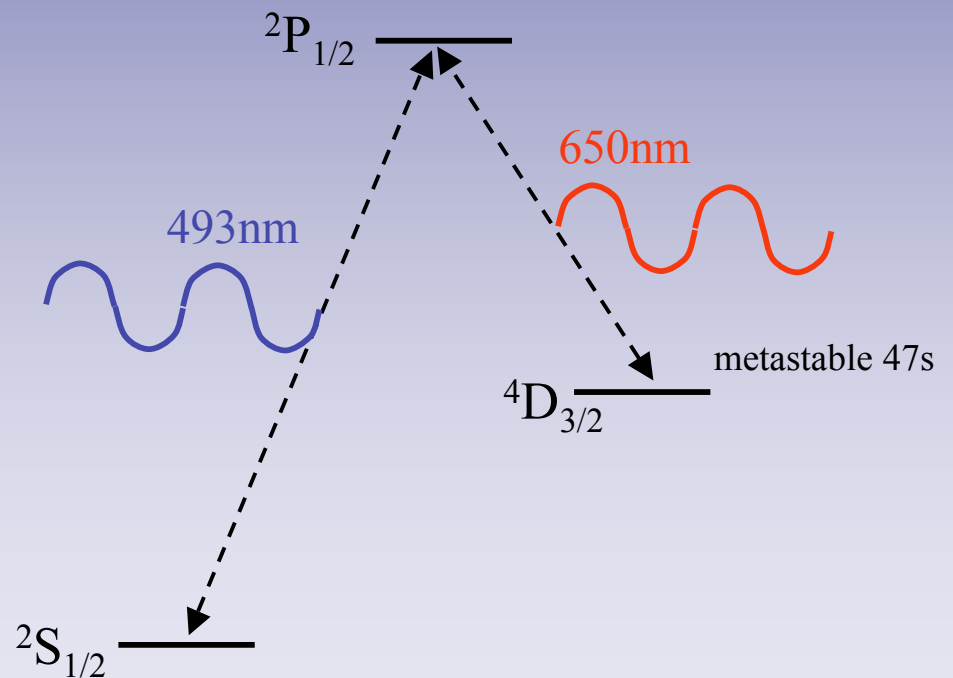
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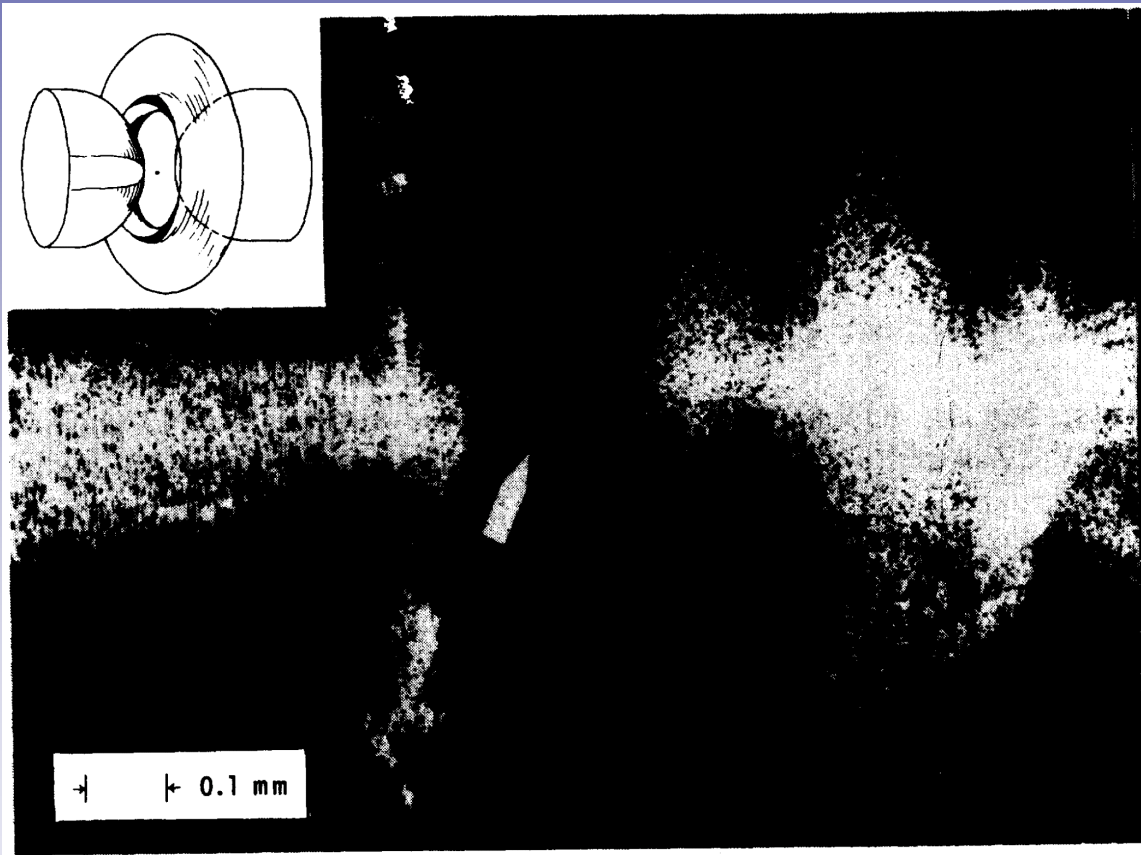
Ba^+ system well studied
(Neuhauser, Hohenstatt,
Toshek, Dehmelt 1980)

Very specific signature
“shelving”

Single ions can be detected
from a photon rate of $10^7/\text{s}$



Everyone's a critic



Neuhauser et.al.,
PRA22, 1137 (1980)

Single Ba⁺ ion

Localized

T ~ 30 mK

Assume an “asymptotic” fiducial mass of
10 tons of ^{136}Xe at 80% enrichment

A “natural” scale:

- World production of Xe is ~ 30 ton/yr
- $^{136}\text{Xe} \sim 8\%$ of natural xenon
- Detector size $\sim 5 \text{ m}^3$
- 2×10^3 source mass increase:
good match to the
 10^{-2} eV mass region

EXO m_ν sensitivity (I)

Assumptions:

- 1) 80% enrichment of ^{136}Xe
- 2) Intrinsic low background and barium tagging eliminates all radioactive background
- 3) Use energy resolution to separate the 0ν from 2ν modes
- 4) Use $T_{1/2} > 1 \cdot 10^{22} \text{yr}$ for $2\nu\beta\beta$ (Bernabei et al. measurement)

EXO m_ν sensitivity (II)

Case	Mass (ton)	Eff. (%)	Run Time (yr)	σ_E/E @ 2.5MeV (%)	$2\nu\beta\beta$ Background (events)	$T_{1/2}^{0\nu}$ (yr, 90%CL)	Majorana mass (meV) QRPA [‡] (NSM) [#]	
Conservative	1	70	5	1.6*	0.5 (use 1)	$2 \cdot 10^{27}$	33	(95)
Aggressive	10	70	10	1 [†]	0.7 (use 1)	$4.1 \cdot 10^{28}$	7.3	(21)

* $\sigma(E)/E = 1.6\%$ obtained in EXO R&D, Conti et al Phys Rev B 68 (2003) 054201

[†] $\sigma(E)/E = 1.0\%$ considered as an aggressive but realistic guess with large light collection area

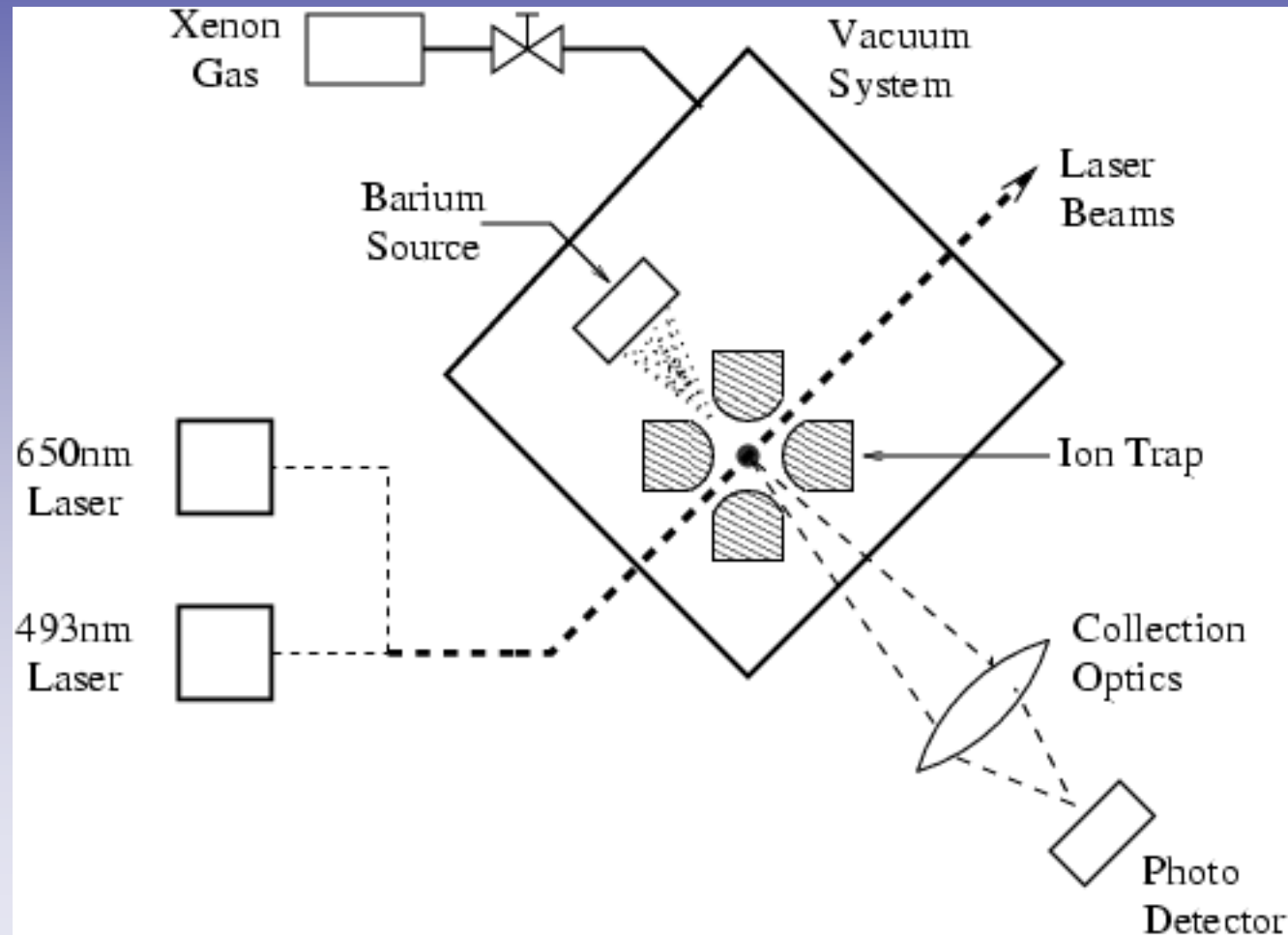
[‡] QRPA: A.Staudt et al. Europhys. Lett.13 (1990) 31; Phys. Lett. B268 (1991) 312

[#] NSM: E.Caurier et al. Phys Rev Lett 77 (1996) 1954

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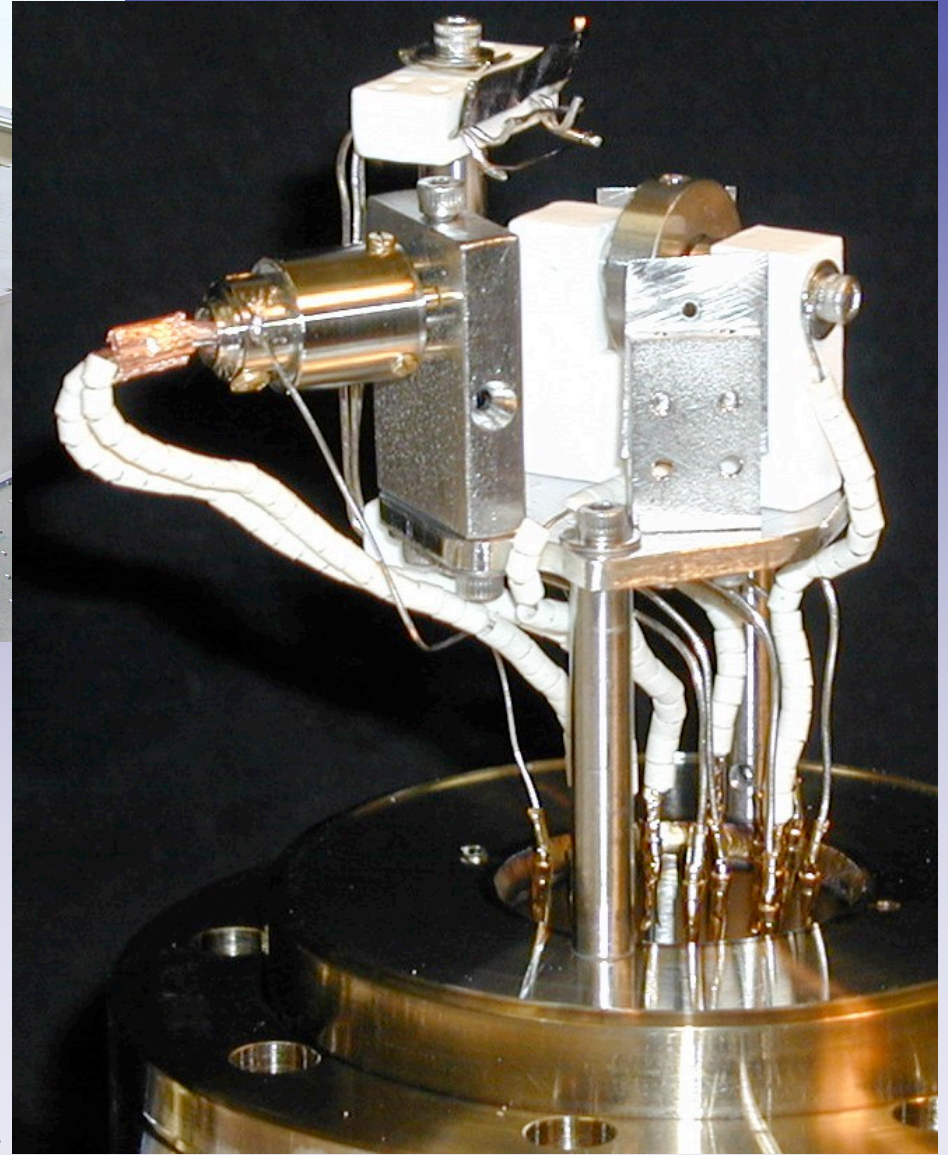
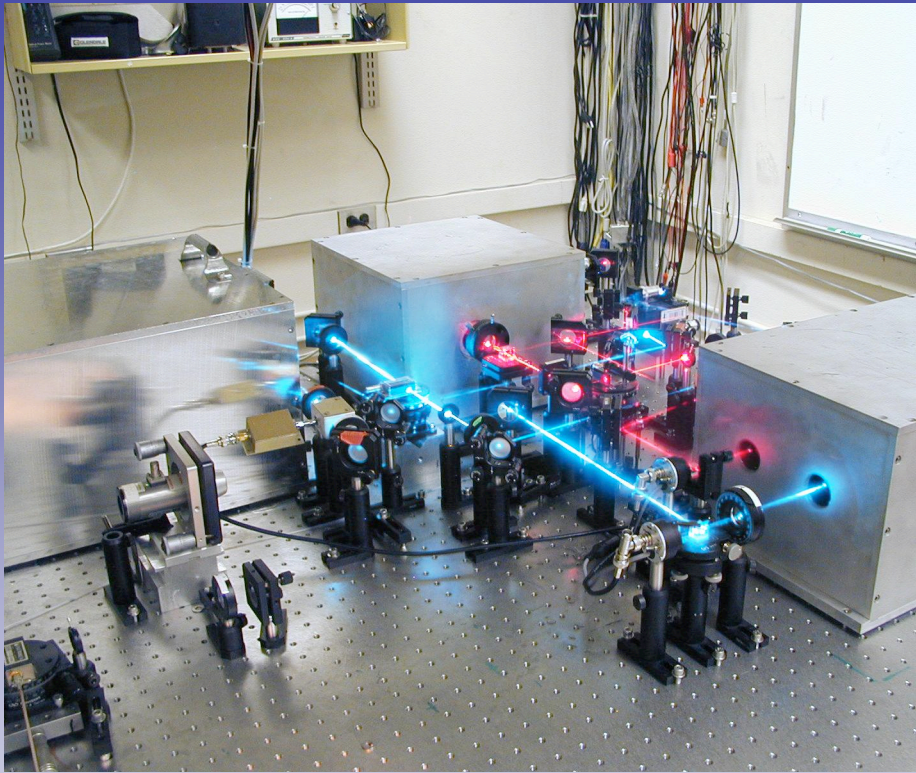
UHV single ion spectroscopy



RF ion trap ~
revolved
quadrupole
mass filter

$P = 10^{-10}$ torr
to .1 torr

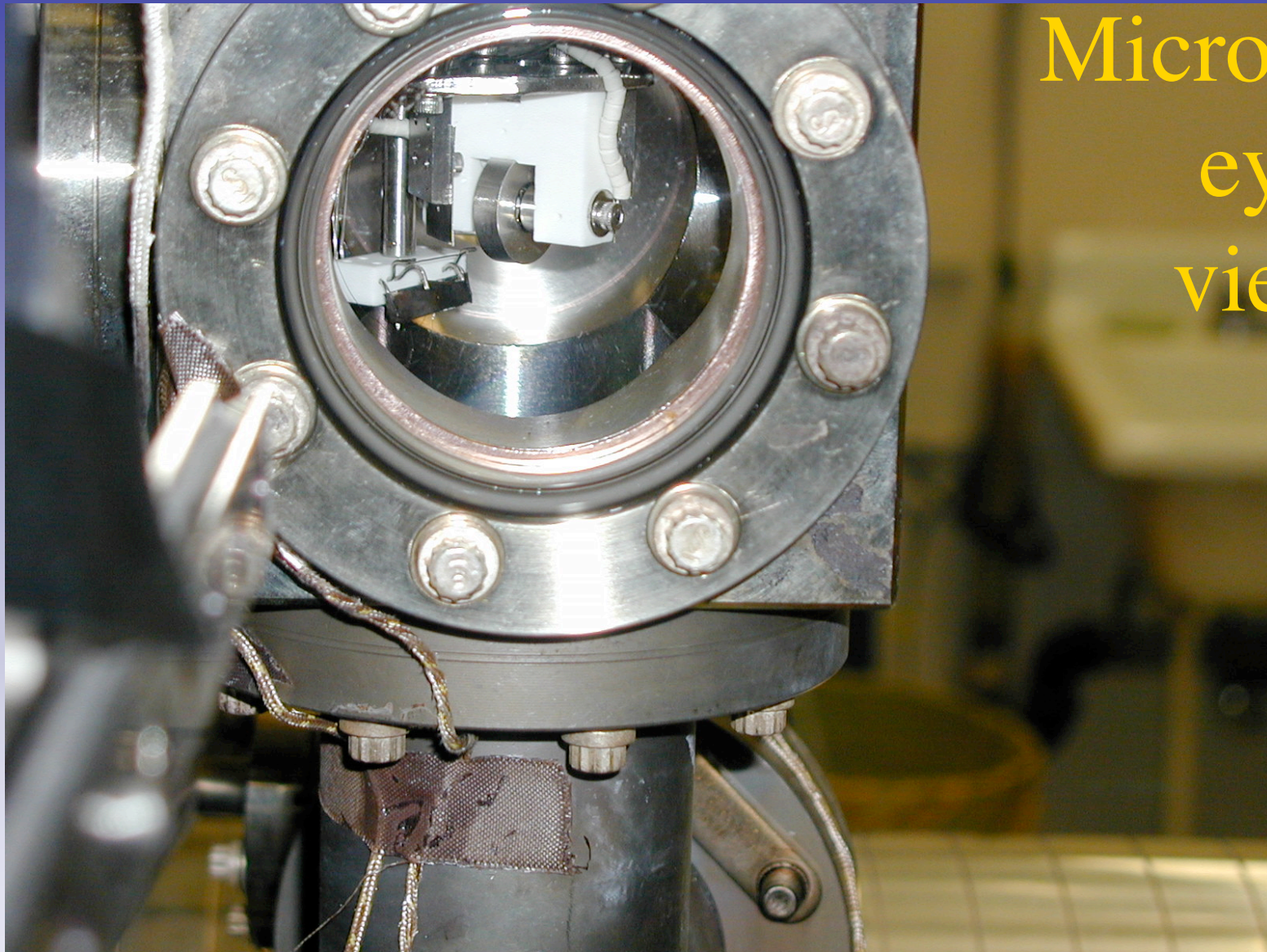
In the lab



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Microscope eye view

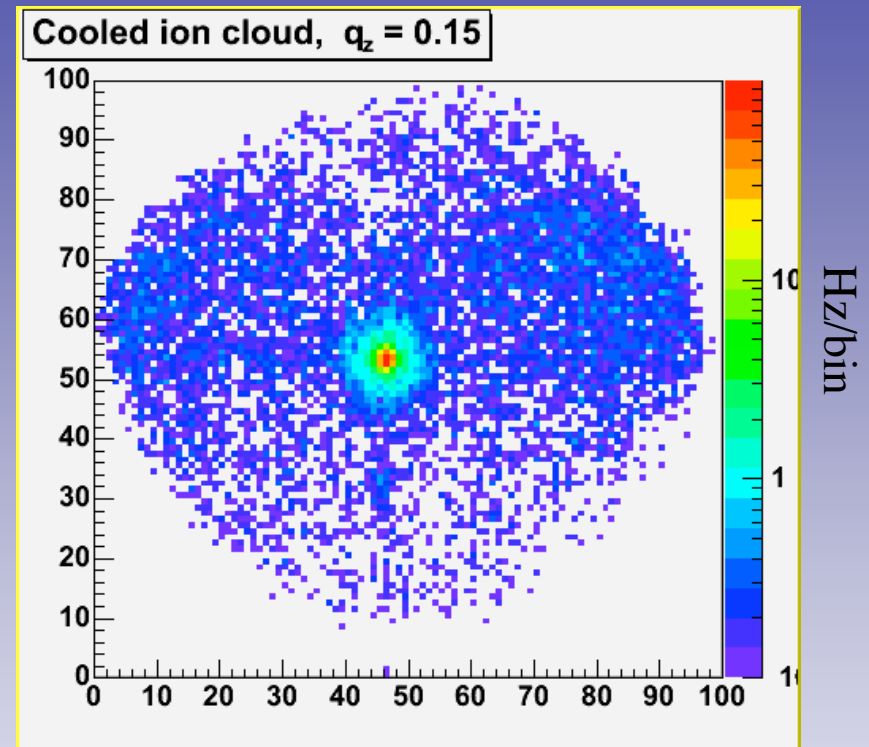
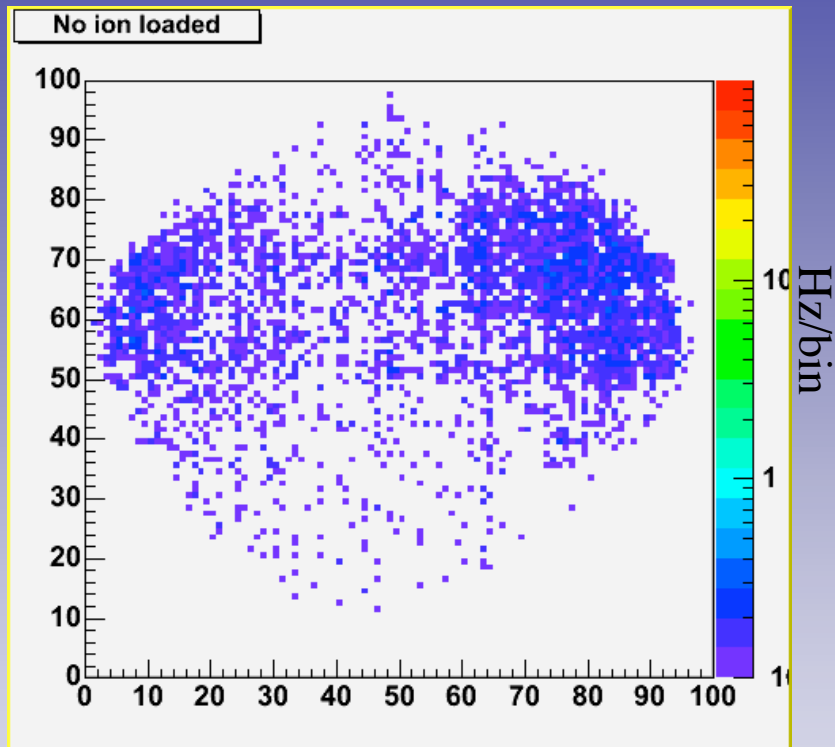


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34

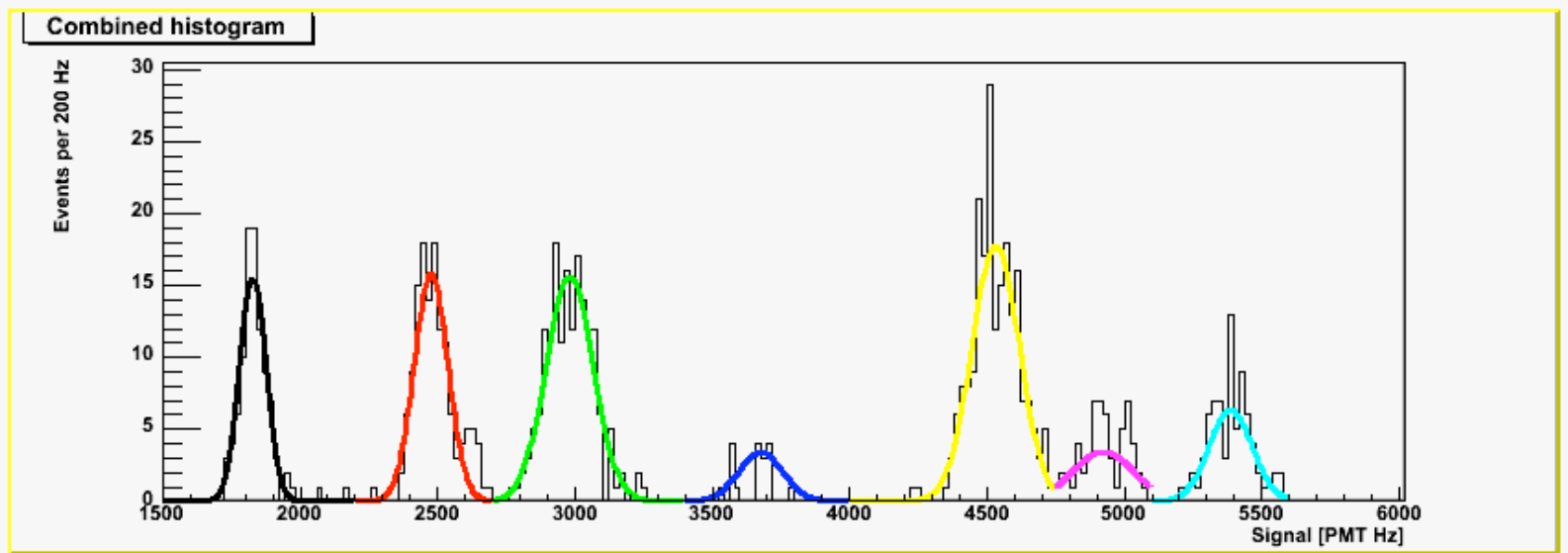
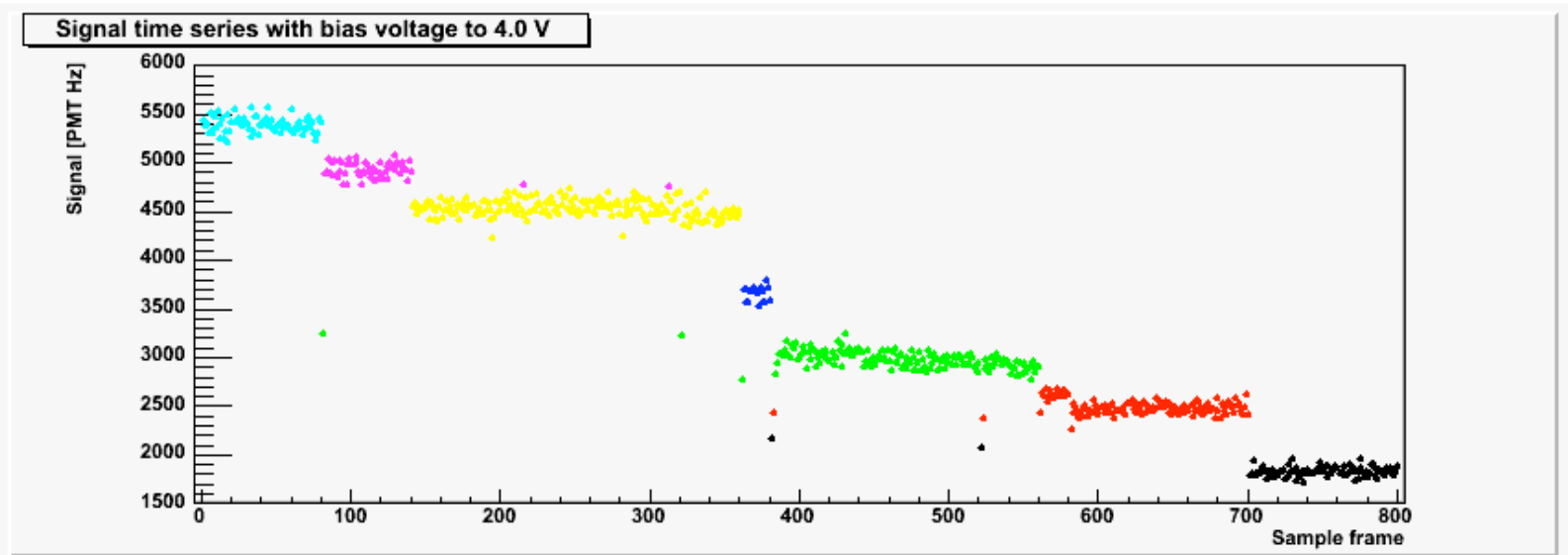
Vacuum single ion pictures



← 850 μm →

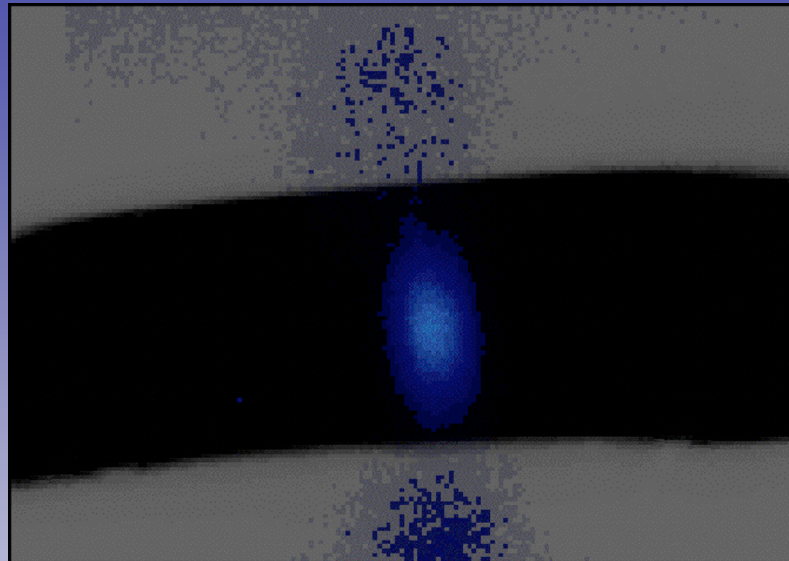
- From imaging PMT
- ~ 150 MHz frequency FWHM
- CW SNR of 100:1

Millikan ion drop experiment

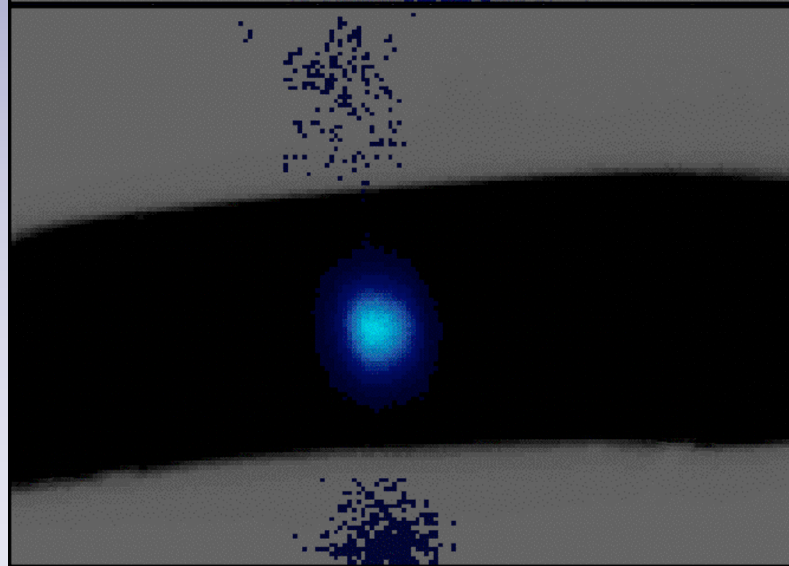


Ions in gas

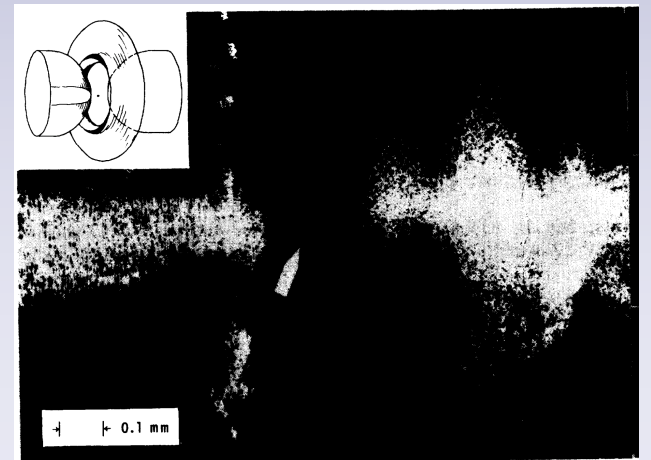
10^{-6} torr



10^{-3} torr
helium



- Ions trapped 10^{-10} to 10^{-1} torr
- Helium, N_2 , Argon and Xenon
- Spatial and spectral distributions

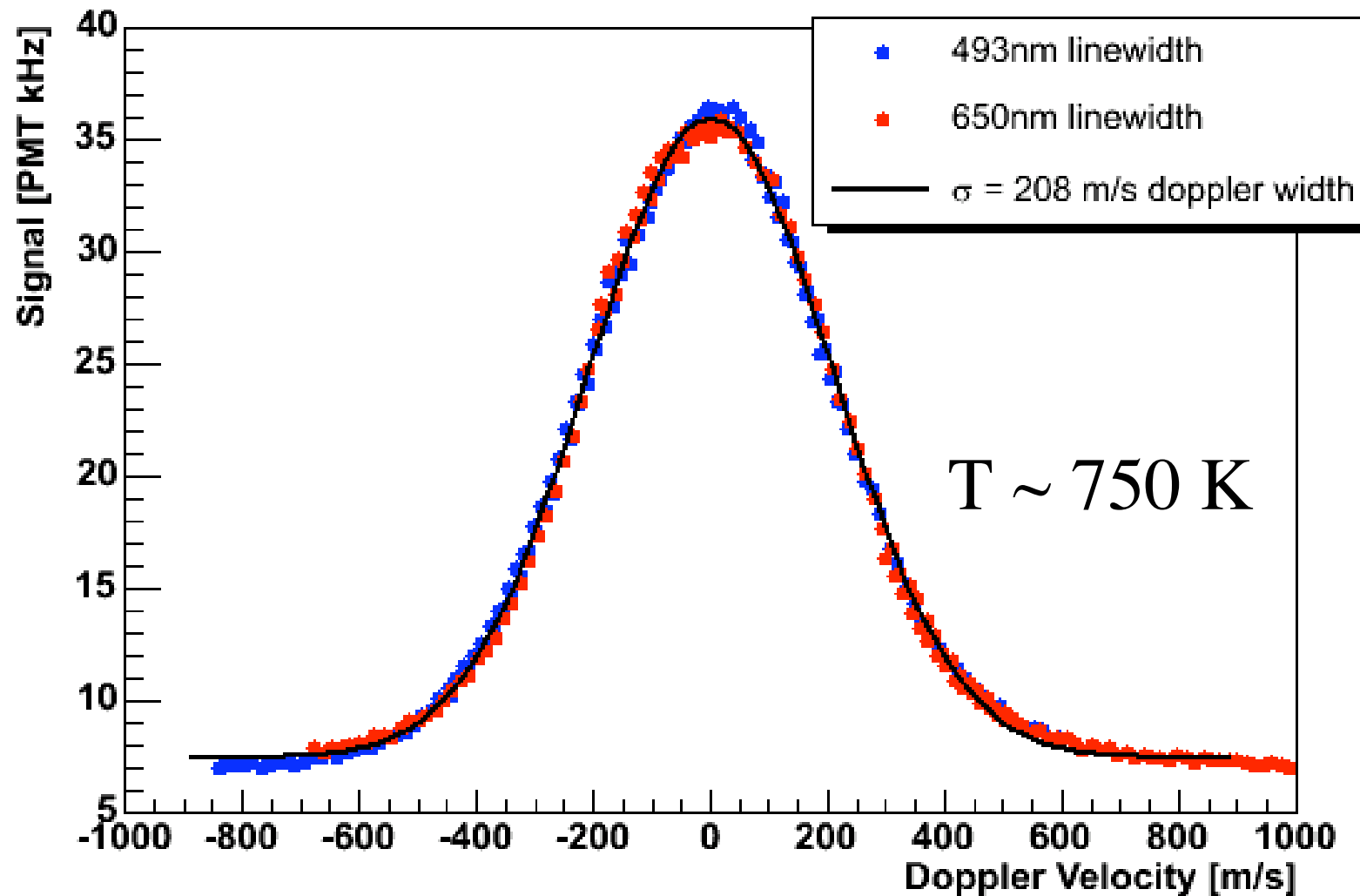


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Frequency spectra in gas

Doppler velocity with 1×10^{-3} torr Helium gas



LXe energy resolution

Liquid Xenon has energy resolution in the ionization channel $\sim 10x$ worse than theoretically predicted (c.f. Fano) -- Why?

LXe energy resolution

Liquid Xenon has energy resolution in the ionization channel $\sim 10x$ worse than theoretically predicted (c.f. Fano) -- Why?

Recombination



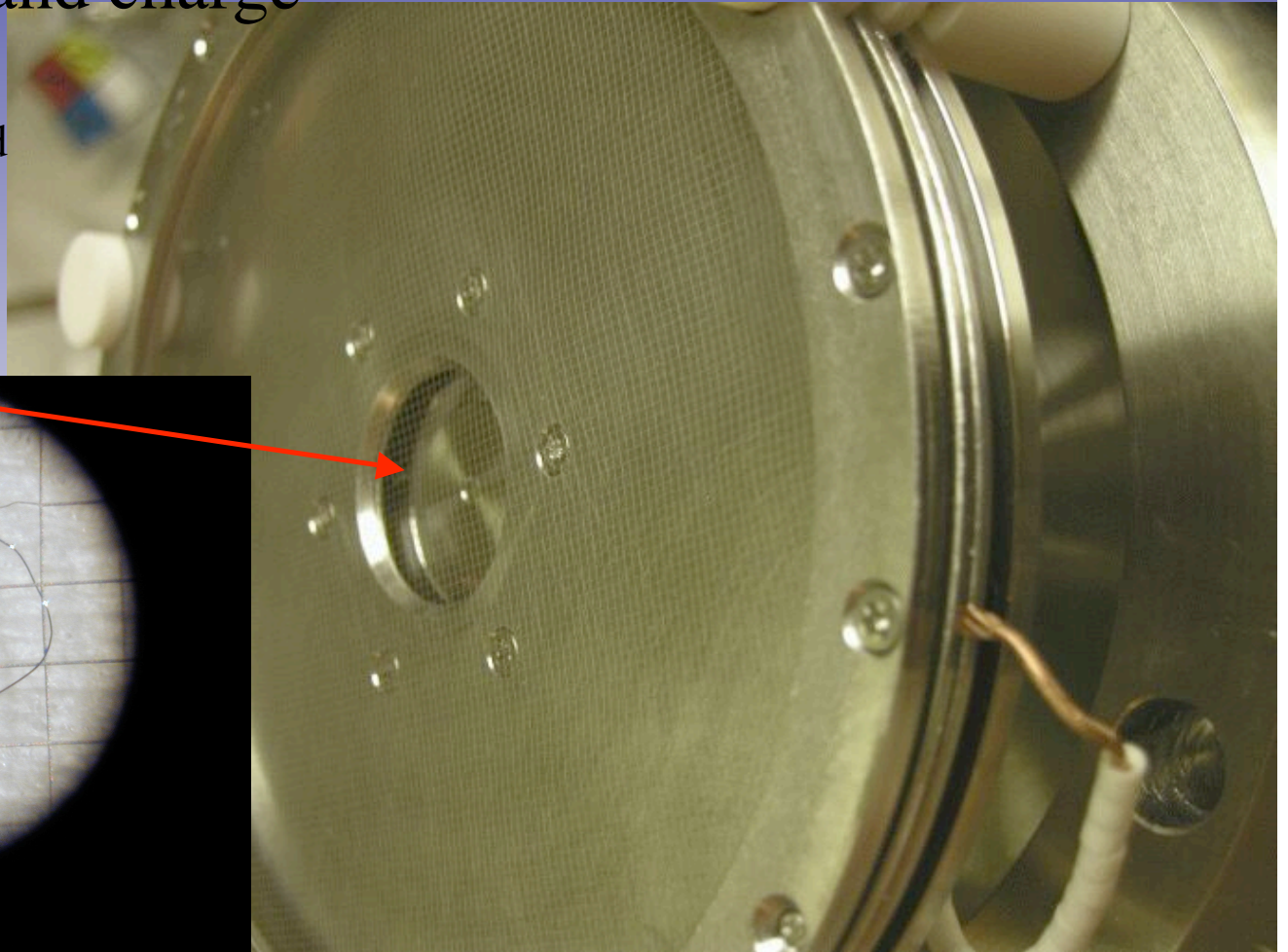
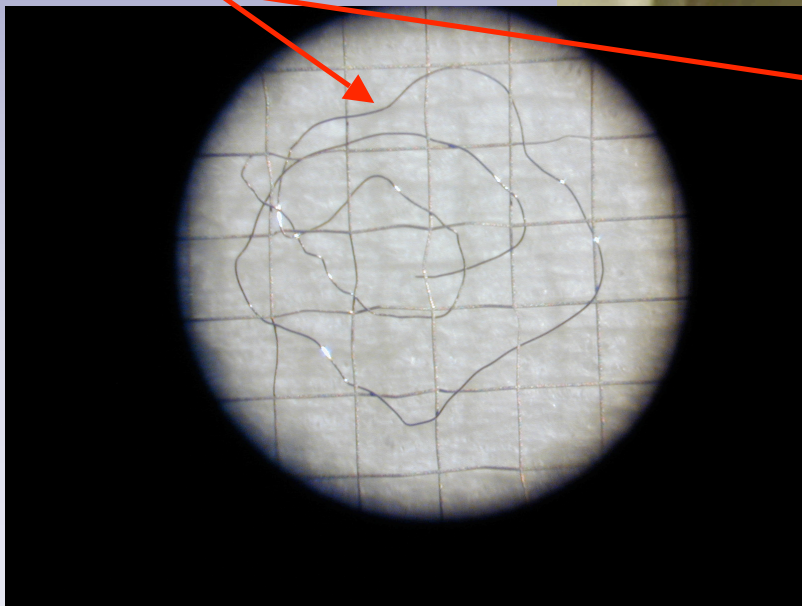
Details depend on event topology - variable
“lost” energy decreases resolution

Scintillation plus ionization

To improve resolution -
measure *both* light and charge

Bismuth emits 1.04 MeV and
570 keV gammas

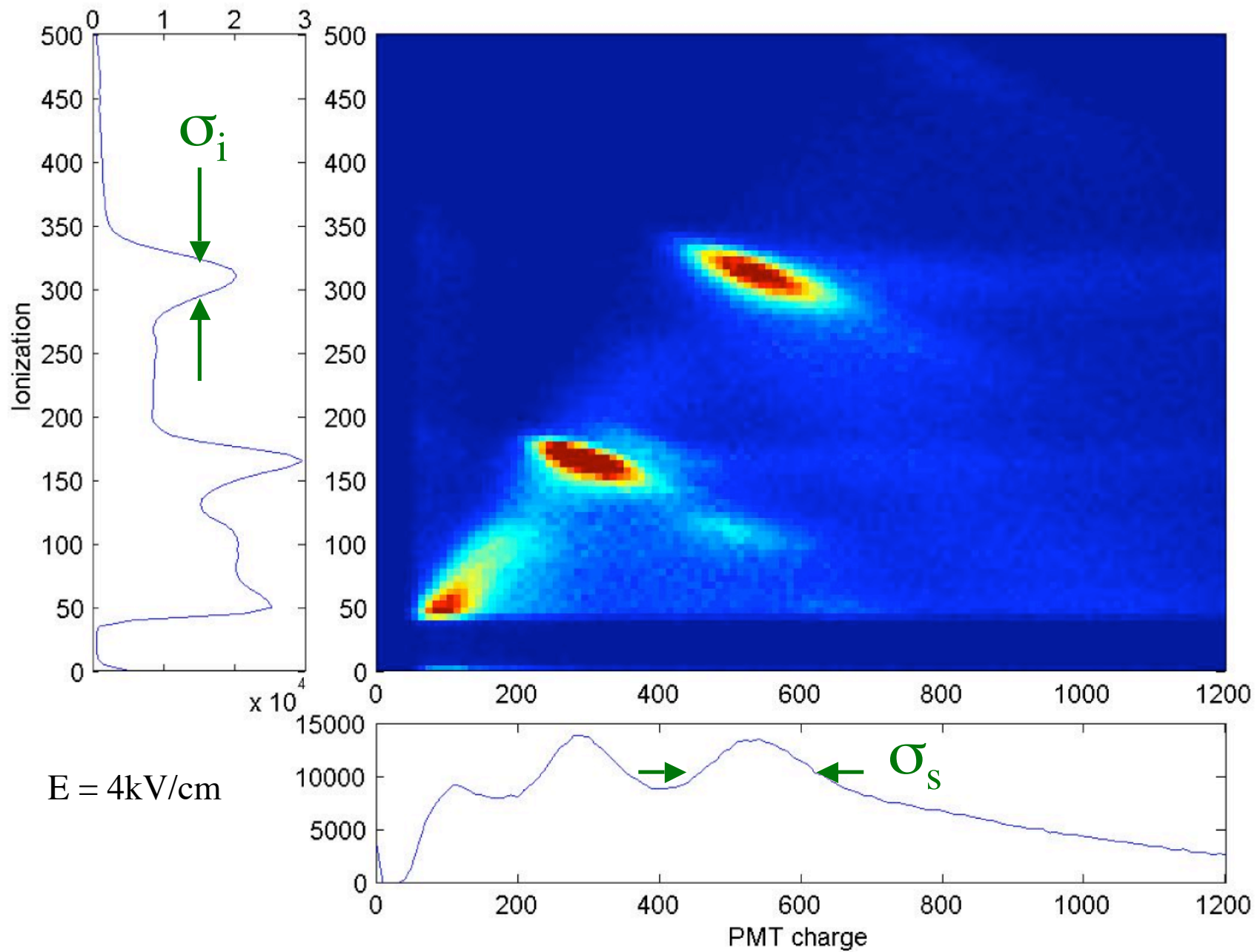
^{208}Bi source

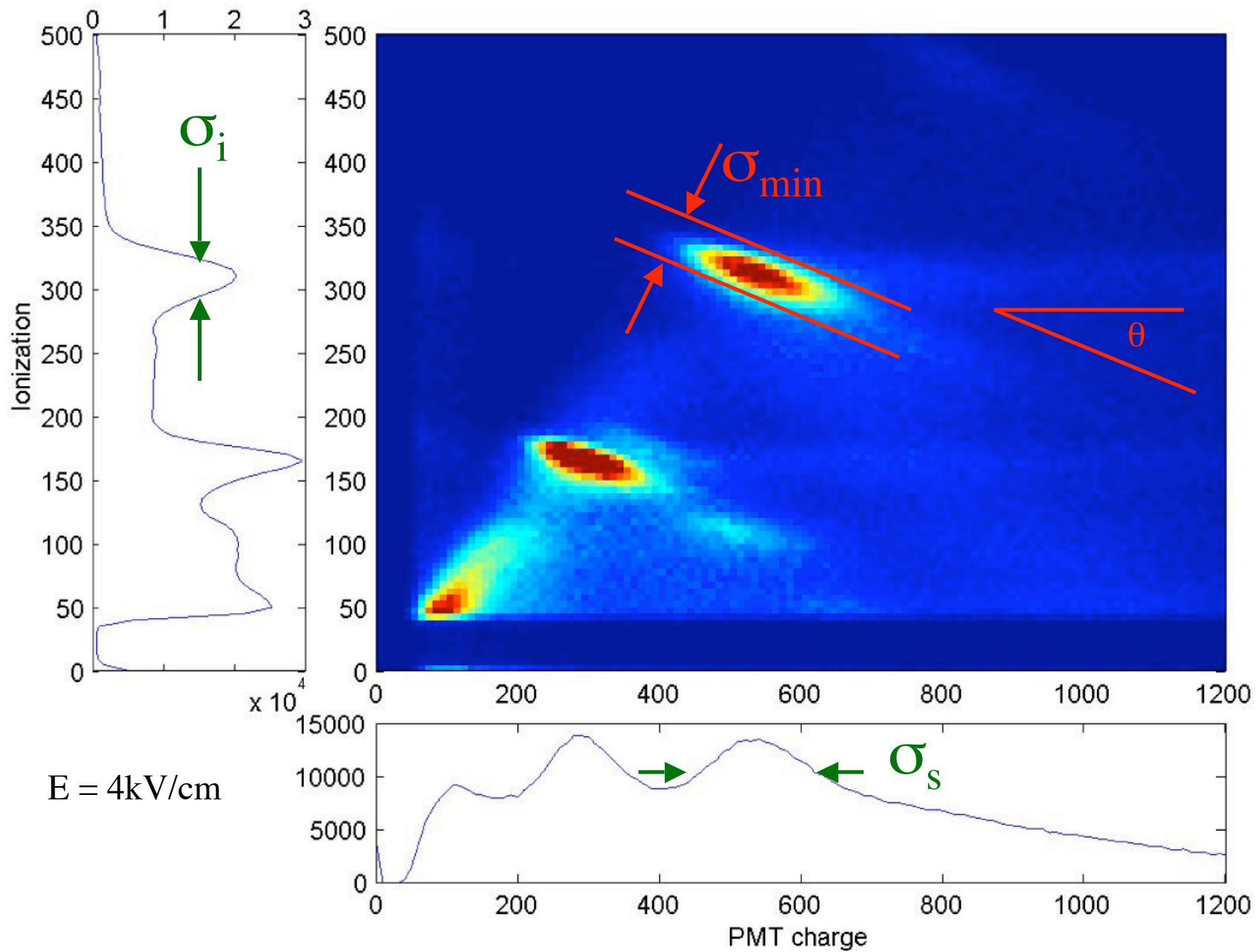


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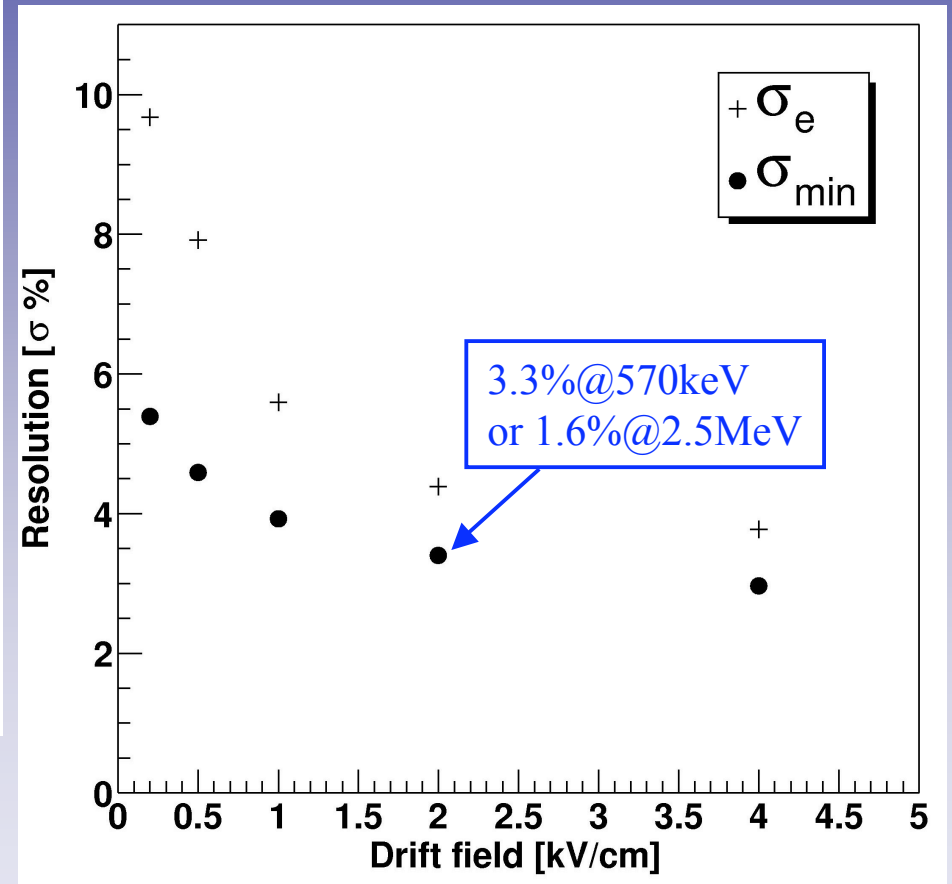
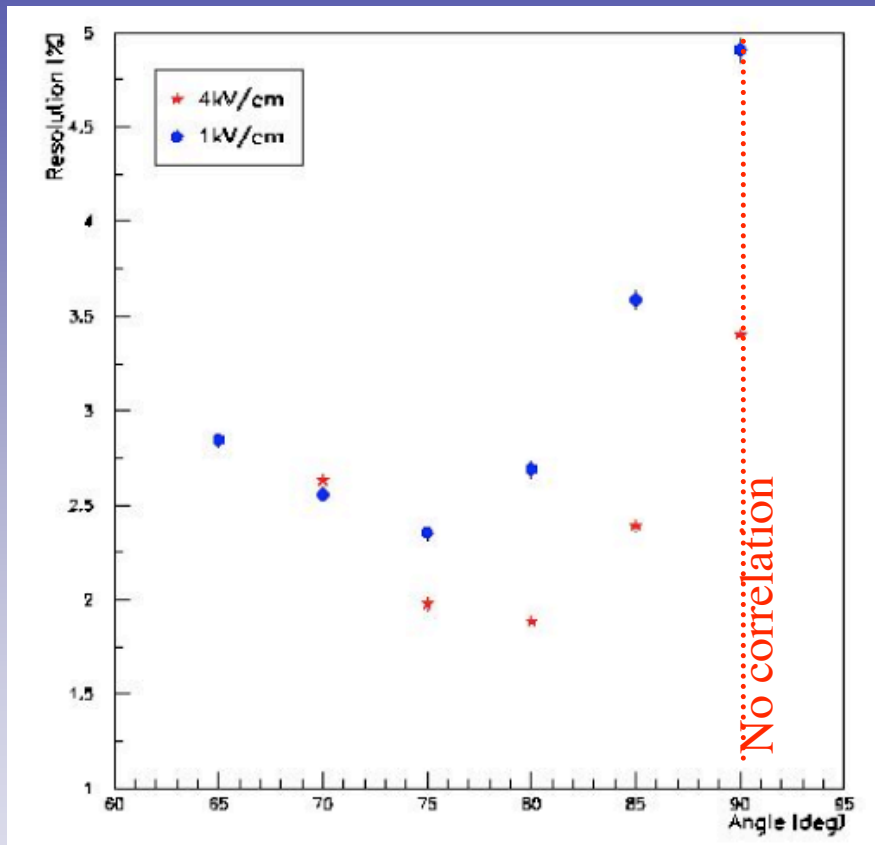
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41

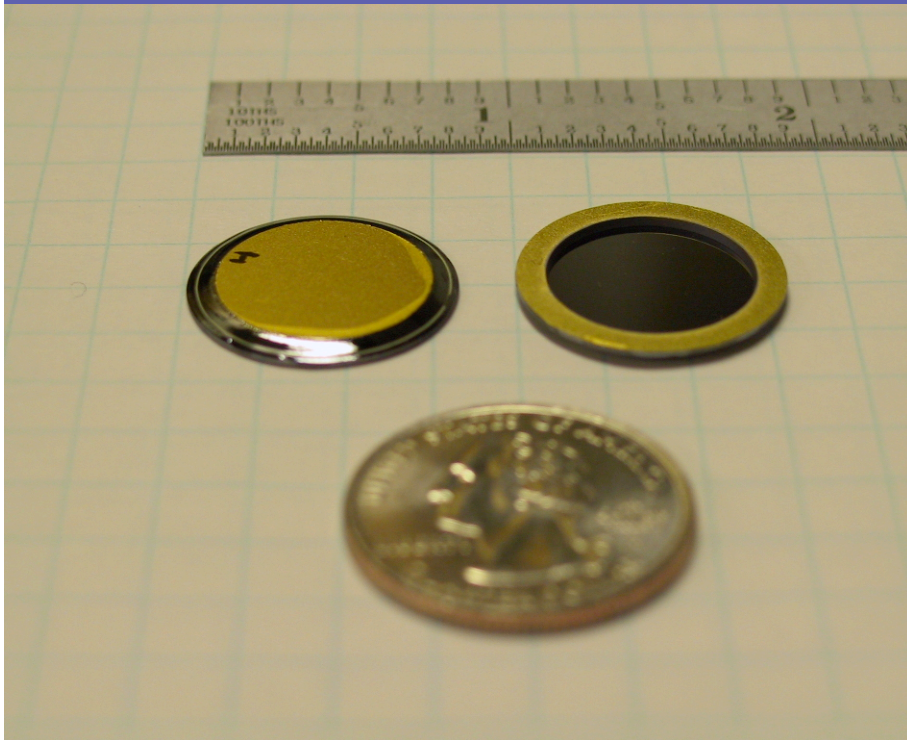




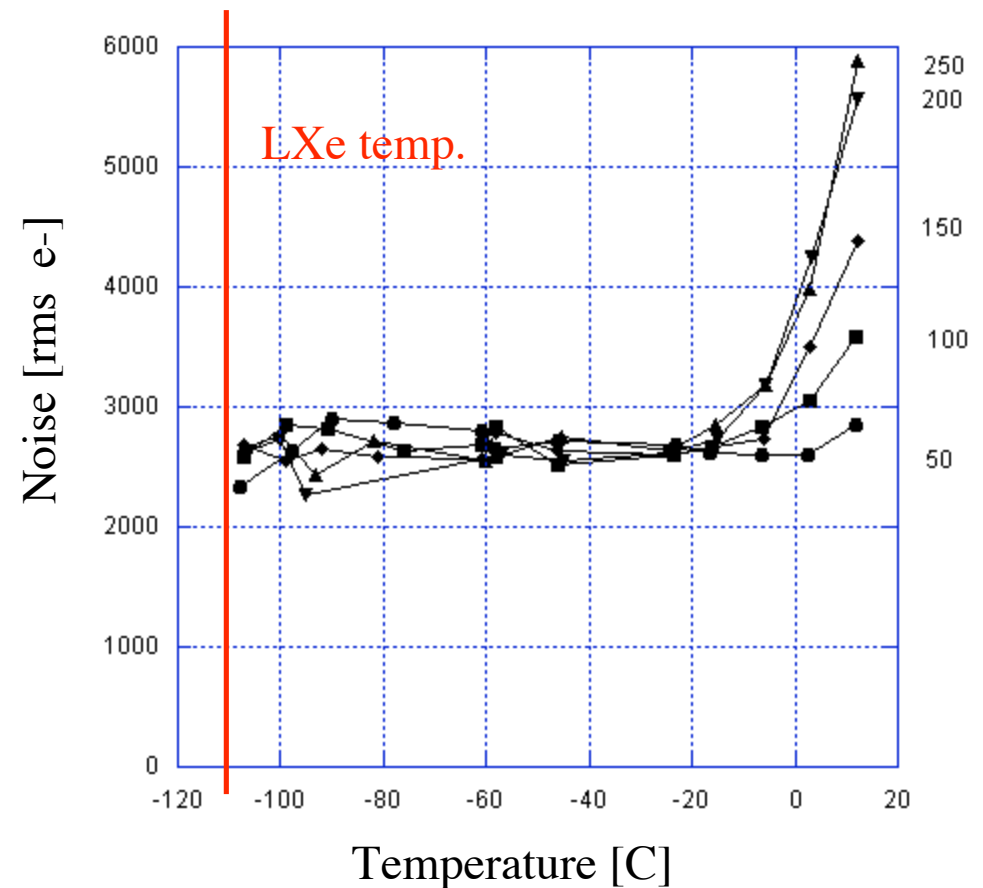
Resolution improvement



Large Area Avalanche Photodiodes



- Gain of several hundred
- Low radioactivity
- High VUV quantum efficiency
- Low noise when cooled

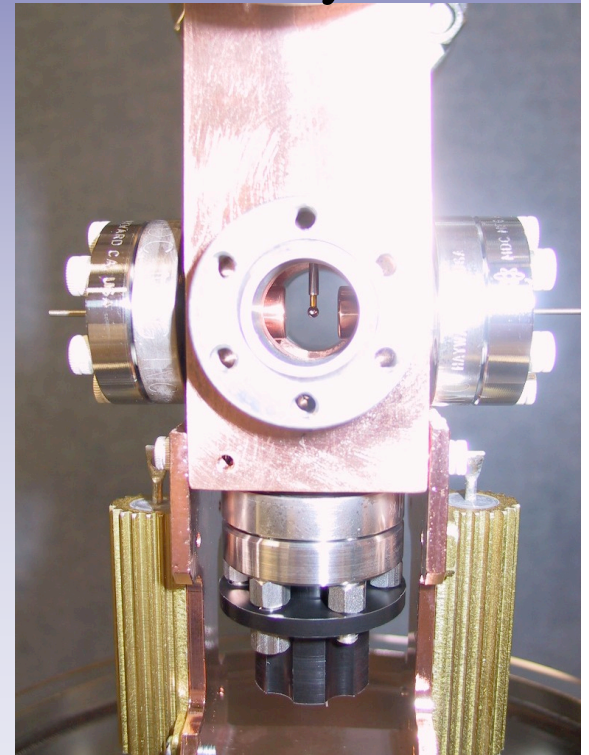


Material verification: chemical and radio-purity

Neutron Activation Analysis (NAA) studies

Material	Origin	U(ppt)	Th (ppt)	K (ppt)
Synthetic silica	BaBar DIRC	<4.6	12±2	<4600
Polycarbonate	Dow Corning pellets	<6.5	<33	18000±2000
PTFE teflon	Machine grade	<12	51±5	14000±2000
PTFE teflon	DuPont powder	<44	<1.8	2500±800

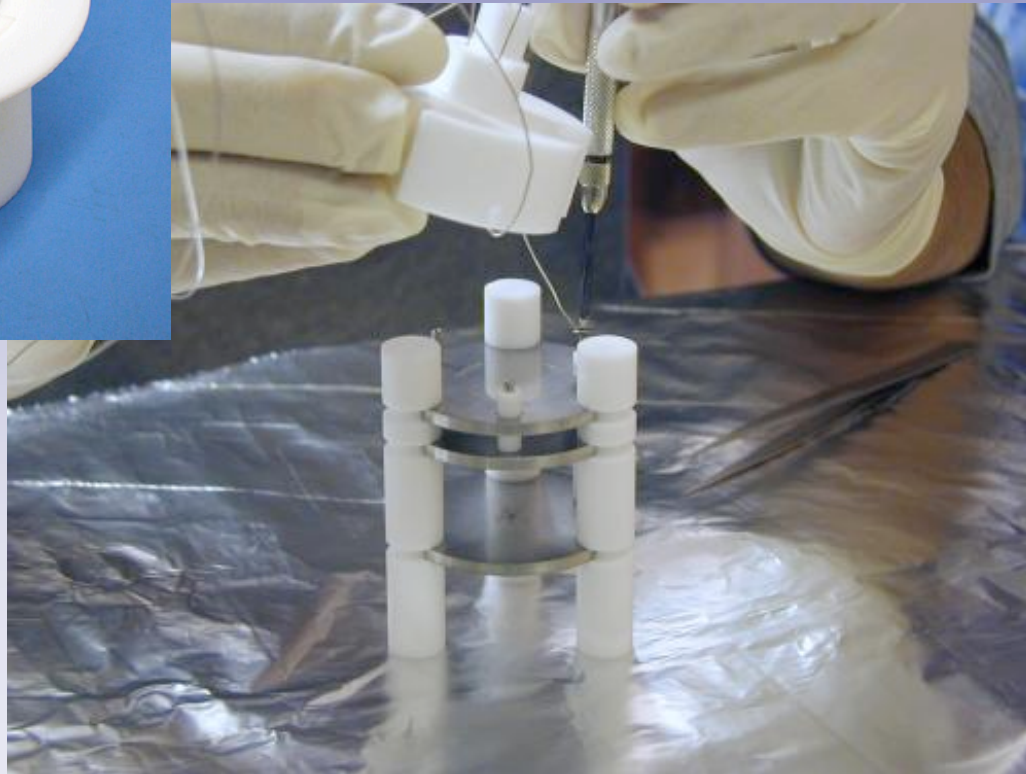
Xenon Purity Monitor



XPM measures drift length in 10 cm LXe cell with test samples immersed in the LXe.

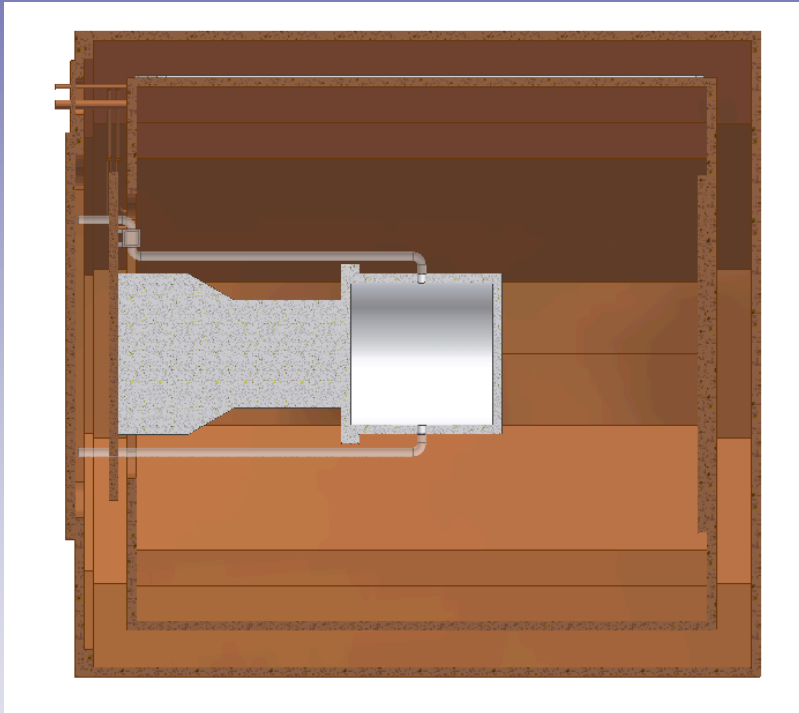
Teflon Chamber

- Chemically inert
- Radiopure
- UV reflecting
- Temperature compatible



September 21, 2004

200 kg prototype



(40cm x 40cm) Chamber

- $2\nu\beta\beta$ in xenon has never been seen
- Resolve ambiguous ^{76}Ge result
- Verification of materials and technology
- LXe TPC with Avalanche Photo Diode (APD) scintillation readout
- Underground installation summer '05
- 2-3 years runtime

200 kg prototype

Assumptions:

- 1) 200 kg of 80% enriched ^{136}Xe
- 2) Finite background: 20 events/year in $\pm 2\sigma$ interval around 2.481 MeV endpoint
- 3) 2ν mode contribution negligible

Case	Mass (ton)	Eff. (%)	Run Time (yr)	σ_E/E @ 2.5MeV (%)	Radioactivity Background (events)	$T_{1/2}^{0\nu}$ (yr, 90%CL)	Majorana mass (meV) QRPA ‡ (NSM) $^\#$	
Prototype	0.2	70	2	1.6*	40	$6.4 \cdot 10^{25}$	180	(530)

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For $\langle m_\nu \rangle = 0.44 \text{ eV}$, $\pm 3\sigma$ range (0.24 - 0.58 eV)

(*ie. Phys. Lett. B 586 (2004) 198-212*)

Case	Mass	Eff.	Time	σ_E/E	Background	Signal	Error
$\langle m \rangle = .44 \text{ eV}$	0.2	70	2	1.6*	40	57	9.0 σ
$\langle m \rangle = .24 \text{ eV}$	0.2	70	2	1.6*	40	17	2.7 σ



Russian ultra- centrifuge

- Centrifuge efficiently cuts ^{87}Kr backgrounds
- Russia has the capacity to process 100 tons of Xe
- The price is right

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51

Enriched Xenon



First 200 kg pilot production started in the Summer of 2001 and was successfully completed in May 2003

Funding by DoE-EM, Stanford and University of Alabama

In-kind natural Xe contribution by ITEP

This is already the largest non-fissile isotope enrichment program ever accomplished...

Waste Isolation Pilot Plant (WIPP) Carlsbad, NM





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54

Conclusions

- Broad R&D effort underway for a multi-ton xenon detector
- 200 kg prototype to be commissioned summer '05
- milli-eV neutrino majorana mass sensitivity

Case	Mass (ton)	Eff. (%)	Run Time (yr)	σ_E/E @ 2.5MeV (%)	$2\nu\beta\beta$ Background (events)	$T_{1/2}^{0\nu}$ (yr, 90%CL)	Majorana mass (meV) QRPA [‡] (NSM) [#]	
Conservative	1	70	5	1.6*	0.5 (use 1)	$2 \cdot 10^{27}$	33	(95)
Aggressive	10	70	10	1	0.7 (use 1)	$4.1 \cdot 10^{28}$	7.3	(21)